

AD-A102 308

NAVAL POSTGRADUATE SCHOOL MONTEREY CA
IMPLEMENTATION OF PROCESS MANAGEMENT FOR A SECURE ARCHIVAL STORE--ETC(U)
MAR 81 A R STRICKLER

F/B 9/2

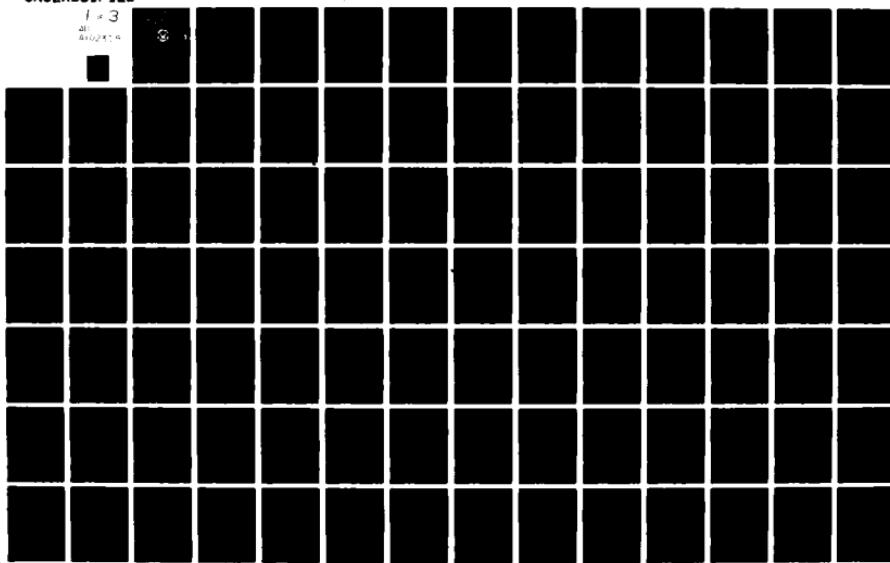
UNCLASSIFIED

NL

1 x 3

401

402214



FILE NUMBER
AD A102308

LEVEL
(2)
NAVAL POSTGRADUATE SCHOOL
Monterey, California



THESIS

IMPLEMENTATION OF PROCESS MANAGEMENT
FOR A SECURE ARCHIVAL STORAGE SYSTEM

by

Anthony Ross Strickler

March 1981

Thesis Advisor:

R. R. Schell

Approved for public release; distribution unlimited

818 05 024

Unclassified

SECURITY CLASSIFICATION OF THIS PAGE (When Data Entered)

REPORT DOCUMENTATION PAGE		READ INSTRUCTIONS BEFORE COMPLETING FORM
1. REPORT NUMBER	2. GOVT ACCESSION NO.	3. RECIPIENT'S CATALOG NUMBER
AD-A102308		
4. TITLE (For Serial No.)	5. TYPE OF REPORT & SERIES COVERED	
(6) Implementation of process management for a secure archival storage system.	(9) Master's Thesis March 1981	
7. AUTHOR(s)	8. CONTRACT OR GRANT NUMBER(s)	
(10) Anthony Ross/Strickler		
9. PERFORMING ORGANIZATION NAME AND ADDRESS	10. PROGRAM ELEMENT, PROJECT, TASK AREA & WORK UNIT NUMBERS	
Naval Postgraduate School Monterey, California 93940		
11. CONTROLLING OFFICE NAME AND ADDRESS	12. REPORT DATE	
Naval Postgraduate School Monterey, California 93940	(11) March 1981	
14. MONITORING AGENCY NAME & ADDRESS (if different from Controlling Office)	13. NUMBER OF PAGES	
(12) 238	227	
15. SECURITY CLASS. (of this report)		
Unclassified		
16. DISTRIBUTION STATEMENT (of this Report)		
Approved for public release; distribution unlimited		
17. DISTRIBUTION STATEMENT (of the abstract entered in Block 20, if different from Report)		
18. SUPPLEMENTARY NOTES		
19. KEY WORDS (Continue on reverse side if necessary and identify by block number)		
Computer security, Process Management, Distributed operating systems, Traffic Controller process scheduling, event counts and sequencers		
20. ABSTRACT (Continue on reverse side if necessary and identify by block number)		
>This thesis presents an implementation of process management for a security kernel based secure archival storage system (SASS). The implementation is based on a family of secure, distributed, multi-microprocessor operating systems designed to provide multilevel internal security and controlled sharing of data among authorized users. Process scheduling is effected by one half of a two level Traffic Controller that binds processes to virtualized processors. Inter-process communication mechanisms for		

Unclassified

SECURITY CLASSIFICATION OF THIS PAGE WHEN FORM ENDED.

synchronization, mutual exclusion, and message passing among processes are provided by utilization of eventcount and sequencer primitives. The implementation structure is based upon levels of abstraction and is loop free to permit future expansion to more complex members to the design family. Implementation was completed on the ADVANCED MICRO COMPUTERS Am 96/4116 AmZ8002 16 Bit MonoBoard Computer.

Accession For	
NTIS GFA&I	<input checked="" type="checkbox"/>
DTIC TAB	<input type="checkbox"/>
Unannounced	<input type="checkbox"/>
Justification	
By	
Distribution/	
Availability Codes	
Avail and/or	
Dist Special	
A	

DD Form 1473
1 Jan '73
S/N 0102-014-6601

Unclassified

SECURITY CLASSIFICATION OF THIS PAGE WHEN FORM ENDED

Approved for public release; distribution unlimited.

Implementation of Process Management
for a
Secure Archival Storage System

by

Anthony R. Strickler
Captain, United States Army
B.S., United States Military Academy, 1973

Submitted in partial fulfillment of the
requirements for the degree of

MASTER OF SCIENCE IN COMPUTER SCIENCE

from the

NAVAL POSTGRADUATE SCHOOL
March 1981

Author

Anthony R. Strickler

Approved by:

Roger R. Schell

Thesis Advisor

Jayle A. Cox

Second Reader

John C. Smith

Chairman, Department of Computer Science

John C. Smith

Dean of Information and Policy Sciences

ABSTRACT

This thesis presents an implementation of process management for a security kernel based secure archival storage system (SASS). The implementation is based on a family of secure, distributed, multi-microprocessor operating systems designed to provide multilevel internal security and controlled sharing of data among authorized users. Process scheduling is effected by one half of a two level Traffic Controller that binds processes to virtualized processors. Inter-process communication mechanisms for synchronization, mutual exclusion, and message passing among processes are provided by utilization of eventcount and sequencer primitives. The implementation structure is based upon levels of abstraction and is loop free to permit future expansion to more complex members of the design family. Implementation was completed on the ADVANCED MICRO COMPUTER Am 96/4116 Am29002 16 bit Monoboard Computer.

TABLE OF CONTENTS

I.	INTRODUCTION.....	10
A.	BACKGROUND.....	11
B.	BASIC CONCEPTS/DEFINITIONS.....	13
1.	Process.....	13
2.	Information Security.....	15
3.	Segmentation.....	20
4.	Protection Domains.....	22
5.	Abstraction.....	23
C.	THESIS STRUCTURE.....	24
II.	SECURE ARCHIVAL STORAGE SYSTEM DESIGN.....	26
A.	BASIC SASS OVERVIEW.....	26
B.	SUPERVISOR.....	29
1.	File Manager Process.....	31
2.	Input/Output Process.....	32
C.	GATE KEEPER.....	33
D.	DISTRIBUTED KERNEL.....	35
1.	Segment Manager.....	36
2.	Event Manager.....	38
3.	Non-Discretionary Security Module.....	39
4.	Traffic Controller.....	39
5.	Inner Traffic Controller.....	43
6.	Distributed Memory Manager.....	47

E.	NON-DISTRIBUTED KERNEL.....	48
1.	Memory Manager Process.....	48
F.	SYSTEM HARDWARE.....	54
G.	SUMMARY.....	55
III.	IMPLEMENTATION ISSUES.....	56
A.	DATABASE INITIALIZATION.....	56
1.	Inner Traffic Controller Initialization...	57
2.	Traffic Controller Initialization.....	62
3.	Additional Initialization Requirements....	63
B.	PREEMPT INTERRUPTS.....	64
1.	Physical Preempt Handler.....	64
2.	Virtual Preempt Handler.....	66
C.	IDLE PROCESSES.....	71
D.	ADDITIONAL KERNEL REFINEMENTS.....	72
E.	SUMMARY.....	74
IV.	PROCESS MANAGEMENT IMPLEMENTATION.....	76
A.	EVENT MANAGER MODULE.....	78
1.	Support Procedures.....	79
2.	Read.....	81
3.	Ticket.....	81
4.	Await.....	82
5.	Advance.....	82
F.	TRAFFIC CONTROLLER MODULE.....	83
1.	<u>TC_GETWORK</u>	84
2.	<u>TC_AWAIT</u>	85
3.	<u>TC_ADVANCE</u>	86

4. Virtual_Preempt_Handler.....	91
5. Remaining Procedures.....	91
C. DISTRIBUTED MEMORY MANAGER MODULE.....	92
1. MM_Read_Eventcount.....	92
2. MM_Advance.....	93
3. MM_Ticket.....	94
4. MM_Allocate.....	94
D. GATE KEEPER MODULES.....	96
1. User_Gate Module.....	97
2. Kernel_Gate_Keeper Module.....	98
E. SUMMARY.....	121
V. CONCIUSION.....	122
A. FOLLOW ON WORK.....	123
APPENDIX A--EVENT MANAGER LISTINGS.....	124
APPENDIX B--TRAFFIC CONTROLLER LISTINGS.....	115
APPENDIX C--DISTRIBUTED MEMORY MANAGER LISTINGS.....	146
APPENDIX D--GATE KEEPER LISTINGS.....	168
APPENDIX E--ECOTSTRAP LOADER LISTINGS.....	177
APPENDIX F--LIBRARY FUNCTION LISTINGS.....	178
APPENDIX G--INNER TRAFFIC CONTROLLER LISTINGS.....	195
LIST OF REFERENCES.....	224
INITIAL DISTRIBUTION LIST.....	226

LIST OF FIGURES

1. SASS System.....	27
2. System Overview (Dual Host).....	36
3. Known Segment Table (KST).....	37
4. Active Process Table (APT).....	41
5. Virtual Processor Table (VPT).....	45
6. Extended Instruction Set.....	51
7. Kernel Databases.....	52
8. Memory Management Unit (MMU) Image.....	54
9. Initial Process Stack.....	62
10. Implementation Module Structure.....	77
11. Advance Algorithm.....	87
12. Program Status Area.....	99

ACKNOWLEDGEMENT

This research is sponsored in part by the Office of Naval Research Project Number N6 337-245, monitored by Mr. Joel Trimble.

I am indebted to a number of people for the valuable support that I have received in this thesis effort. My thesis advisor, Lt. Col. Roger Scheil, provided a wealth of knowledge and many hours of patient counseling. This thesis could not have been written without his enthusiastic guidance.

Thanks are also extended to my reader, Professor Lyle Cox, for his assistance and concern. Tim Wells sacrificed precious time in the final days of his thesis work to introduce me to the Zilog Developmental System providing the programming environment for this implementation. Gary Baker, Bob McDonnell, and Mike Williams provided excellent technical assistance, especially in helping me with the many hardware problems that I encountered in working with a new and unfamiliar system.

Finally, special thanks and appreciation go to my wife, Brenda, and my children, Christopher and Mark for their undying love, patience, and understanding. They always support me whatever the endeavor.

I. INTRODUCTION

This thesis addresses the implementation of process management functions for the Secure Archival Storage System or SASS. This system is designed to provide multilevel secure access to information stored for a network of possibly dissimilar host computer systems and the controlled sharing of data amongst authorized users of the SASS. Effective process management is essential to insure efficient use and control of the system.

Among the major accomplishments of the work reported here are the inclusion of provisions for efficient process creation and management. These functions are provided through the establishment of a system Traffic Controller and the creation of a virtual interrupt structure. An effective mechanism for inter-process communication and synchronization is realized through an Event Manager that makes use of uniquely identified segments supported by eventcount and sequencer primitives. A hardware controlled two domain operational environment is created with the necessary interfacing between domains provided by a software "gate" mechanism. Additional support is provided through considerable work in the area of database initialization and a technique for limited dynamic memory allocation.

This implementation was completed on the commercial AMC Am96/4116 MonoBoard Computer with a standard Multibus interface.

A. BACKGROUND

The brief history of digital computers has been characterized by rapid advances in hardware technology and a continual increase in the number and variety of its applications. The advent of the microprocessor has enabled virtually every level of our society to make use of computer resources. Today's "desk top" microcomputers, costing less than a thousand dollars, have more computing power than the "giant" computers of the early 1950's that cost hundreds of times that amount.

These rapid advances in computer hardware technology have reversed the economics of the computer design environment. While hardware costs have decreased, the relative costs of the software required to effectively utilize this hardware has steadily increased until it now dominates the overall cost of a computer system. This economic reversal requires that developed software be logical, easy to read, relatively maintenance free, and easy to debug. Unfortunately, microcomputer operating systems and applications software tend to be highly specialized, thus failing to reasonably exploit the potential of the microprocessor.

As the usage of computers has expanded, especially in the area of sensitive information handling, the need for information security has received greater recognition. While ad-hoc attempts have been made to provide internal computer security on larger systems, the problem of information security on microprocessors has been largely ignored to date.

In an attempt to address the above problems, O'Connell and Richardson [1] outlined a high level design for a microprocessor based secure operating system. The goal of this design was to provide information security, distributed processing, multiple protection domains, configuration independence, multiprocessing, and multiprogramming. Since all computer applications do not require such a broad and general operating system, the design provided for a family of operating systems. This allows a member of the family to incorporate only the subset of family functions needed for its specific application, while providing for future expansion. The SASS is a member of this operating system family.

A brief history of prior work done on the SASS is now provided. Parks [2] provided the design for the SASS Supervisor. The actual implementation of the Supervisor design has not been addressed to date. The initial design of the SASS Security Kernel was completed by Coleman [3]. The works of O'Connell and Richardson [1], Parks [2], and

Coleman [3] are available as a single publication from NTIS and DDC in a report prepared by Schell and Cox [21]. Further refinements of the Kernel design and partial Kernel implementation has been accomplished in three additional thesis efforts. Moore and Gary [4] provided the detailed design and partial implementation of the Memory Manager module. Design refinements for the Inner Traffic Controller and Traffic Controller modules as well as implementation of the Inner Traffic Controller was provided by Reitz [5]. Wells [6] provided implementation of the Segment Manager and Non-Discretionary Security modules as well as partial implementation of distributed Memory Manager functions. These design and implementation efforts provided the basis for the work described here.

B. BASIC CONCEPTS/DEFINITIONS

This section provides an overview of several concepts essential to the SASS design. Readers familiar with SASS or with secure operating system principles may wish to skip to the next section.

1. Process

The notion of a process has been viewed in many ways in computer science literature. Organick [7] defines a process as a set of related procedures and data undergoing execution and manipulation, respectively, by one of possibly several processors of a computer. Madnick and Donovan [8]

view a process as the locus of points of a processor executing a collection of programs. Reed [9] describes a process as the sequence of actions taken by some processor. In other words, it is the past, present, and future "history" of the states of the processor. In the SASS design, a process is viewed as a logical entity entirely characterized by an address space and an execution point. A process' address space consists of the set of all memory locations accessible by the process during its execution. This may be viewed as a set of procedures and data related to the process. The execution point is defined by the state of the processor at any given instant of process execution.

As a logical entity, a process may have logical attributes associated with it, such as a security access class, a unique identifier, and an execution state. This notion of logical attributes should not be confused with the more typical notion of physical attributes, such as location in memory, page size, etc. In SASS, a process is given a security access class, at the time of its creation, to specify what authorization it possesses in terms of information access (to be discussed in the next section). It is also given a unique identifier that provides for its identification by the system and is utilized for interaction among processes. A process may exist in one of three execution states: 1) running, 2) ready, and 3) blocked. In order to execute, a process must be mapped onto (bound to) a

physical processor in the system. Such a process is said to be in the "running" state. A process that is not mapped onto a physical processor, but is otherwise ready to execute, is in the "ready" state. A process in the "blocked" state is waiting for some event to occur in the system and cannot continue execution until the event occurs. At that time, the process is placed into the ready state.

2. Information Security

There is an ever increasing demand for computer systems that can provide controlled access to the data it stores. In this thesis, "information security" is defined as the process of controlling access to information based upon proper authorization. The critical need for information security should be clear. Banks and other commercial enterprises risk the theft or loss of funds. Insurance and credit companies are bound by law to protect the private or otherwise personal information they maintain on their customers. Universities and scientific institutions must prevent the unauthorized use of their often over-burdened systems. The Department of Defense and other government agencies must face the very real possibility that classified information is being compromised or that weapon systems are being tampered with. In fact, security related problems can be found at virtually every level of computer usage.

In the past, attempts have been made to identify the security weakness of computer systems by trial and error and

then fix them. However, Schell [10] has shown that security cannot be "added on" to an existing system with any degree of confidence that the resulting security system is impregnable. Security must be explicitly designed into a system from first principles. The key to achieving provable information security is realized in the concept of the "security kernel." Schell [11] provides a detailed discussion of the use of this concept in the methodical design of system security.

The security of computer systems processing sensitive information can be achieved by two means: external security controls and internal security controls. In the first case, security is achieved by encapsulating the computer and all its trusted users within a single security perimeter established by physical means (e.g., armed guards, fences, etc.) This means of security is often undesirable due to its added cost of implementation, the inherent risk of error-prone manual procedures, and the problem of trustworthy but error-prone users. Also, since all security controls are external to the computer system, the computer is incapable of securely handling data at differing security levels or users with differing degrees of authorization. This restriction greatly limits the utility of modern computers. Internal security controls rely upon the computer system to internally distinguish between multiple levels of information classification and user authorization. This is

clearly a more desirable and flexible approach to information security. This does not mean, however, that external security is not needed. The optimal approach would be to utilize internal security controls to maintain information security and external security controls to provide physical protection of our system against sabotage, theft, or destruction. The primary concern of this thesis is information security and will therefore center its discussion on the achievement of information security through implementation of the security kernel concept.

One might argue that a "totally secure" computer system is one that allows no access to its classified or otherwise sensitive information. Such a system would not be of much value to its users. Therefore, when we say that a system provides information security, it is only secure with respect to some specific external security policy established by laws, directives, or regulations. There are two distinct aspects of security policy: non-discretionary and discretionary. Each user (subject) of the system is given a label denoting what classification or level of access the user is authorized. Likewise, all information or segments (objects) within the system are labelled with their classification or level of sensitivity. The non-discretionary security mechanism is responsible for comparing the authorization of a subject with the classification of an object and determining what access, if

any, should be granted. The DOD security classification system provides an example of the non-discretionary security policy and is the policy implemented in SASS. The discretionary security policy is a refinement of the non-discretionary policy. As such, it adds a higher degree of restriction by allowing a subject to specify or restrict who may have access to his files. It must be emphasized that the discretionary policy is contained within the non-discretionary policy and in no way undermines or substitutes for it. This prevents a subject from granting access that would violate the non-discretionary policy. An example of discretionary security is provided by the DOD "need to know" policy. In SASS, the discretionary policy is implemented within the supervisor [2] by means of an Access Control List (ACL). There is an ACL maintained for every file in the system, which provides a list of all users authorized access to that file. Every attempt by a user to access a file is first checked against the ACL and then checked against the non-discretionary security policy. The "least" or "most restrictive" access found in these checks is then granted to the user.

The relationship between the labels associated with the subject's access class (sac) and the object's access class (oac) is defined by a lattice model of secure information flow [12] as follows ("!" denotes "no relationship"):

1. $\text{sac} = \text{oac}$, read and write access permitted
2. $\text{sac} > \text{oac}$, read access permitted
3. $\text{sac} < \text{oac}$, write access permitted
4. $\text{sac} \neq \text{oac}$, no access permitted

In order to understand how these access levels are determined, it is necessary to gain an awareness of and consideration for several basic security properties.

The "Simple Security Property" deals with "read" access. It states that a subject may have read access only to those object's whose classification is less than or equal to the classification of the subject. This prevents a subject from reading any object possessing a classification higher than his own.

The "Confinement Property" (also known as "-*-property") governs "write" access. It states that a user may be granted write access only to those objects whose classification is greater than or equal to the classification of the subject. This prevents a user from writing information of a higher classification (e.g., Secret) into a file of a lower classification (e.g., Unclassified). It is noted that while this property allows a user to write into a file possessing a classification higher than his own, it does not allow him access to any of the data in that file. The SASS design does not allow a user to "write up" to higher classified files. Therefore, in SASS, " $\text{sac} < \text{oac}$ " denotes "no access permitted."

The "Compatibility Property" deals with the creation of objects in a hierarchical structure. In SASS, objects (segments) are hierarchically organized in a tree structure. This structure consists of nodes with a root node from which the tree emanates. The Compatibility Property states that the classification of objects must be non-decreasing as we move down the hierarchical structure. This prevents a parent node from creating a child node of a lower classification.

Several prerequisites must be met in order to insure that the security kernel design provides a secure environment. Firstly, every attempt to access data must invoke the Kernel. In addition, the Kernel must be isolated and tamperproof. Finally, the Kernel design must be verifiable. This implies that the mathematical model, upon which the Kernel is based, must be proved secure and that the Kernel is shown to correctly implement this model.

3. Segmentation

Segmentation is a key element of a security Kernel based system. A segment can be defined as a logical grouping of information, such as a procedure, file or data area [8]. Therefore, we can redefine a process' address space as the collection of all segments addressable by that process. Segmentation is the technique applied to effect management of those segments within an address space. In a segmented environment, all references within an address space require two components: 1) a segment specifier (number) and 2) the location (offset) within the segment.

A segment may have several logical and physical attributes associated with it. The logical attributes may include the segment's classification, size, or permissible access (read, write, or execute). These logical attributes allow a segment to nicely fit the definition of an object within the security kernel concept, and thus provide a means for the enforcement of information security. A segment's physical attributes include the current location of the segment, whether or not the segment resides in main memory or secondary storage, and where the segment's attributes are maintained by a segment descriptor. The segment descriptors for each segment in a process' address space are contained within a Descriptor Segment (viz., the MMU Image in SASS) to facilitate the memory management of that address space.

Segmentation supports information sharing by allowing a single segment to exist in the address spaces of multiple processes. This allows us to forego the maintenance of multiple copies of the same segment and eliminates the possibility of conflicting data. Controlled access to a segment is also enforced, since each process can have different attributes (read/write) specified in its segment descriptor. In the implementation of SASS, any segment which is shared, but has "read only" access by every process sharing it, is placed in the processor local memory supporting each of these processes rather than in the global memory. This implies the maintenance of multiple copies of

some shared segments. It is noted that the problem of "conflicting data" is avoided since this only applies to read only segments. This apparent waste of memory and nonuse of existing sharing facilities is justified by a design decision to provide maximum reduction of bus contention among processors accessing global memory. This reduction in bus contention is considered to be of more importance than the saving of memory space provided by single copy sharing of read only segments. This decision is also well supported by the occurrence of decreasing memory costs, which we have experienced in terms of high speed bus costs.

4. Protection Domains

The requirement for isolating the Kernel from the remainder of the system is achieved by dividing the address space of each process into a set of hierarchical domains or protection rings [13]. O'Connell and Richardson [1] defined three domains in the family of secure operating systems: the user, the supervisor, and the kernel. Only two domains are actually necessary in the SASS design since it does not provide extended user applications. The Kernel resides in the inner or most privileged domain and has access to all segments in an address space. System wide data bases are also maintained within the Kernel domain to insure their accessibility is only through the Kernel. The Supervisor exists in the outer or least privileged domain where its access to data or segments within an address space is restricted.

While protection domains may be created through either hardware or software mechanisms, a hardware implementation provides much greater efficiency. Current microprocessor technology only provides for the implementation of two domains. This two domain restriction does not support O'Connell and Richardson's complete family design, but it is sufficient to allow hardware implementation of the ring structure required by the SASS subset.

5. Abstraction

Dijkstra [14] has shown that the notion of abstraction can be used to reduce the complexity of a problem by applying a general solution to a number of specific cases. A structure of increasing levels of abstraction provides a powerful tool for the design of complex systems and generally leads to a better design with greater clarity and fewer errors.

Each level of abstraction creates a virtual hierarchical machine [8] which provides a set of "extended instructions" to the system. A virtual machine cannot make calls to another virtual machine at a higher level of abstraction and in fact is unaware of its existence. This implies that a level of abstraction is independent of any higher levels. This independence provides for a loop-free design. Additionally, a higher level may only make use of the resources of a lower level by applying the extended instruction set of the lower level virtual machine.

Therefore, once a level of abstraction is created, any higher level is only interested in the extended instruction set it provides and is not concerned with the details of its implementation. In SASS, once a level of abstraction is created for the physical resources of the system, these resources become "virtualized" making the higher levels of the design independent of the physical configuration of the system.

C. THESIS STRUCTURE

This thesis describes the implementation of the process management functions for the SASS. The design base for this implementation evolved from the secure family of operating systems designed by O'Connell and Richardson [1]. The programming language utilized in this implementation was PLZ/ASM assembly code [20].

Chapter I provided an introduction to the Secure Archival Storage System and a discussion of the basic concepts which underlie a secure operating system environment.

Chapter II will provide a discussion of the SASS design. An overview of the entire SASS system is presented along with more detailed description of the modules comprising SASS and their associated databases.

Chapter III discusses the issues bearing on this implementation and the refinements made to previous SASS related work. A discussion concerning the initialization of

the databases utilized by the current SASS demonstration is also presented.

Chapter IV presents the implementation of process management (viz., the Traffic Controller, Event Manager, Distributed Memory Manager, and Gate Keeper stub modules). A description of design and implementation criteria, and decisions made during implementation are also discussed in this chapter.

Chapter V provides the conclusions reached, the status of the research, and recommendations relative to the continuation and extension of this work.

The appendices include the PLZ/ASM code for the modules implemented and refined. The complete program listings for the Secure Archival Storage System may be obtained from a report prepared by Schell and Cox [22].

II. SECURE ARCHIVAL STORAGE SYSTEM DESIGN

This chapter provides an overview of the SASS in its current design state. The intent of this summary is threefold. First, it is intended to provide an overall understanding of the SASS itself. Secondly, it will provide an interrelationship between the work done in this thesis and previous work performed on SASS. Lastly, it provides a current base upon which further SASS development can occur.

A. BASIC SASS OVERVIEW

The purpose of the Secure Archival Storage System is to provide a secure "data warehouse" or information pool which can be accessed and shared by a variable set of host computer systems possessing differing security classifications. The primary goals of the SASS design are to provide information security and controlled sharing of data among system users.

Figure 1 provides an example of a possible SASS usage. The system is used exclusively for managing an archival storage system and does not provide any programming services to its users. Thus the users of the SASS may only create, store, retrieve, or modify files within the SASS. The host computers are hardwired to the system via the I/O ports of the Z8001 with each connection having a fixed security

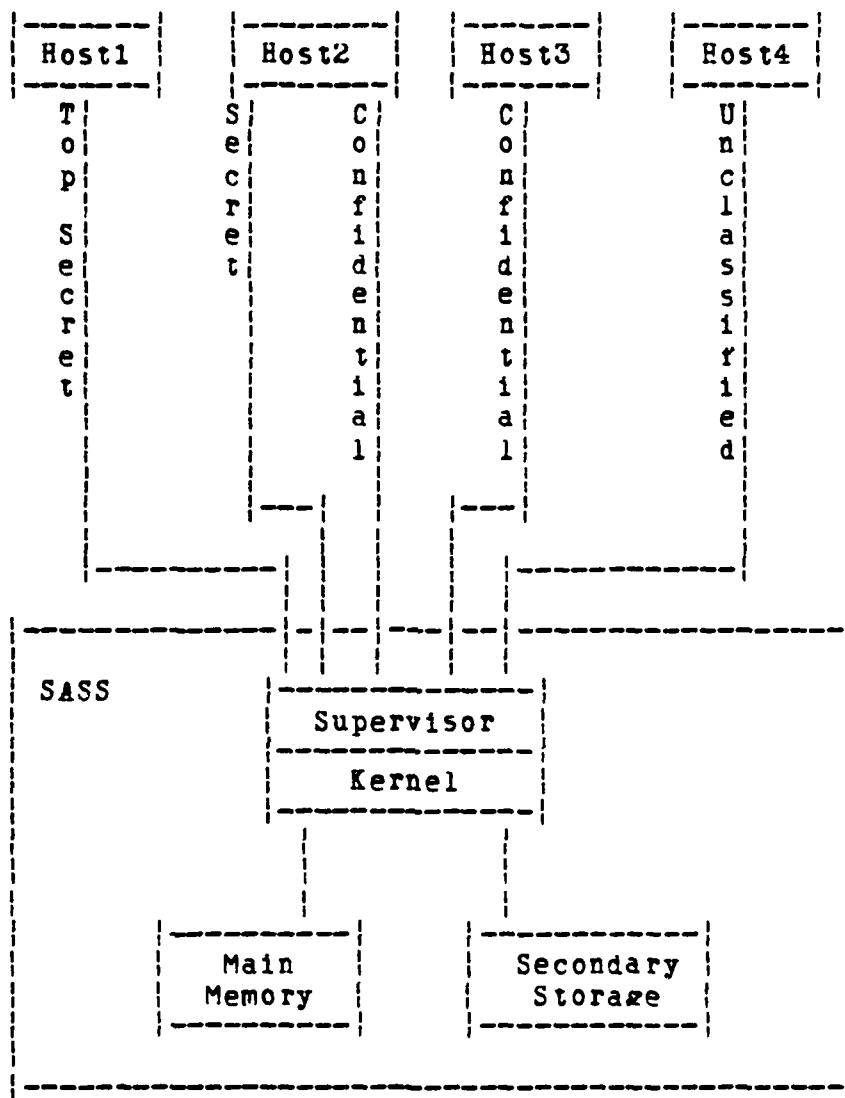


Figure 1. SASS System

classification. Each host must have a separate connection for each security level it wishes to work on (It is important to note that Figure 1 only represents the logical interfacing of the system. Specifically, the actual connection with the host system must be interfaced with the Kernel as the I/O instructions for the port are privileged). In our example, Host #1 can create and modify only Top Secret files, but it can read files which are Top Secret, Secret, Confidential, or Unclassified. Likewise, Host #2 can create or modify secret files, using its secret connection or confidential files, using its confidential connection. Host #2 cannot create or modify Top Secret or Unclassified files.

In order to provide information security and controlled sharing of files, the SASS operates in two domains: (1) the Supervisor domain and (2) the Kernel domain. The SASS achieves this desired environment through a distributed operating system design which consists of two primary modules: the Supervisor and the Security Kernel. Each host system connected to the SASS has associated with it two processes within the SASS which perform the data transfer and file management on behalf of that host. The host computer communicates directly with its own I/O process and File Manager process within the SASS.

We can use our notion of abstraction to present a system overview of the SASS. The SASS consists of four primary

levels of abstraction:

Level 3-The Host Computer Systems

Level 2-The Supervisor

Level 1-The Security Kernel

Level 0-The SASS Hardware

A pictorial representation of this abstract system overview is presented in Figure 2. This representation is limited to a dual host system for clarity and space restrictions. Note that the Gate Keeper module is in actuality the logical boundary between levels one and two and as such will be described separately.

Level 3, the host computer systems, of SASS has already been addressed. It should be noted that the SASS design makes no assumptions about the host computer systems. Therefore each host may be of a different type or size (i.e.- micro, mini, or maxi-computer system). Furthermore, the necessary physical security of the host systems and their respective data links with the SASS is assumed.

B. SUPERVISOR

Level 2 of the SASS system is composed of the Supervisor domain. As already stated, the SASS consists of two domains. The actual implementation of these domains was greatly simplified since the Z8001 microprocessor provides two modes of execution. The system mode, with which the Kernel was implemented, provides access to all machine instructions and

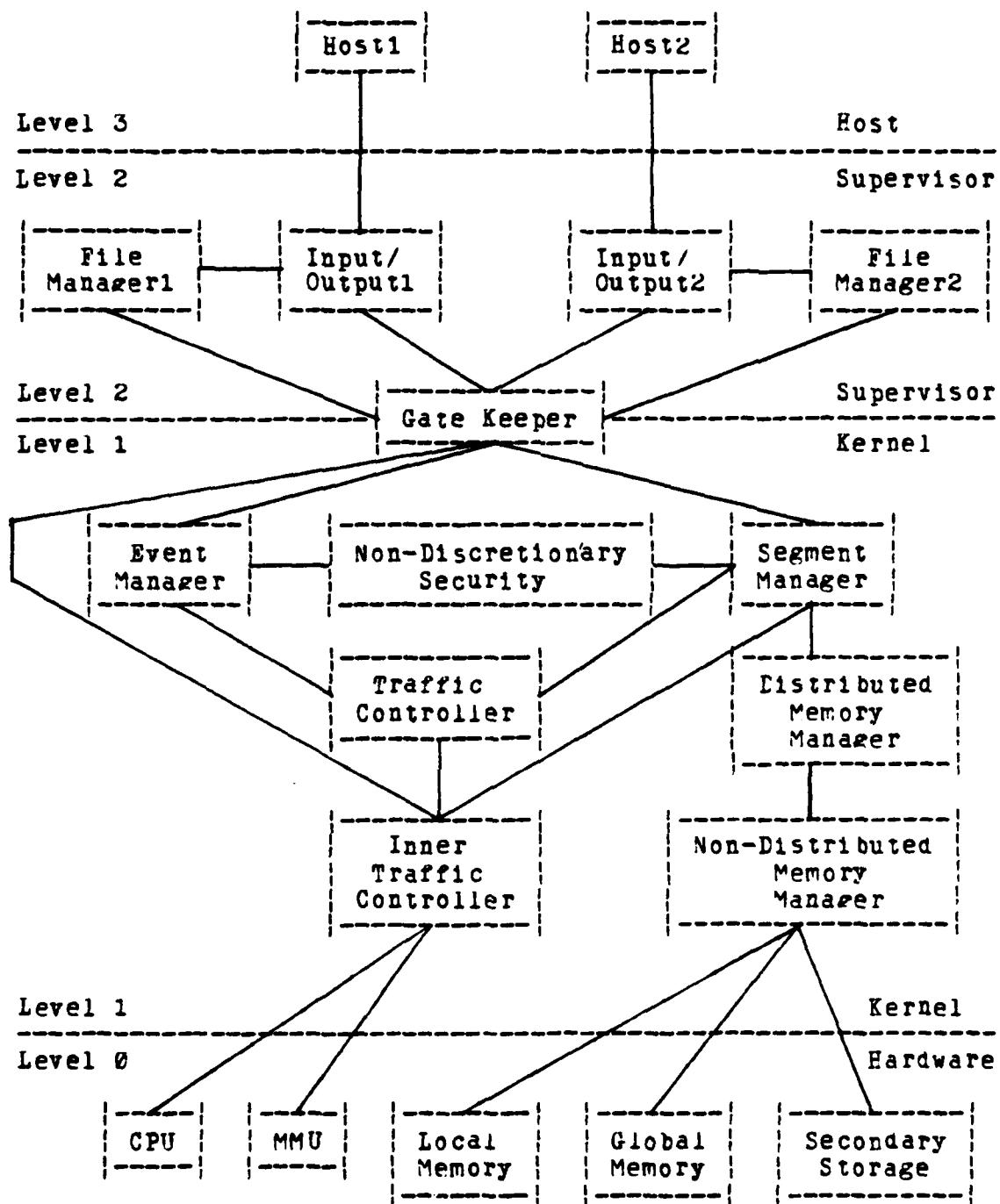


Figure 2. System Overview (Dual Host)

all segments within the system. The normal mode, with which the Supervisor was implemented, only provides access to a limited subset of machine instructions and segments within the system. Therefore, the Supervisor operates in an outer or less privileged domain than the Kernel.

The purpose of the Supervisor is to manage the data link between the host computer systems and the SASS by means of Input/Output control, and to create and manage the file hierarchy of each host within the SASS. These functions are accomplished via an Input/Output (I/O) process and a File Manager (FM) process within the Supervisor. A separate FM and I/O process are created and dedicated to each host at the time of system initialization.

1. File Manager Process

The FM process directs the interaction between the host computer systems and the SASS. It interprets all commands received from the Host computer and performs the necessary action upon them through appropriate calls to the Kernel. The primary functions of the FM process are the management of the Host's virtual file system and the enforcement of the discretionary security policy.

The virtual file system of the Host is viewed as a hierarchy of files which are implemented in a tree structure. The five basic actions which may be initiated upon a file at this level are: 1) to create a file, 2) to delete a file, 3) to read a file, 4) to store a file, and 5)

to modify a file. The FM process utilizes a FM Known Segment Table (FM_KST) as the primary database to aid in this management.

The FM process maintains an Access Control List (ACL) through which it enforces the discretionary security in SASS. The FM process initializes an ACL for every file in its Host's file system. The ACL is merely a list of all users that are authorized to access that file. The ACL is checked upon every attempt to access a file to determine its authorization. The user (host computer) directs the FM process as to what entries or deletions should be made in the ACL, and as such, specifies who he wishes to have access to his file. As noted earlier, discretionary security is a refinement to the Non-Discretionary Security Policy and therefore can only be utilized to add further access restrictions to those provided by the Non-Discretionary Security. This prevents a user from granting access to a file to someone who otherwise would not be authorized access.

2. Input/Output Process

The I/O process is responsible for managing the input and output of all data between the host computer systems and the SASS. The I/O process is subservient to the FM process and receives all of its commands from it. Data is transferred between the SASS and Host Computer systems in fixed size "packets". These packets are broken up into three

basic types: 1) a synchronization packet, 2) a command packet, and 3) a data packet. In order to insure reliable transmission and receipt of packets between the Host computer and the SASS, there must exist a protocol between them. Parks [2] provides a more detailed description of these packets, and a possible multi-packet protocol.

C. GATE KEEPER

The primary objective of the gate keeper is to isolate the Kernel and make it tamperproof. This goal is accomplished by reason of a software ring crossing mechanism provided by the gate keeper. In terms of SASS, this notion of "ring-crossing" is merely the transition from the Supervisor domain to the Kernel domain. As noted earlier, the gate keeper establishes the logical boundary between the Supervisor and the Kernel, and as a matter of course, it provides a single software entry point (enforced by hardware) into the Kernel. Therefore, any call to the Kernel must first pass through the gate keeper.

The gate keeper acts as a trap handler. Once it is invoked by a user (Supervisor) process, the hardware preempt interrupts are masked, and the user process' registers and stack pointer are saved (within the kernel domain). It then takes the argument list provided by the caller and validates these passed parameters to insure their correctness. To aid in the validation of these parameters, the gate keeper

utilizes the Parameter Table as a database. The Parameter table contains all of the permitted functions provided by the Kernel. These relate directly to the extended instruction set (viz., Supervisor calls) provided by the Kernel (these extended instructions will be described in the next section). If an invalid call is encountered by the gate keeper, an error code is returned, and the Kernel is not invoked. If a valid call is encountered by the gate keeper, the arguments and control are passed to the appropriate Kernel module.

Once the Kernel has completed its action on the user request, it passes the necessary parameters and control back to the gate keeper. At this point, the gate keeper determines if any software virtual preempt interrupts have occurred. If they have, then the virtual preempt handler is invoked vice the Kernel being exited (virtual interrupt structure is discussed in chapter III). Correspondingly, if a software virtual preempt has not occurred, then the return arguments are passed to the user process. The user process' registers and stack pointer (viz., its execution point) are restored and control returned to the Supervisor domain. A detailed description of the Gate Keeper interface and implementation is provided in chapter IV.

D. DISTRIBUTED KERNEL

Level 1 of our abstract view of SASS consists of two components: the distributed Kernel and the non-distributed Kernel. These two elements comprise the Security Kernel of the SASS. The Security Kernel has two primary objectives: 1) the management of the system's hardware resources, and 2) the enforcement of the non-discretionary security policy. It executes in the most privileged domain (viz., the system mode of the Z8001) and has access to all machine instructions. The following section will provide a brief description of the distributed Kernel, its components, and the extended instruction set it provides. A discussion of the non-distributed Kernel will be given in the next section.

The distributed Kernel consists of those Kernel modules whose segments are contained (distributed) in the address space of every user (Supervisor) process. Thus, in effect, the distributed Kernel is shared by all user processes in the SASS. The distributed Kernel is composed of the Segment Manager, the Event Manager, the Non-Discretionary Security Module, the Traffic Controller, the Inner Traffic Controller, and the Distributed Memory Manager Module. The Segment Manager and the Event Manager are the only "user visible" modules in the distributed Kernel. In other words, the set of extended instructions available to user processes invoke either the Segment Manager or the Event Manager.

1. Segment Manager

The objective of the Segment Manager is the management of a process' segmented virtual storage. The Segment Manager is invoked by calls from the Supervisor domain via the gate keeper. Calls to the Segment Manager are made by means of six extended instructions provided by the segment manager. These extended instructions (viz., entry points) are: 1) CREATE_SEGMENT, 2) DELETE_SEGMENT, 3) MAKE_KNOWN, 4) TERMINATE, 5) SM_SWAP_IN, and 6) SM_SWAP_OUT. The extended instructions CREATE_SEGMENT and DELETE_SEGMENT add and remove segments from the SASS. MAKE_KNOWN and TERMINATE add and remove segments from the address space of a process. Finally, SM_SWAP_IN and SM_SWAP_OUT move segments from secondary storage to main storage and vice versa.

The primary database utilized by the Segment Manager is the Known Segment Table (KST). A representation of the structure of the KST is provided in figure 3. The KST is a process local database that contains an entry for every segment in the address space of that process. The KST is indexed by segment number with each record of the KST containing descriptive information for a particular segment. The KST provides a mapping mechanism by which the segment number of a particular segment can be converted into a unique handle for use by the Memory Manager. The Memory Manager will be discussed in the next section.

<u>Segment #</u>	<u>MM Handle</u>	<u>Size</u>	<u>Acess Mode</u>	<u>In Core</u>	<u>Class</u>	<u>Mentor Seg No</u>	<u>Entry Number</u>

Figure 3. Known Segment Table (KST)

2. Event Manager

The purpose of the Event Manager is the management of event data which is associated with interprocess communications within the SASS. This event data is implemented by means of eventcounts (a synchronization primitive discussed by Reed [15]). The Event Manager is invoked, via the Gate Keeper, by user processes residing in the Supervisor domain. There are two eventcounts associated with every segment existing in the Supervisor domain. These eventcounts (viz., Instance 1 and Instance 2) are maintained in a database residing in the Memory Manager. The Event Manager provides its management functions through its extended instruction set READ, TICKET, ADVANCE, and AWAIT, and in conjunction with the extended instructions TC_ADVANCE and TC_AWAIT provided by the Traffic Controller (to be discussed next). These extended instructions are based on the mechanism of eventcounts and sequencers [15]. The Event Manager verifies the access permission of every interprocess communication request through the Non-Discretionary Security Module. The extended instruction READ provides the current value of the eventcount requested by the caller. TICKET provides a complete time ordering of possibly concurrent events through the mechanism of sequencers. The Event Manager will be discussed in more detail in chapter IV.

3. Non-Discretionary Security Module

The purpose of the Non-Discretionary Security Module (NDS) is the enforcement of the non-discretionary security

policy of the SASS. While the current implementation of SASS represents the Department of Defense security policy, any security policy which may be represented through a lattice structure [12] may also be implemented. The NDS is invoked via its extended instruction set: CLASS_EQ and CLASS_GE. The NDS is passed two classifications which it compares and then analyzes their relationship. CLASS_EQ will return a true value to the calling procedure only if the two classifications passed were equal. The CLASS_GE instruction will return true if a given classification is analyzed to be either greater than or equal to another given classification. The NDS does not utilize a data base as it works only with the parameters it is passed.

4. Traffic Controller

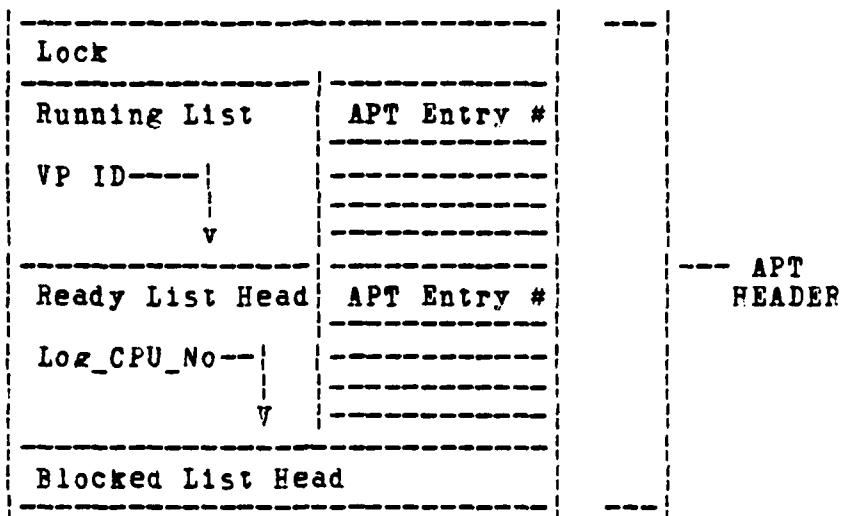
The task of processor scheduling is performed by the traffic controller. Saltzer [16] defines traffic controller as the processor multiplexing and control communication section of an operating system. The current SASS design utilizes Reed's [9] notion of a two level traffic controller, consisting of: 1) a Traffic Controller (TC) and 2) an Inner Traffic Controller (ITC).

The primary function of the Traffic Controller is the scheduling (binding) of user processes onto virtual processors. A virtual processor (VP) is an abstract data structure that simulates a physical processor through the preservation of an executing process' attributes (viz., the

execution point and address space). Multiple VP's may exist for every physical processor in the system. Two VP's are permanently bound to Kernel processes (viz., Memory Manager and Idle) and as such are not in contention for process scheduling. These processes and their corresponding virtual processors are invisible to the TC. The remaining virtual processors are either idle or are temporarily bound to user processes as scheduled by the TC. The database utilized by the TC in process scheduling is the Active Process Table (APT). Figure 4 provides the structure of the APT.

The APT is a system-wide Kernel database containing an entry for every user process in the system. Since the current SASS design does not provide for dynamic process creation/deletion, a user process is active for the life of the system. Therefore, the size of the APT is fixed at the time of system generation. The APT is logically composed of three parts: 1) an APT header, 2) the main body of the APT, and 3) a VP table. The APT header includes: 1) a Lock to provide for a mutual exclusion mechanism, 2) a Running List indexed by VP ID to identify the current process running on each VP, 3) a Ready List, which points to the linked list of processes which are ready for scheduling, and 4) a Blocked List, which points to the linked list of processes which are in the blocked state awaiting the occurrence of some event.

A design decision was made to incorporate a single list of blocked processes instead of the more traditional



---APT Entry #

AP Link	DBR Handle	Access Class	Priority	State	Affinity	VP ID	Handle Instance Count	Awaited Event

Log_CPU_No ----->

NR_OF_VP'S								TC
FIRST_VP								VP TABLE

Figure 4. Active Process Table (APT)

notion of separate lists per eventcount because of its simplicity and its ease of implementation. This decision does not appreciably affect system performance or efficiency as the "blocked" list will never be very long. The VP table is indexed by logical CPU number and specifies the number of VP's associated with the logical CPU and its first VP in the Running List. The logical CPU number, obtained during system initialization, provides a simple means of uniquely identifying each physical CPU in the system. The main body of the APT contains the user process data required for its efficient control and scheduling. NEXT_AP provides the linked list threading mechanism for process entries. The DBR entry is a handle identifying the process' Descriptor Segment which is employed in process switching and memory management. The ACCESS_CLASS entry provides every process with a security label that is utilized by the Event Manager and the Segment Manager in the enforcement of the Non-Discretionary Security Policy. The PRIORITY and STATE entries are the primary data used by the Traffic Controller to effect process scheduling. AFFINITY identifies the logical CPU which is associated with the process. VP ID is utilized to identify the virtual processor that is currently bound to the process. Finally, the EVENTCOUNT entries are utilized by the TC to manage processes which are blocked and awaiting the occurrence of some event. HANDLE identifies the segment associated with the event, INSTANCE specifies the

event, and COUNT determines which occurrence of the event is needed.

The Traffic Controller determines the scheduling order by process priority. Every process is assigned a priority at the time of its creation. Once scheduled, a process will run on its VP until it either blocks itself or it is preempted by a higher priority process. To insure that the TC will always have a process available for scheduling, there logically exists an "idle" process for every VP visible to the TC. These "idle" processes exist at the lowest process priority and, consequently, are scheduled only if there exists no useful work to be performed.

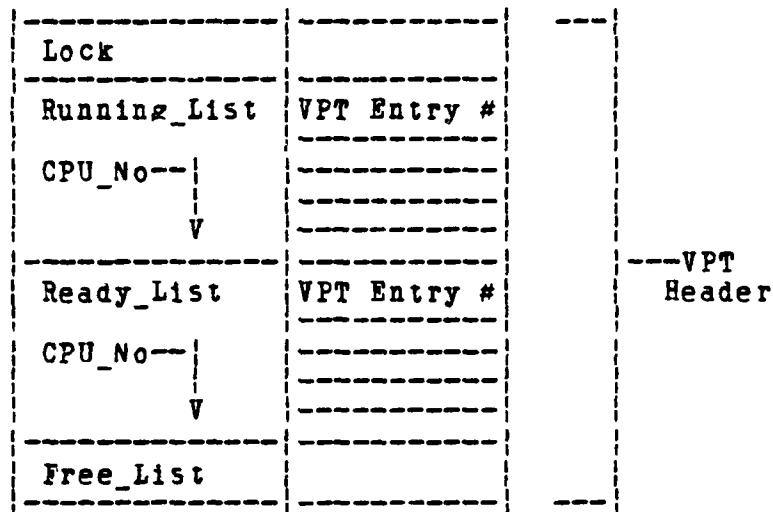
The Traffic Controller is invoked by the occurrence of a virtual preempt interrupt or through its extended instruction set: ADVANCE, AWAIT, PROCESS_CLASS, and GET_DBR_NUMBER. ADVANCE and AWAIT are used to implement the IPC mechanism envoked by the Supervisor. PROCESS_CLASS and GET_DBR_NUMBER are called by the Segment Manager to ascertain the security label and DBR handle, respectively, of a named process. A more detailed discussion of the TC is provided in chapters III and IV.

5. Inner Traffic Controller

The Inner Traffic Controller is the second part of our two-level traffic controller. Basically, the ITC performs two functions. It multiplexes virtual processors onto the actual physical processors, and it provides the

primitives for which inter-VP communication within the Kernel is implemented. A design choice was made to provide each physical processor in the system with a small fixed set of virtual processors. Two of these VP's are permanently bound to the Kernel processes. The Memory Manager is bound to the highest priority VP. Conversely, the Idle Process is bound to the lowest priority VP and, as a result, will only be scheduled if there exists no useful work for the CPU to perform. The primary database utilized by the ITC is the Virtual Processor Table (VPT). Figure 5 illustrates the VPT.

The VPT is a system wide Kernel database containing entries for every CPU in the system. The VPT is logically composed of four parts: 1) a header, 2) a VP data table, 3) a message table, and 4) an external VP list. The header includes a LOCK (spin lock) that provides a mutual exclusion mechanism for table access, a RUNNING LIST (indexed by logical CPU #) that identifies the VP currently running on the corresponding physical CPU, a READY LIST (indexed by logical CPU #) which points to the linked list of VP's which are in the "ready" state and awaiting scheduling on that CPU, and a FREE LIST which points to the linked list of unused entries in the message table. The VP data table contains the descriptive data required by the ITC to effectively manage the virtual processors. The DBR entry points within the MMU Image to the descriptor segment for the process currently running on the VP. PRI (Priority),



VP_ID

NEXT VP	READY VP	DBR	STATE	IDLE FLAG	VIRTUAL PREEMPT	PHYSICAL PROCESSOR	PRI	EXT VP ID	MSG LIST

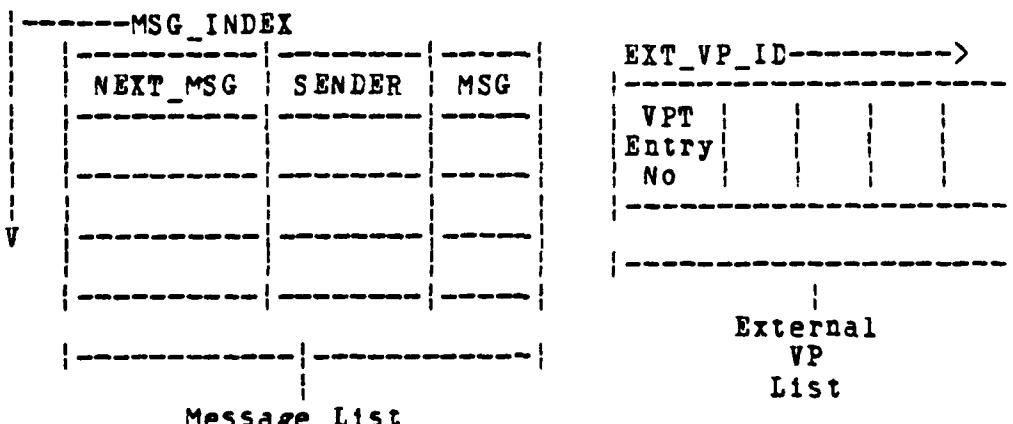


Figure 5. Virtual Processor Table (VPT)

STATE, IDLE_FLAG, and PREEMPT are the primary data used by the ITC for VP scheduling. PREEMPT indicates whether or not a virtual preempt is pending for the VP. The IDLE_FLAG is set whenever the TC has bound an "idle" process to the VP. Normally, a VP with the IDLE_FLAG set will not be scheduled by the ITC as it has no useful work to perform. In fact, such a VP will only be scheduled if the PREEMPT flag is set. This scheduling will allow the VP to be given (bound) to another process. PHYSICAL PROCESSOR contains an entry from the Processor Data Segment (PRDS) that identifies the physical processor that the VP is executing on. EXT_VP_ID is the identifier by which the VP is known by the Traffic Controller. A design choice was made to have the EXT_VP_ID equate to an offset into the External VP List. The External VP List specifies the actual VP ID (viz., VPT entry number) for each external VP identifier. This precluded the necessity for run time calculation of offsets for the EXT_VP_ID. NEXT READY VP provides the threading mechanism for the "Ready" linked list, and MSG LIST points to the first entry in the Message Table containing a message for that VP. The Message Table provides storage for the messages generated in the course of Inter-Virtual Processor communications. MSG contains the actual communication being passed, while SENDER identifies the VP which initiated the communication. NEXT_MSG provides a threading mechanism for multiple messages pending for a single VP.

The ITC is invoked by means of its extended instruction set: WAIT, SIGNAL, SWAP_VDBR, IDLE, SET_PREEMPT, and RUNNING_VP. WAIT and SIGNAL are the primitives employed in implementing the Inter-VP communication. SWAP_VDBR, IDLE, SET_PREEMPT, and RUNNING_VP are all invoked by the Traffic Controller. SWAP_VDBR provides the means by which a user process is temporarily bound to a virtual processor. IDLE binds the "Idle" process to a VP (the implication of this instruction will be discussed later). SET_PREEMPT provides the means of indicating that a virtual preempt interrupt is pending on a VP (specified by the TC) by setting the PREEMPT flag for that VP in the VPT. RUNNING_VP provides the TC with the external VP ID of the virtual processor currently running on the physical processor.

6. Distributed Memory Manager

The Distributed Memory Manager provides an interface structure between the Segment Manager and the Memory Manager Process. This interfacing is necessitated by the fact that the Memory Manager Process does not reside in the Distributed Kernel and consequently is not included in the user process' address space. The primary functions performed in this module are the establishment of Inter-VP Communication between the VP bound to its user process and the VP permanently bound to the Memory Manager Process, the manipulation of event data, and the dynamic allocation of available memory. The Distributed Memory Manager Module is

invoked by the Segment Manager through its extended instruction set: MM_CREATE_ENTRY, MM_DELETE_ENTRY, MM_ACTIVATE, MM_DEACTIVATE, MM_SWAP_IN, and MM_SWAP_OUT. These extended instructions are utilized on a one to one basis by the extended instruction set of the Segment Manager (e.g., SM_SWAP_IN utilizes (calls) MM_SWAP_IN). Wells [6] provides a more detailed description of this portion of the Distributed Memory Manager and the extended instruction set associated with it.

The Distributed Memory Manager is also invoked through its remaining extended instructions: MM_READ_EVENTCOUNT, MM_TICKET, MM_ADVANCE, and MM_ALLOCATE. These Distributed Memory Manager functions will be discussed in detail in chapter IV.

E. NON-DISTRIBUTED KERNEL

The Non-Distributed Kernel is the second element residing in Level 1 of our abstract system view of the SASS. The sole component of the Non-Distributed Kernel is the Memory Manager Process.

1. Memory Manager Process

The primary purpose of the Memory Manager Process is the management of all memory resources within the SASS. These include the local and global main memories, as well as the hard-disk based secondary storage. A dedicated Memory Manager Process exists for every CPU in the system. Each CPU

possesses a local memory where process local segments and shared, non-writeable segments are stored. There is also a global memory, to which every CPU has access, where the shared, writeable segments are stored. It is necessary to store these shared, writeable segments in the global memory to ensure that a current copy exists for every access.

The Memory Manager Process is tasked by other processes within the Kernel domain (via Signal and Wait) to perform memory management functions. These basic functions include the allocation/deallocation of local and global memory and of secondary storage, and the transfer of segments between the local and global memory and between secondary storage and the main memories. The extended instruction set provided by the Memory Manager Process includes: CREATE_ENTRY, DELETE_ENTRY, ACTIVATE, DEACTIVATE, SWAP_IN, and SWAP_OUT. These instructions correspond one to one with those of the Distributed Memory Manager Module. The system wide data bases utilized by all Memory Manager Processes are the Global Active Segment Table (G_AST), the Alias Table, the Disk Bit-Map, and the Global Memory Bit Map. The processor local databases used by each Memory Manager Process are the Local Active Segment Table (L_AST), and the Local Memory Bit Map. Gary and Moore [4] provide a detailed description of the Memory Manager, its extended instruction set, and its databases.

A summary of the extended instruction set created by the components of the Security Kernel is provided by Figure 6. One might question the prudence of omitting PHYS_PREEMPT_HANDLER and VIRT_PREEMPT_HANDLER (viz., the handler routines for physical and virtual interrupts) from the extended instruction set as both of these interrupts may be raised (viz., initiated) from within the Kernel. A decision was made to not classify these handlers as "extended instructions" since they are only executed as the result of a physical or virtual interrupt and as such cannot be directly invoked (viz., "called") by any module in the system. A summary of the databases utilized by Kernel modules is presented in Figure 7.

F. SYSTEM HARDWARE

Level 0 of the SASS consists of the system hardware. This hardware includes: 1) the CPU, 2) the local memory, 3) the global memory, 4) the secondary storage (viz. hard disk), and 5) the I/O ports connecting the Host computer systems to the SASS. Since the SASS design allows for a multiprocessor environment, there may exist multiple CPU's and local memories. The target machine selected for the initial implementation of the system is the Zilog Z8001 microprocessor [17]. The Z8001 is a general purpose 16-bit, register oriented machine that has sixteen 16-bit general purpose registers. It can directly address 8M bytes of

<u>MODULE</u>	<u>INSTRUCTION SET</u>	
Segment Manager	Create_Segment*	Delete_Segment*
	Make_Known*	Terminate*
	SM_Swap_In*	SM_Swap_Out*
Event Manager	Read*	Ticket*
	Advance*	Await*
Non-Discretionary Security	Class_EQ	Class_GE
Traffic Controller	TC_Advance	TC_Await
	Process_Class	
Inner Traffic Controller	Signal	Wait
	Swap_VDBR	Idle
	Set_Preempt	Test_Preempt
	Running_VP	
Distributed Memory Manager	MM_Create_Entry	MM_Delete_Entry
	MM_Activate	MM_Deactivate
	MM_Swap_In	MM_Swap_Out
Non-Distributed Memory Manager	Create_Entry	Delete_Entry
	Activate	Deactivate
	Swap_In	Swap_Out

* Denotes user visible instructions

Figure 6. Extended Instruction Set

<u>MODULE</u>	<u>DATABASE</u>
Gate Keeper	Parameter Table
Segment Manager	Known_Segment_Table (KST)
Traffic Controller	Active_Process_Table (APT)
Inner Traffic Controller	Virtual_Processor_Table (VPT)
Memory Manager	Memory_Management_Unit Image (MMU) Global_Active_Segment_Table (G_AST) Local_Active_Segment_Table (L_AST) Disk_Bit_Map Global_Memory_Bit_Map Local_Memory_Bit_Map

Figure 7. Kernel Databases

memory, extensible to 48M bytes. The Z8001 architecture supports memory segmentation and two-domain operations. The memory segmentation capability is provided externally by the Zilog Z8010 Memory Management Unit (MMU). The Z8010-MMU [18] provides management of the Z8001 addressable memory, dynamic segment relocation, and memory protection. Memory segments are variable in size from 256 bytes to 64K bytes and are identified by a set of 54 Segment Descriptor Registers, which supply the information needed to map logical memory addresses to physical memory addresses. Each of the 64 Descriptor Registers contains a 16-bit base address field, an 8-bit limit field, and an 8-bit attribute field. Unfortunately, the Z8001 hardware was not available for use during system development. Therefore, all work to date has been completed through utilization of the Z8002 non-segmented version of the Z8000 microprocessor family [17]. The actual hardware used in this implementation is the Advanced Micro Computers Am96/4116 MonoBoard Computer [19] containing the Am28002 sixteen bit non-segmented microprocessor. This computer provides 32K bytes of on-board RAM, 8K bytes of PROM/ROM space, two RS232 serial I/O ports, 24 parallel I/O lines, and a standard INTEL Multibus interface. The general structure of the design has been preserved by simulation of the segmentation hardware in software. This software MMU Image (see Figure 8) is created as a database within the Inner Traffic Controller.

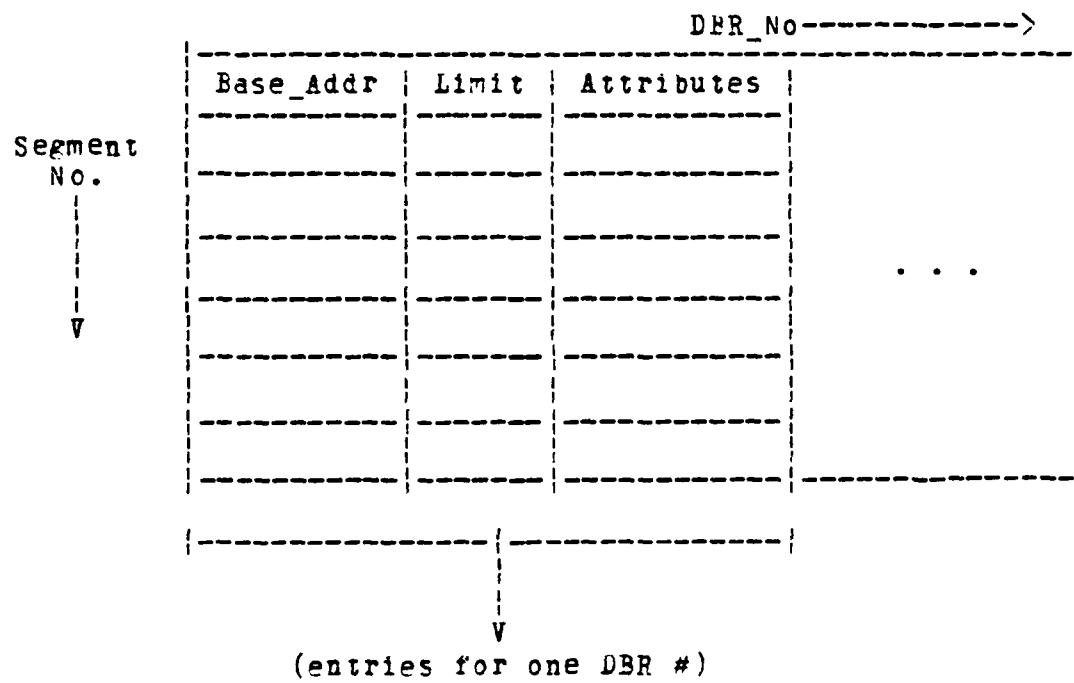


Figure 8. Memory Management Unit (MMU) Image

The MMU Image is a processor-local database indexed by DBR_No. Each DBR_No represents one record within the MMU Image. Each record is an exact software copy of the Segment Descriptor Register set in the hardware MMU. Each element of this software MMU Image is in the same form utilized by the special I/O instructions to load the hardware MMU. Each DBR record is indexed by segment number (Segment_No). Each Segment_No entry is composed of three fields: Base_Addr, Limit, and Attributes. Base_Addr is a 16-bit field which contains the base address of the segment in physical memory. Limit is an 8-bit field that specifies the number of contiguous blocks of memory occupied by the segment. Attributes is an 8-bit field representing the eight flags which specify the segment's attributes (e.g., "read", "execute", "write", etc.).

G. SUMMARY

An extended overview of the current SASS design has been presented in this chapter. The four major levels of abstraction comprising the SASS system have been identified and the major components of each level have been discussed. The extended instruction set provided by the SASS Kernel was also defined. With this background, the actual details of this implementation will be described in chapters III and IV.

III. IMPLEMENTATION ISSUES

Issues bearing on the implementation of process management and refinements made to existing modules are presented in this chapter. Process management for the SASS was provided through the implementation of the Traffic Controller Module, the Event Manager Module, the Distributed Memory Manager Module, and a Gate Keeper Stub (system trap). Additionally, since a demonstration/testbed was integral to the testing and verification of the implementation, it was necessary to complete other supportive tasks. These supportive tasks included limited Kernel database initialization, revised preempt interrupt handling mechanisms, Idle process definition and structure, and additional refinements to existing modules.

A. DATABASE INITIALIZATION

Previous work on SASS has relied on statically built databases, which proved to be sufficient for demonstration of a single processor, single host supported system. In the current demonstration, multiple hosts are simulated, and the Kernel data structures have been refined to represent a multiprocessor environment. Since a multiprocessor system was unavailable at the time of this demonstration, several "runs" were made and traced, using different logical CPU

numbers, to show the correctness of this structure. Due to this multiprocessor representation and simulation of multiple hosts, the use of statically built Kernel databases was no longer convenient. Therefore, it became necessary to provide initialization routines for the dynamic creation of those Kernel databases required for this implementation. While it was not the intent of this effort to implement system initialization, care was taken in the writing of these initializing routines so that they might be utilized in the system initialization implementation with, hopefully, minimal refinement. Database initialization was restricted to those databases existing in the Inner Traffic Controller and the Traffic Controller. Limited elements of the Known Segment Table (KST) and Global Active Segment Table (G_AST) were also created for demonstration purposes.

1. Inner Traffic Controller Initialization

A "Bootstrap Loader" Module, which logically exists at a higher level of abstraction within the Kernel, was created to initialize the databases of the Inner Traffic Controller. This initialization includes the creation of: 1) the Processor Data Segment (PRDS), 2) an MMU Map, 3) Kernel domain stack segments for Kernel processes, 4) allocation and updating of MMU entries for Kernel processes, and 5) Virtual Processor Table (VPT) entries.

The PRDS was loaded with constant values that specify the physical CPU ID, logical CPU ID, and number of

VP's allocated to the CPU. A design decision was made to allocate logical CPU ID's in increments of two (beginning with zero) so that they could be used to directly access lists indexed by CPU number. The MMU map, constructed as a "byte" map, was created to specify allocated and free MMU Image entries.

A separate procedure, CREATE_STACK, was created to establish the initial Kernel domain stack conditions for Kernel processes. A discussion and diagram of these initial stack conditions is presented in the next section. ALLOCATE_MMU checks the MMU Map and allocates the next available MMU entry to the process being created. The PRDS is inserted in the allocated MMU entry and the DBR number is returned to the calling procedure. The DBR number (handle) is merely the offset of the DBR in the MMU Image. Since the ITC deals with an address rather than a handle, a procedure, GET_DBR_ADDR, was created to convert this offset into a physical address. UPDATE_MMU_IMAGE is the procedure which creates or modifies MMU Image entries. UPDATE_MMU_IMAGE accepts as arguments the DBR number, segment number, segment attributes, and segment limits. To facilitate process switching and control, various process segments must possess the same segment number system wide. This is accomplished during initialization through the use of the UPDATE_MMU_IMAGE procedure. In the ITC, these segments include the PRDS (segment number zero) and the Kernel stack segment (segment number one).

The final task required in ITC initialization is the creation of the VPT. The VPT header is initialized with the "running" and "ready" lists pointers set to a 'nil' state, and the "free" list pointer set to the first entry in the message table. Virtual Processor entries are inserted in the main body of the VPT by the UPDATE_VP_TABLE procedure. Entries are first made for the VP's permanently bound to the Memory Manager and Idle processes. The VP bound to the MM process is given a priority of 2 (highest), and the VP bound to the Idle process is given a priority of 0 (lowest). The External VP ID for both of these VP's is set to "nil" as they are not visible to the Traffic Controller. The remaining VP's allocated to the CPU (viz., TC visible VP's) are then entered in the VPT with a priority of 1 (intermediate), and their "idle" and "preempt" flags are set. The preempt flag is set for these TC visible VP's to insure proper scheduling by the Traffic Controller. The DBR for these remaining VP's is initialized with the Idle process DBR. A discussion of "idle" processes and VP's will be provided later in this chapter. The External VP ID for each TC visible VP is merely the offset of the next available entry in the EXTERNAL VP LIST. This External VP ID is entered in the VPT, and the corresponding VP ID (viz., VPT Entry #) is entered in the EXTERNAL VP LIST.

Once these VPT entries have been made, it is necessary to set the state of each VP to "ready" and thread

them (by priority) into the appropriate ready list. A VPT threading mechanism was provided by Reitz [5] in procedure MAKE_READY. However, it was desired to have a more general threading mechanism that could be used for other lists. Procedure LIST_INSERT was created to provide this general threading mechanism. LIST_INSERT is logically a "library" function that exists at the lowest level of abstraction in the Kernel. This function threads an object into a list (specified by the caller) in order of priority, and then sets its state as specified by the calling parameters.

Once the "Bootstrap Loader" has completed ITC initialization, it passes control to the ITC GETWORK procedure to begin VP scheduling.

2. Traffic Controller Initialization

The initialization routines for the TC include TC_INIT, CREATE_PROCESS, and CREATE_KST. These routines are called from the Memory Manager process. The MM process was chosen to initiate these routines as it is bound to the highest priority VP and will begin running immediately after the Inner Traffic Controller is initialized. Procedure MM_ALLOCATE was written to allocate memory space for data structures during initialization (viz., Kernel stacks, user stacks, and KST's). Memory space is allocated in blocks of 100 (hex) bytes. MM_ALLOCATE is merely a stub of the memory allocating procedure designed by Moore and Gary [4].

It was necessary to pass long lists of arguments to the TC for initialization purposes. To aid in this passing of parameters, a data structure template was used. This template was created by declaring the parameters as a data structure in both the sending and receiving procedures, and then imaging this structure at absolute address zero. The process' stack pointer was then decremented by the size of the parameter data structure, and the parameters were loaded into this data structure indexed by the stack pointer. This template made it very easy to send and receive long argument lists using the process' stack segment.

TC_INIT initializes the APT header and virtual interrupt vector (discussed later). Each element of the running list is marked "idle", the ready and blocked lists are set to "nil", and the number of VP's and first VP for each CPU are entered in the VP table. The address of the virtual preempt handler is then passed to the ITC procedure CREATE_INT_VEC for insertion in the virtual interrupt vector.

CREATE_PROCESS initializes user processes and creates entries in the APT. ALLOCATE_MMU is called to acquire a DFR number, and an APT entry is created with the process descriptors (viz., parameters). The process is then declared "ready" and threaded into the appropriate ready list by calling the threading function, LIST_INSERT. A user stack is allocated and UPDATE_MMU_IMAGE is called to include the user

stack in the MMU as segment number three. The user stack contains no information or user process initialization parameters (viz., execution point and address space) as all processes are initialized and begin execution from the Kernel domain. Next, a Kernel domain stack is allocated and included in the MMU Image. A design decision was made to initialize the Kernel stacks for user processes with the same structure as the Kernel process' stacks. The rationale for this decision is presented in the next section. As a result of this decision, it became possible to use the CREATE_STACK procedure in building Kernel domain stacks for both Kernel and user processes. CREATE_STACK was therefore used as a library function and placed in the library module with LIST-INSERT.

Finally, a Known Segment Table (KST) stub is created to provide a means of demonstrating the mechanism provided by the eventcounts and sequencers for interprocess communication (IPC) and mutual exclusion. Space for the process' KST is created by calling MM_ALLOCATE. The KST is then included in the process' address space, as segment number two, by UPDATE_MMU_IMAGE. Initial entries are made in the Known Segment Table by procedure CREATE_KST. CREATE_KST makes an entry in the KST for the "root" and marks the remaining KST entries as "available." The Unique_ID portion of the root's handle (viz., upper two words) is initialized as -1 (for convenience) and the G_AST entry number portion of the handle (viz., lowest word) is initialized with zero.

3. Additional Initialization Requirements

As already mentioned, the Memory Manager Process prepares the arguments utilized by TC_INIT, CREATE_PROCESS, and CREATE_KST for TC initialization and user process creation. Additionally, the MM process creates a Global Active Segment Table (G_AST) stub utilized for demonstration of event data management. The G_AST stub is declared in a separate module (viz., the DEMO_DATABASE Module) with the format prescribed by Moore and Gary [4]. However, the only fields initialized and utilized by this implementation are UNIQUE_ID, SEQUENCER, INSTANCE 1, and INSTANCE 2. The eventcounts and sequencer fields are initialized as zero whenever an entry is created in the G_AST. The UNIQUE_ID is created just to support this demonstration and does not reflect the segment's unique identifier as specified by Moore and Gary [4]. In this demonstration, UNIQUE_ID is built with the parameters passed to MM_ACTIVATE. The first word in UNIQUE_ID is the G_AST entry number of the segment's parent, and the second word is the segment's entry number into the alias table. The UNIQUE_ID together with the offset of the segment's entry in the G_AST comprise the segment HANDLE maintained in the KST. The first entry in the G_AST is reserved for the root, and is initialized with an Unique_ID of minus one (-1). It should be noted that any call to MM_ACTIVATE for a segment already possessing an entry in the G_AST will not effect any changes to that

entry. This is to insure that a single G_AST entry exists for every segment as specified by Moore and Gary [4].

B. PREEMPT INTERRUPTS

Various refinements were made in the handling of both physical (hardware) and virtual (software) preempt interrupts. A hardware preempt is a non-vectorized interrupt that invokes the virtual processor scheduling mechanism (viz., ITC GETWORK). A virtual preempt is a software vectored interrupt that invokes the user process scheduling mechanism (viz., TC_GETWORK). This implementation provides the notion of a virtual interrupt that closely mirrors the behavior of a hardware interrupt. In particular, there are similar constructs for initialization of a handler, invocation of a handler, masking of interrupts, and return from a handler. As with most hardware interrupts, a virtual interrupt can occur only at the completion of execution for an "instruction," where each kernel entry and exit for a process delimit a single "virtual instruction."

1. Physical Preempt Handler

The physical preempt handler resides in the virtual processor manager (viz., Inner Traffic Controller). The functions it perform are: 1) save the execution point, 2) invoke ITC GETWORK, 3) check for virtual preempt interrupts, 4) restore the execution point, and 5) return control via the IRET instruction. Reitz [5] included the hardware

preempt handler in ITC GETWORK by establishing two entry points and two exit points, one for a regular call to GETWORK and another for the preempt interrupt. He had a separate procedure, TEST_PREEMPT, that was used to check for the occurrence of virtual preempt interrupts. This structure works nicely, but it requires some means of determining how GETWORK was invoked so that the proper exiting mechanism is used. This was resolved by incorporating a preempt interrupt flag in the status register block of every process' Kernel domain stack segment. A design decision was made to restructure the hardware preempt handler into a single and separate procedure, PHYS_PREEMPT_HANDLER. This allowed ITC GETWORK to have a single entry and exit point, and it did away with the necessity of maintaining a preempt interrupt flag in the process stacks. PHYS_PREEMPT_HANDLER was constructed from the preempt handling code in GETWORK and procedure TEST_PREEMPT. TEST_PREEMPT was deleted from the ITC as its functions were performed by PHYS_PREEMPT-HANDLER.

A further refinement was made to the hardware preempt handler dealing with the method by which the virtual preempt handler was invoked. Reitz [5] invoked the virtual preempt handler from TEST_PREEMPT by means of the "call" instruction. Since the virtual preempt handler logically exists at a higher level of abstraction than the ITC, this invocation violated our notion of only allowing "calls" to lower or equal abstraction levels. However, this deviation

was necessitated by the absence of a virtual interrupt structure. This problem was alleviated by creating a virtual interrupt vector in the ITC that is used in the same way as the hardware interrupt vector. The virtual preempt was given a virtual interrupt number (zero). The virtual interrupt handler is then invoked by means of a "jump" through the virtual interrupt vector for virtual interrupt number 0. This invocation occurs in the same manner that the handlers for hardware interrupts are invoked. The virtual interrupt vector is created by procedure CREATE_INT_VEC. CREATE_INT_VEC accepts as arguments a virtual interrupt number and the address of the interrupt handler. The creation of the virtual preempt entry in the virtual interrupt vector is accomplished at the time of the Traffic Controller initialization by TC_INIT.

2. Virtual Preempt Handler

The virtual preempt handler (VIRT_PREEMPT_HANDLER) resides in the user process manager (viz., the Traffic Controller). The functions performed by VIRT_PREEMPT_HANDLER are: 1) determine the VP ID of the virtual processor being preempted, 2) invoke the process scheduling mechanism (viz., TC_GETWORK), and 3) return control via a virtual interrupt return. The correct VP ID is obtained by calling RUNNING_VP in the ITC. The Active Process Table is then locked, and the state of the process running on that VP is changed to "ready." At this time, process scheduling is effected by

calling TC_GETWORK. Once process scheduling is completed, the APT is unlocked and control is returned via a virtual interrupt return. This virtual interrupt return is merely a jump to the PREEMPT_RET label in the hardware preempt handler (This jump emulates the action of the IRET instruction for a hardware interrupt return). This label is the point at which the virtual preempt interrupts are unmasked.

All Kernel processes are initialized to appear as though they are returning from a hardware preempt interrupt. All user processes initially appear to be returning from a virtual preempt interrupt. Therefore, the initial conditions of a process' Kernel domain stack is largely influenced by the stack manipulation of the preempt handlers. Figure 9 illustrates the initial Kernel domain stack structure for all system processes.

The initial Kernel Flag Control Word (FCW) value is "5000", indicating non-segmented code, system mode of operation, non-vectorized interrupts masked, and vectored interrupts enabled. The Current Stack Pointer value is set to the first entry in the stack (viz., SP). The IRET Frame is the portion of the Kernel stack affected by the IRET instruction. The first element, Interrupt ID (set to "FFFF") is merely popped off of the stack and discarded. The next element, Initial FCW, is popped and placed in the system Flag Control Word. Initial FCW is set to "5000" for Kernel

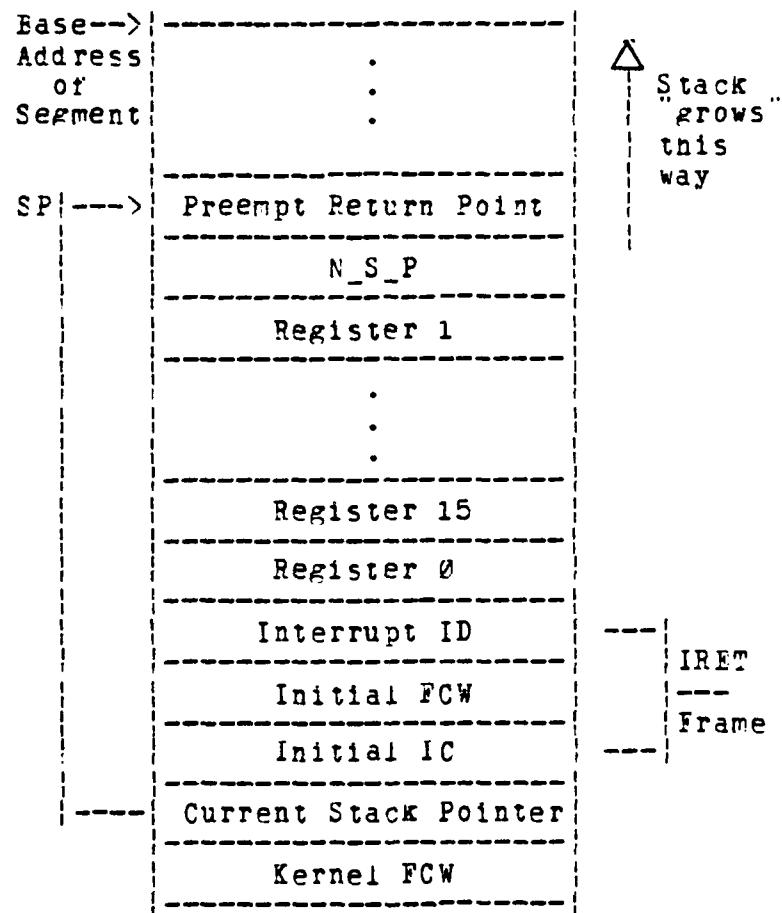


Figure 9. Initial Process Stack

processes and "1800" (indicating normal mode with all interrupts enabled) for user processes. The final element of the IRET frame, Initial IC is popped off of the stack and placed in the program counter (PC) register. This value is initialized as the entry address of the process in question.

The "register" entries on the stack represent the initial register contents for the process at the beginning of its execution. Since the Kernel processes (viz., MM and Idle) do not require any specific initial register states, their entries reflect the register contents at the time of stack creation. Initial register conditions are used to provide initial "parameters" required by the user processes. This will depend largely upon the parameter passing conventions of the implementation language. The means for register initialization was provided through CREATE_PROCESS; however, the only initial register condition used for the user processes in this demonstration was register #13. Register #13 was used to pass the user ID/Host number of the process created. This value is utilized by the user process in activating the segment used for inter-process communication between a Host's File manager and I/O processes. Another logical parameter passed to the user processes is the root segment number. This did not require a register for passing in the demonstration as it is known to be the first entry in the KST for all processes. The N_S_P entry on the stack represents the initial value of the

normal stack pointer. For user processes, this value is obtained when the Supervisor domain stack for that process is created. For Kernel processes, this value is set to "FFFF" since they execute solely in the Kernel domain and have no Supervisor domain stack. The Preempt Return Point specifies the address where control will be passed once the process' VP is scheduled and the "return" from ITC GETWORK is executed. For Kernel processes, this is the point within the hardware preempt handler where the virtual processor table is unlocked. For user processes, this is the point within the virtual preempt handler where the Active Process Table is unlocked.

It is important to note that if the APT was not unlocked when a user process began its initial execution, the system would become deadlocked and no further process scheduling could occur. It should be further noted that the initial stack conditions for user processes do not reflect a valid history of execution. The "normal" history of a user process returning from ITC GETWORK after a virtual preempt interrupt would reflect the passing of control through SWAP_VDBR and TC_GETWORK to the point in the virtual preempt handler where the APT is unlocked. Another "possible" history could reflect the occurrence of a hardware preempt interrupt at the point in the virtual preempt handler where the APT is unlocked. Such a history would be depicted by replacing the current top of the stack with the return point

into the hardware preempt handler (viz., at the point of virtual preempt interrupt unmasking) and an additional hardware preempt interrupt frame whose IC value in the IRET frame is the point in the virtual preempt handler where the APT is unlocked. The current initial stack condition for user processes was chosen for its ease of understanding and its clear depiction of the fact that the structure of a Kernel domain stack is the same for both Kernel and user processes.

C. IDLE PROCESSES

In the SASS design, there logically exists a Kernel domain "Idle" process for every physical processor in the system and a Supervisor domain "Idle" process for every "TC visible" virtual processor in the system. These processes are necessary to insure that both the VP scheduler (viz., ITC_GETWORK) and the process scheduler (TC_GETWORK) will always have some object to schedule, hence precluding any CPU or VP from ever having an undefined execution point. Since the Kernel domain Idle process performs no useful work, it could be included within the ITC by means of an infinite looping mechanism. The Kernel Idle process was maintained separately, however, as it is hoped that future work on SASS will provide this Idle process with some constructive purpose (e.g., performing maintenance diagnostics).

The Supervisor domain Idle processes (hereafter referred to as TC Idle processes) are scheduled (bound) on VP's when there are no user processes awaiting scheduling. Since a TC Idle process performs no user constructive work, we do not want any VP executing a TC Idle process to be bound to a physical processor. In other words, a VP bound to a TC Idle process assumes the lowest system priority (represented by the "idle flag"). Therefore, any such VP will have its idle flag set and will not be scheduled unless it receives a virtual preempt interrupt. Such an interrupt will allow the VP to be rescheduled by the Traffic Controller. It should be obvious, at this point, that a TC Idle process will never actually begin execution on a real processor. This knowledge allowed a design decision to be made to only simulate the existence of TC Idle processes. At the TC level, this was accomplished by a constant value, IDLE_PROC, that was used as a process ID in the APT running list, thus precluding the necessity of any "Idle" entries in the APT. At the ITC level, any VP marked "Idle" (viz., the idle flag set) was given the DPR number (viz., address space) of the Kernel Idle process solely to provide the use of a Kernel domain stack for rescheduling of the VP.

D. ADDITIONAL KERNEL REFINEMENTS

In addition to those already discussed, several other refinements to existing Kernel modules were effected in this

implementation. One of these refinements deals with the way virtual processors are identified by the Traffic Controller. In the current implementation, all TC visible virtual processors are given an External VP ID which corresponds to its entry number in an External VP List. This required a modification to the ITC procedure RUNNING_VP. The benefits derived from this refinement included the ability to directly access the External VP ID in the Virtual Processor Table vice the requirement of a run time division to compute its value and the ability to use the External VP ID as an index into the TC running list.

Refinements were also made to the existing Memory Manager, File Manager, and IO process stats used for demonstration purposes. These refinements were largely associated with the eventcount and sequencer mechanisms utilized in this implementation. The current status of these processes is provided in a report by Schell and Cox [22].

The remaining refinements deal largely with the MMU Image. In Moore and Gary's [4] design, the MMU Image was managed by the Memory Manager process. This was largely because the MMU Image is a processor local database and would seem well suited for management by the non-distributed Kernel. In fact, the MMU Image is utilized mainly by the ITC for the multiplexing of process address spaces. Therefore, in the current design, the MMU T's are maintained by the Inner Traffic Controller. however, the MMU header proposed

by Moore and Gary (viz., the BLOCKS_USED and MAXIMUM_AVAILABLE_BLOCKS fields) was retained in the Memory Manager as it is used strictly in the management of a process' virtual core and is not associated with the hardware MMU.

In Wells' design [6], the Traffic Controller used the linear ordering of the DBR entries in the MMU Image as the DBR handle (viz., 1,2,3...). This required a run time division operation to compute the DBR number, and a run time multiplication operation, by MM_GET_DBR_VALUE, to recompute the DBR address for use by the ITC. In the current design, the offset of the DBR entry in the MMU Image (obtained at the time of MMU allocation) is used as the DBR handle in the Traffic Controller. Furthermore, SWAP_VDBR was refined to accept a DBR handle rather than a DBR address to preclude the necessity of the Traffic Controller having to deal with MMU addresses. DBR addresses are computed only within the ITC (viz., by procedure GET_DBR_ADDR) by adding the value of the DBR handle to the base address of the MMU Image. Since DBR addresses are now used solely within the ITC, procedure MM_GET_DBR_VALUE was no longer needed and was deleted from the Memory Manager.

E. SUMMARY

The primary issues addressed in this thesis effort have been presented in this chapter. Aside from the process

management functions, this description included a mechanism for limited Kernel database initialization, a revised preempt interrupt handling mechanism, the creation of a virtual interrupt structure, a definition of "idle" processes and their structure, and a discussion of the minor refinements effected in existing SASS modules. A detailed description of the implementation of process management functions for the SASS is presented in the next chapter.

IV. PROCESS MANAGEMENT IMPLEMENTATION

The implementation of process management functions and a gate keeper stub (system trap) is presented in this chapter. The implementation is discussed in terms of the Event Manager, Traffic Controller, Distributed Memory Manager, User Gate, and Kernel Gate Keeper modules. A block diagram depicting the structure and interrelationships of these modules is presented in figure 10. Support in developing the Z8000 machine code for this implementation was provided by a Zilog MCZ Developmental System operating under the R10 operating system. The Developmental System provided disk file management for a dual drive, hard sectored floppy disk, a line oriented text editor, a PLZ/ASM assembler, a linker and a loader that created an executable image of each Z8000 load module. An upload/download capability with the Am96/4116 MonoBoard computer was also provided. This capability, along with the general interfacing of the Am96/4116 into the SASS system, was accomplished in a concurrent thesis endeavor by Gary Baker. Baker's work relating to hardware initialization in SASS, will be published upon completion of his thesis work in June 1981.

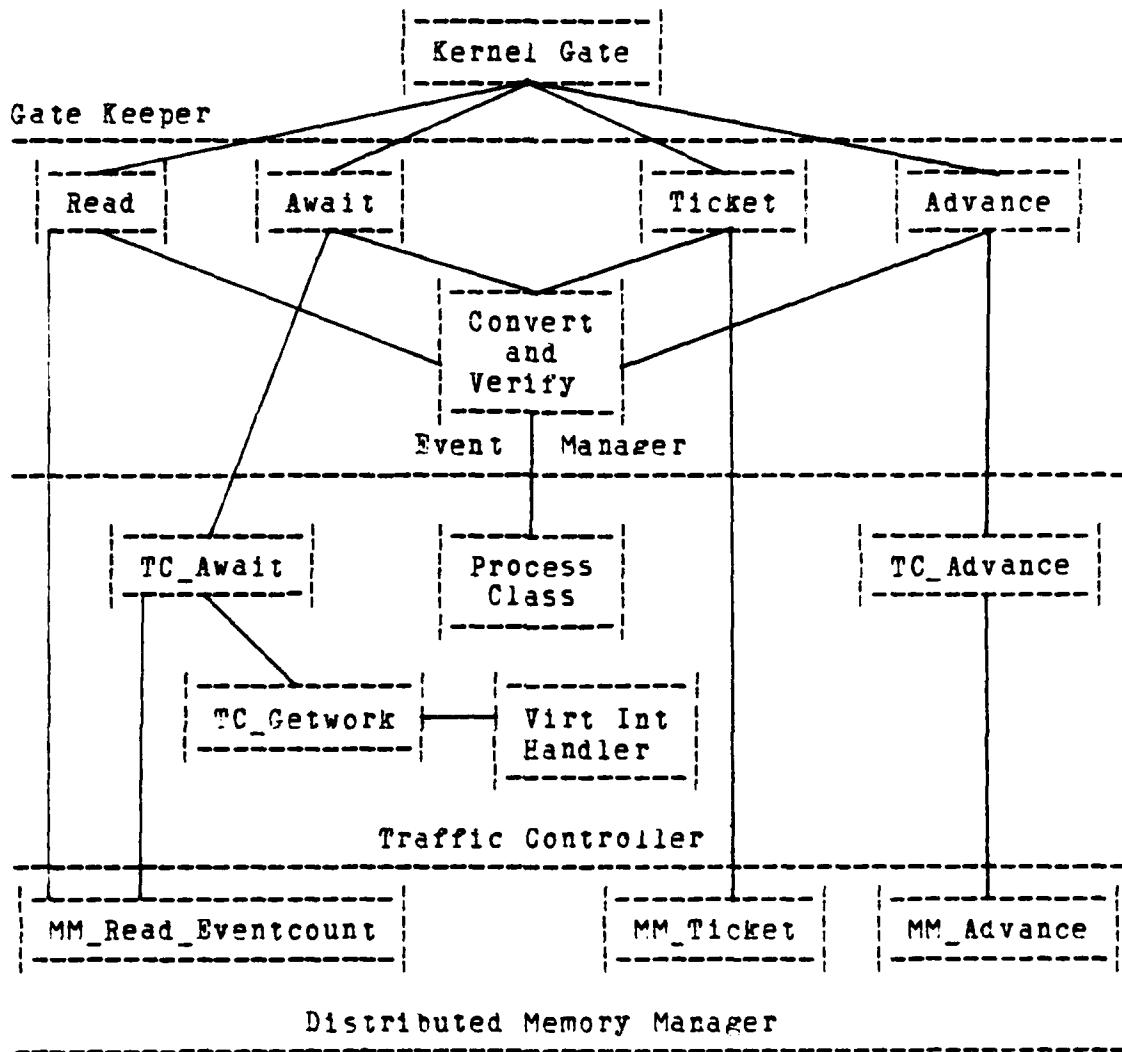


Figure 10. Implementation Module Structure

A. EVENT MANAGER MODULE

The eventcount and sequencer primitives [15], which are system-wide objects, collectively comprise the event data of SASS. As mentioned earlier, this event data is tied directly to system segments and is stored in the Global Active Segment Table. There are two eventcounts and one sequencer for every segment in the system. These objects are identified to the Kernel in user calls by specification of a segment number. Once this segment number is identified by the Kernel, the segment's handle can be obtained from the process' Known Segment Table. The segment handle identifies the particular entry in the G_AST containing the event data desired.

The Event Manager module manages the event data within the system and provides the mechanism for interprocess communication between user processes. The Event Manager consists of six procedures. Four of these (Advance, Await, Read, and Ticket) represent the four user extended instructions provided by the Event Manager. The remaining two procedures provide internal computational support to include necessary security checking. The Event Manager is invoked solely by user processes, via the Gate Keeper, through utilization of the extended instruction set provided. For every Event Manager extended instruction invoked by a user process, the non-discretionary security is verified by comparing the security access classification of

the process invoking the instruction with the classification of the object (segment) being accessed. Access to the user process' Known Segment Table is required by the module in order to ascertain the segment handle and security class for a given segment number. The PLZ/ASM assembly language listing for the Event Manager module is provided in Appendix A. A more detailed discussion of the procedures comprising the Event Manager follows.

1. Support Procedures

The procedures GET_HANDLE and CONVERT_AND_VERIFY provide internal support for the Event Manager and are not visible to the user processes. Procedure CONVERT_AND_VERIFY is invoked by the four procedures representing the instruction set of the Event Manager. The input parameters to CONVERT_AND_VERIFY are a segment number and a requested mode of access (viz., read or write). CONVERT_AND_VERIFY returns a pointer to the segment's handle and a success code. Procedure GET_HANDLE is invoked solely by CONVERT_AND_VERIFY. The input parameter to GET_HANDLE is the segment number received as input by CONVERT_AND_VERIFY. GET_HANDLE returns a pointer to the segment's handle, a pointer to the segment's security classification, and a success code. A discussion of the functions provided by these support procedures follows.

Procedure GET_HANDLE translates the segment number, received as input, into a KST index number and verifies that

the resulting index number is valid. Next the base address of the process' KST is obtained from procedure ITC_GET_SEG_PTR. The KST index number is then converted into a KST offset value and added to the base address to obtain the appropriate KST entry pointer for the segment in question. A verification is then made to insure that the referenced segment is "known" to the process. If the segment is not known, an error message is returned to CONVERT_AND_VERIFY. Otherwise, a pointer to the segment's handle is obtained to identify the segment to the memory manager. A pointer to the segment's security class entry in the KST is also returned for use in appropriate security checks.

Procedure CONVERT_AND_VERIFY provides the necessary non-discretionary security verification for the extended instruction set of the Event Manager. Procedure GET_HANDLE is invoked for segment number verification and to obtain pointers to the segment's handle and security class. If GET_HANDLE returns with a successful verification, the process' security class is compared to the segment's security class to verify the mode of access requested. A request for "write" access causes invocation of the CLASS_EQ function in the Non-Discretionary Security Module to insure that the security classification of the process is equal to the classification of the eventcount or sequencer, which is the same as that of the segment. Otherwise, the CLASS_NE

function is called to verify that the process has read access. If the appropriate security check is unsuccessful, an error code is returned by CONVERT_AND_VERIFY. Otherwise, the segment handle is returned along with a success code of "succeeded" indicating that the user process possesses the necessary security clearance to complete execution of the extended instruction.

2. Read

Procedure READ ascertains the current value of a user specified eventcount and returns its value to the caller. The input parameters to READ are a segment number and an instance (viz., an event number). CONVERT_AND_VERIFY is invoked with a "read" access request to obtain the segment's handle and necessary verification. "Read" access is sufficient for this operation as it only requires observation of the current eventcount value and performs no data modification. If verification is successful, procedure MM_READ_EVENTCOUNT is called to obtain the eventcount value.

3. Ticket

Procedure TICKET returns the current sequencer value for the segment specified by the user. CONVERT_AND_VERIFY is called with a request for write access to obtain verification and the segment handle. Write access is required because once the sequencer value is read it must be incremented in anticipation of the next ticket request. Once verification is complete, MM_TICKET is invoked to obtain the

sequencer value that is returned to the user process. It is noted that every call to TICKET for a particular segment number will return a unique and time ordered sequencer value. This is because the sequencer value may only be read within MM_TICKET while the G_AST is locked, thereby preventing simultaneous read operations. Furthermore, once the sequencer value is read it is incremented before the G_AST is unlocked.

4. Await

Procedure AWAIT allows a user process to block itself until some specified event has occurred. The parameters to AWAIT include a segment number and instance, which identify a particular event, and a user specified value which identifies a particular occurrence of the event. Verification of read access and a pointer to the segment's handle is obtained from procedure CONVERT_AND_VERIFY. Procedure TC_AWAIT is invoked to effect the actual waiting for the event occurrence. TC_AWAIT will not return to AWAIT until the requested event has occurred. It is noted that AWAIT makes no assumptions about the event value specified by the user. Therefore, the Kernel cannot guarantee that the event specified by the user will ever occur; this is the responsibility of other cooperating user processes.

5. Advance

Procedure ADVANCE allows a user process to broadcast the occurrence of some event. This is accomplished by

incrementing the value of the eventcount associated with the event that has occurred. The parameters to ADVANCE include a segment number and instance which identify a particular event. The calling process must have write access to the identified segment as modification of the eventcount is required. Verification of write access and a pointer to the segment's handle is obtained through procedure CONVERT_AND_VERIFY. Procedure TC_ADVANCE is invoked to perform the actual broadcasting of event occurrence.

E. TRAFFIC CONTROLLER MODULE

The primary functions of the Traffic Controller module are user process scheduling and support of the inter-process communication mechanism. The Traffic Controller is invoked by the occurrence of a virtual preempt interrupt and by the Event Manager and the Segment Manager through the extended instruction set: TC_Advance, TC_Await, Process_Class, and Get_DER_NUMBER. The Traffic Controller module is comprised of nine procedures. Four of these procedures represent the extended instruction set of the Traffic Controller. A detailed discussion of six of the procedures contained in the Traffic Controller module is presented below. The remaining three procedures (viz., TC_INIT, CREATE_PRCESS, and CREATE_KST) were described in chapter three. The PLZ/ASM assembly language source code listings for the Traffic Controller module is provided in Appendix E.

1. TC Getwork

Procedure TC_GETWORK provides the mechanism for user process scheduling. The input parameters to TC_GETWORK are the VP ID of the virtual processor to which a process will be scheduled and the logical CPU number to which the virtual processor belongs. The determination of which process to schedule is made by a looping mechanism that finds the first "ready" process on the ready list associated with the current logical CPU number. Processes appear in the ready list by order of priority. This looping mechanism is required as both "running" and "ready" processes are maintained on the ready list. This ready list structure was chosen to simplify the algorithm provided in procedure TC_Advance. If a ready process is found, its state is changed to "running" and its process ID (viz., the APT entry number) is inserted in the running list entry associated with the current virtual processor. Procedure SWAP_VDBR is then invoked in the Inner Traffic Controller to effect the actual process switch. If a ready process was not found (viz., the ready list was empty or comprised solely of "running processes"), then the running list entry associated with the current virtual processor is marked with the constant "Idle_Proc" and procedure IDLE is invoked in the Inner Traffic Controller.

2. TC_Await

The primary function of TC_AWAIT is the determination of whether some user specified event has occurred. If the event has occurred, control is returned to the caller. Otherwise, the process is blocked and another process is scheduled. The input parameters to TC_AWAIT are a pointer to a segment handle, an instance (event number), and a user specified eventcount value. TC_AWAIT initially locks the Active Process Table and obtains the current value of the eventcount in question by calling procedure MM_READ_EVENTCOUNT. The determination of event occurrence is made by comparing the user specified eventcount value with the current eventcount. If the user value is less than or equal to the current eventcount, the awaited event has occurred and control is returned to the caller. Otherwise, the awaited event has not yet occurred and the process must be blocked.

If the process is to be blocked, procedure RUNNING_VP is invoked to ascertain the VP ID of the virtual processor bound to the process. The process' ID (viz., APT entry number) is then read from the running list. The input parameters to TC_AWAIT (viz., Handle, Instance, and Value) are then stored in the Event Data portion of the process' APT entry. The process is removed from its associated ready list by redirecting the appropriate linking threads (pointers). Once removed from the ready list, the process is

threaded into the blocked list and its state changed to "blocked" by invocation of the library function LIST_INSERT. Procedure TC_GETWORK is then called to schedule another process for the current virtual processor.

3. TC Advance

The primary purpose of TC_ADVANCE is the broadcasting of some event occurrence. This entails incrementing the eventcount associated with the event, awakening all processes that are waiting for the event, and insuring proper scheduling order by generating any necessary virtual preempt interrupts. The high level design algorithm for TC_ADVANCE is provided in figure 11. The input parameters to TC_ADVANCE are a pointer to a segment's handle and an instance (event number). Initially, TC_ADVANCE locks the APT to prevent the possibility of a race condition. The eventcount identified by the input parameters is then incremented by calling MM_ADVANCE. MM_ADVANCE returns the new value of the eventcount. Once the eventcount has been advanced, TC_ADVANCE awakens all processes awaiting this event occurrence. This is accomplished by checking all processes that are currently in the blocked list. The process' HANDLE and INSTANCE entries are compared with the handle and instance identifying the current event. If they are the same, then the process is awaiting some occurrence of the current event. In such a case, the process' VALUE entry in the APT is compared with the current value of the

```

TC_ADVANCE Procedure (HANDLE, INSTANCE)
Begin

    ! Get new eventcount !
    COUNT := MM_ADVANCE (HANDLE, INSTANCE)

    Call WAIT_LOCK (APT)

    ! Wake up processes !
    PROCESS := BLOCKED_LIST_HEAD

    Do while not end of BLOCKED LIST
        If (PROCESS.HANDLE = HANDLE) and
            (PROCESS.INSTANCE = INSTANCE) and
            (PROCESS.COUNT <= COUNT)
        then
            Call LIST_INSERT(READY LIST)
        end if

        PROCESS := PROCESS.NEXT_PROCESS
    end do

    ! Check all ready lists for preempts !
    LOGICAL_CPU_NO := 1

    Do while LOGICAL_CPU_NO <= #NR_CPU
        ! Initialize preempt vector !
        VP_ID := FIRST_VP(LOGICAL_CPU_NO)

        Do for LOOP := 1 to NR_VP(LOGICAL_CPU_NO)
            RUNNING_LIST[VP_ID].PREEMPT := #TRUE

            VP_ID := VP_ID + 1
        end do

        ! Find preempt candidates !
        CANDIDATES := 0

        PROCESS := READY_LIST_HEAD(LOGICAL_CPU_NO)

```

Figure 11. TC_ADVANCE Algorithm

```

VP_ID := FIRST_VP(LOGICAL_CPU_NO)

Do (for CYCLE = 1 to NR_VP(LOGICAL_CPU_NO) and
    not end of READY_LIST(LOGICAL_CPU_NO)
    If PROCESS = #RUNNING
        then
            RUNNING_LIST[VP_ID].PREEMPT := #FALSE
        else
            CANDIDATES := CANDIDATES + 1
        end if

    VP_ID := VP_ID + 1
    PROCESS := PROCESS.NEXT_PROCESS
end do

! Preempt appropriate candidates !
VP_ID := FIRST_VP(LOGICAL_CPU_NO)

Do for CHECK := 1 to NR_VP(LOGICAL_CPU_NO)
    If (RUNNING_LIST[VP_ID].PREEMPT = #TRUE) and
        (CANDIDATES > 0)
        then
            Call SET_PREEMPT(VP_ID)

            CANDIDATES := CANDIDATES - 1
        end if

    VP_ID := VP_ID + 1
end do

LOGICAL_CPU_NO := LOGICAL_CPU_NO + 1
end do

Call UNLOCK(APT)

Return

End TC_ADVANCE

```

Figure 11. TC_ADVANCE Algorithm (Continued)

eventcount. If the process' VALUE is less than or equal to the current eventcount value, the awaited event has occurred and the process is removed from the blocked list and threaded into the appropriate ready list by the library function LIST_INSERT.

Once the blocked list has been checked, it is necessary to reevaluate each ready list to insure that the highest priority processes are running. It is relatively simple to determine if a virtual preempt interrupt is necessary, however, it is considerably more difficult to determine which virtual processor should receive the virtual preempt. To assist in this evaluation, a "count" variable (number of preempts needed) is zeroed and a preempt vector is created on the Kernel stack with an entry for every virtual processor associated with the logical CPU being evaluated. Initially, every entry in the preempt vector is marked "true" indicating that its associated virtual processor is a candidate for preemption. Once the preempt vector is initialized, the first "n" processes on the ready list (where n equals the number of VP's associated with the current logical CPU) are checked for a determination of their state. If a process is found to be "running" then it should not be preempted as processes appear in the ready list in order of priority. When a running process is found, its associated entry in the preempt vector is marked "false." If a process is encountered in the "ready" state

then it should be running and the "count" variable is incremented. When the first "n" processes have been checked or when we reach the end of the current ready list (whichever comes first), the entries in the preempt vector are "popped" from the stack. If an entry from the preempt vector is found to be "true", this indicates that its associated virtual processor is a candidate for preemption since it is either bound to a lower priority process, or it is "idle." In such a case, the "count" variable is evaluated to determine if the virtual processor associated with the vector entry should be preempted. If the count exceeds zero, a virtual preempt interrupt is sent to the VP and the count is decremented. Otherwise, no preempt is sent as there is no higher priority process awaiting scheduling.

This preemption algorithm is completed for every ready list in the Active Process Table. Once all ready lists have been evaluated, the APT is unlocked and control is returned to the caller. It is noted that it is not necessary to invoke TC_GETWORK before exiting ADVANCE. If the current VP requires rescheduling, it will have received a virtual preempt interrupt from the preemption algorithm. If this has occurred, the VP will be rescheduled when its running process attempts to leave the Kernel domain and the virtual preempt interrupts are unmasked.

4. Virtual Preempt Handler

VIRTUAL_PREEMPT_HANDLER is the interrupt handler for virtual preempt interrupts. The entry address of VIRTUAL_PREEMPT_HANDLER is maintained in the virtual interrupt vector located in the Inner Traffic Controller. Once invoked, the handler locks the Active Process Table and determines which virtual processor is being preempted by calling RUNNING_VP. The process running on the preempted VP is then set to the "ready" state and TC_GETWORK is invoked to reschedule the virtual processor. When TC_GETWORK returns to VIRTUAL_PREEMPT_HANDLER, the APT is unlocked and a virtual interrupt return is executed. This return is simply a jump to the point in the hardware preempt handler where the virtual interrupts are unmasked. This effects a virtual interrupt return instruction.

5. Remaining Procedures

The remaining two procedures in the Traffic Controller module represent the extended instructions: PROCESS_CLASS and GET_DBR_NUMBER. Both procedures lock the Active Process Table and call RUNNING_VP to determine which virtual processor is executing the current process. The process ID (viz., APT entry Number) is then extracted from the running list. PROCESS_CLASS reads and returns the current process' security access classification from the APT. GET_DBR_NUMBER reads and returns the current process' DBR handle. It should be noted that in general the DBR

number provided by procedure GET_DBR_NUMBER is only valid while the APT is locked. Particularly, in the current SASS implementation, the Segment Manager invokes GET_DBR_NUMBER and then passes the obtained DBR number to the Distributed Memory Manager for utilization at that level. In a more general situation, the process associated with the DBR number may have been unloaded before the DBR number was utilized, thus making it invalid. This problem does not arise in SASS as all processes remain loaded for the life of the system.

C. DISTRIBUTED MEMORY MANAGER MODULE

The Distributed Memory Manager module provides an interface between the Segment Manager and the Memory Manager process, manipulates event data in the Global Active Segment Table (G_AST), and dynamically allocates available memory. A detailed description of the Distributed Memory Manager interface to the Memory Manager process was presented by Wells [6]. The remaining extended instruction set is discussed in detail below. The complete PLZ/ASM source listings for the Distributed Memory Manager module is provided in Appendix C.

1. MM Read Eventcount

MM_READ_EVENTCOUNT is invoked by the Event Manager and the Traffic Controller to obtain the current value of the eventcount associated with a particular event. The input

parameters to this procedure are a segment handle pointer and an instance (event Number), which together uniquely identify a particular event.

The G_AST is locked and the entry offset of the segment into the G_AST is obtained from the segment's handle. The instance parameter is then validated to determine which eventcount is to be read. If an invalid instance is specified, control is returned to the caller specifying an error condition. Otherwise, the current value of the specified eventcount is read. The G_AST is then unlocked, and the current eventcount value is returned to the caller.

2. MM Advance

MM_ADVANCE is invoked by the Traffic Controller to reflect the occurrence of some event. The input parameters to MM_ADVANCE are a pointer to a segment's handle and a particular instance (event number).

The Global Active Segment Table is locked to prevent a race condition, and the offset of the segment's entry into the G_AST is obtained from the segment handle. The instance parameter is then validated to determine which eventcount is to be advanced. If an invalid instance is specified, an error condition is returned to the caller and no data entries are affected. If the instance value is valid, the appropriate eventcount is incremented, and its new value is returned.

3. MM Ticket

`MM_TICKET` is invoked by the Event Manager to obtain the current value of the sequencer associated with a specified segment. The input parameter to `MM_TICKET` is a pointer to a segment's handle.

Initially, `MM_TICKET` locks the Global Active Segment Table to prevent a race condition. Next the offset of the segment's entry into the `G_AST` is obtained from the segment handle. The current value of the sequencer for the specified segment is then read and saved as a return parameter to the caller. The sequencer value is then incremented in anticipation of the next ticket request. Once this is complete, the `G_AST` is unlocked and control is returned to the caller.

4. MM Allocate

The `MM_ALLOCATE` procedure provided in this implementation is a stub of the `MM_ALLOCATE` described in the Memory Manager design of Moore and Gary [4].

The primary function of `MM_ALLOCATE` is the dynamic allocation of fixed size blocks of available memory space. It is invoked in the current implementation by the initialization routines in `BOOTSTRAP_LOADER` and `TC_INIT` for the allocation of memory space used in the creation of the Kernel domain and Supervisor domain stack segments and the creation of the Known Segment Tables for user processes. Dynamic reallocation of previously used memory space (viz.,

garbage collection) is not provided by the MM_ALLOCATE stub in this implementation. All memory allocation required in this implementation is for segments supporting system processes that remain active, and thus allocated, for the entire life of the system. Memory is allocated in blocks of 256 (decimal) bytes of processor local memory (on-board RAM). In this stub allocatable memory is declared at compile time by a data structure (MEM_POOL) that is accessible only by MM_ALLOCATE.

The input parameter to MM_ALLOCATE is the number of blocks of requested memory. This parameter is converted from a block size to the actual number of bytes requested. This computation is made simple since memory is allocated in powers of two. The byte size is obtained by logically shifting left the input parameter eight times, where eight is the power of two desired (viz., 256). Once the size of the requested memory is computed, it is necessary to determine the starting address of the memory block(s) to be allocated. To assist in this computation, a variable (NEXT_BLOCK) is used to keep track of the next available block of memory in MEM_POOL. NEXT_BLOCK, which is initialized as zero, provides the offset into the memory being allocated. Once the starting address is obtained, the physical size of the memory allocated is added to NEXT_BLOCK so that the next request for memory allocation will begin at the next free byte of memory in MEM_POOL. This new value of

AD-A102 308

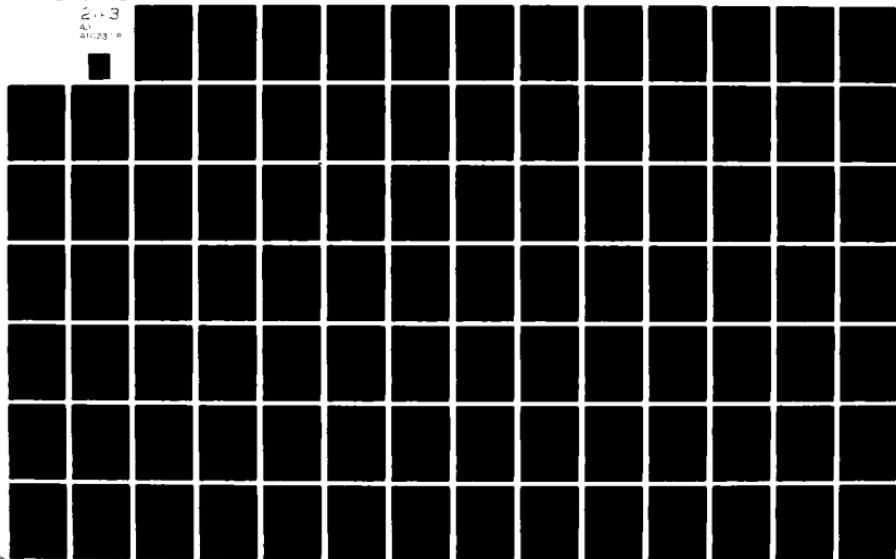
NAVAL POSTGRADUATE SCHOOL MONTEREY CA
IMPLEMENTATION OF PROCESS MANAGEMENT FOR A SECURE ARCHIVAL STOR--ETC(U)
MAR 81 A R STRICKLER

F/G 9/2

UNCLASSIFIED

NL

Z-13
41
41-23 e



`NEXT_BLOCK` is saved and the starting address of the memory for this request is returned to the caller.

D. GATE KEEPER MODULES

The SASS Gate Keeper provides the logical boundary between the Supervisor and the Kernel and isolates the Kernel from the system users, thus making it tamperproof. This is accomplished by means of the hardware system/normal mode and the software ring-crossing mechanism provided by the Gate Keeper. The Gate Keeper is comprised of two separate modules: 1) the `USER_GATE` module, and 2) the `KERNEL_GATE_KEEPER` module. These modules are disjoint, with the `USER_GATE` module residing in the Supervisor domain and the `KERNEL_GATE_KEEPER` module residing in the Kernel domain. It is important to note that the `USER_GATE` is a separately linked component in the Supervisor domain and is not linked to the Kernel. The only thing in common between these two modules is a set of constants identifying the valid extended instruction set which the Kernel provides to the users.

The Gate Keeper modules presented in this implementation are only stubs as they do not provide all of the functions required of the Gate Keeper. However, the only task not provided in this implementation is the validation of parameters passed from the Supervisor to the Kernel. A detailed description of this parameter validation design is provided by Coleman [3]. In the process management

demonstration, the Supervisor stubs are written in PLZ/ASM with all parameters passed by CPU registers. A detailed description of the Gate Keeper modules and the nature of their interfaces is presented below. The PLZ/ASM source listings for the two Gate Keeper modules are provided in Appendix D.

1. User Gate Module

The USER_GATE module provides the interface structure between the user processes in the Supervisor domain and the Kernel. The USER_GATE is comprised of ten procedures (viz., entry points) that correlate on a one to one basis with the ten "user visible" extended instructions (listed in figure 6) provided by the Kernel. The only action performed by each of these procedures is the execution of the "system call" instruction (SC) with a constant value, identifying the particular extended instruction invoked, as the source operand.

The SC instruction is a system trap that forces the hardware into the system mode (Kernel domain) and loads register 15 with the system stack pointer (Kernel domain stack). The current instruction counter value (IC) is pushed onto the Kernel stack along with the current CPU flag control word (FCW). In addition, the system trap instruction is pushed onto the Kernel stack with the upper byte representing the SC instruction and the lower byte representing the SC instruction's source operand (viz., the

Kernel extended instruction code). Together, these operations form an interrupt return (IRET) frame as illustrated in figure 9. Once this is complete, the FCW is loaded with the FCW value found in the System Call frame of the Program Status Area (viz., the hardware "interrupt vector"). The structure of the Program Status Area is illustrated in figure 12. The instruction counter is then loaded with the address of the SC instruction trap handler. This value is also located in the SC frame of the Program Status Area.

2. Kernel Gate Keeper Module

The system trap handler for the System Call instruction is the KERNEL_GATE_KEEPER. The address of the KERNEL_GATE_KEEPER and the Kernel FCW value are placed in the System Call frame of the Program Status Area by the BOOTSTRAP_LOADER module during initialization. The KERNEL_GATE_KEEPER fetches the extended instruction code from the trap instruction entry in the IRET frame on the Kernel stack. This value is then decoded by a "case" statement to determine which extended instruction is to be executed. If the extended instruction code is valid, the appropriate Kernel procedure is invoked. Otherwise, an error condition is set and no Kernel procedures are not invoked. Once control returns to the KERNEL_GATE_KEEPER, the CPU registers and normal stack pointer (NSP) value are pushed onto the Kernel stack in preparation for return to the

OFFSET

0	Reserved	-- ---Frames
4	Unimplemented Instruction Trap	
8	Privileged Instruction Trap	
12	Kernel FCW	-- ---System Kernel Gate Keeper Address
16	Segment Trap	-- ---Call Instruction
20	Non-Maskable Interrupt	
24	Kernel FCW	-- ---Hardware PHYS_PREEMPT_HANDLER Address
28	Vectored Int	-- ---Preempt -- ---(Non- Vectored Interrupt)
32	.	
	.	
	.	

* NOTE: Offsets represent Program Status Area structure
for non-segmented Z8002 microprocessor.

Figure 12. Program Status Area

Supervisor domain. It is noted that this operation would normally occur immediately upon entry into the KERNEL_GATE_KEEPER. In this implementation, however, parameter validation is not accomplished and the CPU registers are used to pass parameters to and from the Kernel only for use by the process management demonstration. In an actual SASS environment, all parameters would be passed in a separate argument list and the CPU registers would appear exactly the same upon leaving the Kernel as they did upon entering the Kernel. This is important to insure that no data or information is leaked from the Kernel by means of the CPU registers.

Control is returned to the Supervisor by means of the return mechanism in the hardware preempt handler. This mechanism is utilized to preclude the necessity of building a separate mechanism for the KERNEL_GATE_KEEPER that would actually perform the very same function. To accomplish this, the KERNEL_GATE_KEEPER executes an unconditional jump to the PREEMPT_RET label in PHYS_PREEMPT_HANDLER. This "jump" to the hardware preempt handler represents a "virtual IRET" instruction providing the same function as the virtual interrupt return described in the discussion of the virtual preempt handler. At this point, the virtual preempt interrupts are unmasked, the normal stack pointer and CPU registers are restored from the stack, and control is returned to the Supervisor by execution of the IRET instruction.

E. SUMMARY

The implementation of process management functions for the SASS has been presented in this chapter. The implementation was discussed in terms of the Event Manager, Traffic Controller, Distributed Memory Manager, and Gate Keeper modules.

Chapter V will present the conclusions drawn from this work and suggestions for future work derived from this thesis.

V. CONCLUSION

The implementation of process management for the security Kernel of a secure archival storage system has been presented. The process management functions presented provide a logical and efficient means of process creation, control, and scheduling. In addition, a simple but effective mechanism for inter-process communication, based on the eventcount and sequencer primitives, was created. Work was also completed in the area of Kernel database initialization and a Gate Keeper stub to allow for dual domain operation.

The design for this implementation was based on the Zilog Z8001 sixteen bit segmented microprocessor [17] used in conjunction with the Zilog Z8014 Memory Management Unit [18]. The actual implementation of process management for the SASS was conducted on the Advanced Micro Computers Am96/4116 MonoBoard Computer [19] featuring the Am28002 sixteen bit non-segmented microprocessor. Segmentation hardware was simulated by a software Memory Management Unit Image.

This implementation was effected specifically to support the Secure Archival Storage System (SASS) [21]. However, the implementation is based on a family of Operating Systems [1] designed with a primary goal of providing multilevel information security. The loop free modular design utilized in this implementation easily facilitates any required

expansion or modification for other family members. In addition, this implementation fully supports a multiprocessor design. While the process management implementation appears to perform correctly, it has not been subjected to a formal test plan. Such a test plan should be developed and implemented before kernel verification is begun.

A. FOLLOW ON WORK

There are several possible areas in the SASS design that would be immediately suitable for continued research. In the area of hardware, this includes, the establishment of a multiprocessor environment, hardware initialization, and interfacing to the host computers and secondary storage. Further work in the Kernel includes the actual implementation of the memory manager process, and the refinement of the Gate Keeper and Kernel initialization structures. The implementation of the Supervisor has not been addressed to date. Its areas of research include the implementation of the File Manager and Input/Output processes, and the final design and implementation of the SASS-Hosts protocols.

Other areas that could also prove interesting in relation to the SASS include the implementation of dynamic memory management, the support of multilevel hosts, dynamic process creation and deletion, and the provision of constructive work to be performed by the Idle process.

APPENDIX A - EVENT MANAGER LISTINGS

Z8000ASM 2.02
LOC OBJ CODE STMT SOURCE STATEMENT

\$LISTON STTY

EVENT_MGR MODULE

CONSTANT

TRUE	:= 1
FALSE	:= 0
READ_ACCESS	:= 1
WRITE_ACCESS	:= 0
SUCCEEDED	:= 2
SEGMENT_NOT_KNOWN	:= 28
ACCESS_CLASS_NOT_EQ	:= 33
ACCESS_CLASS_NOT_GE	:= 41
KST_SEG_NO	:= 2
NR_OF_KSEGS	:= 10
MAX_NO_KST_ENTRIES	:= 54
NOT_KNOWN	:= %FF

TYPE
H_ARRAY ARRAY[3 WORD]

KST_REC RECORD
[MM_HANDLE H_ARRAY
SIZE WORD
ACCESS_MODE BYTE
IN_CORE BYTE
CLASS LONG
M_SEG_NO SHORT_INTEGER
ENTPY_NUMBER SHORT_INTEGER
]

EXTERNAL

MM_TICKET	PROCEDURE
MM_READ_EVENTCOUNT	PROCEDURE
TC_ADVANCE	PROCEDURE
TC_AWAIT	PROCEDURE
PROCESS_CLASS	PROCEDURE
CLASS_EQ	PROCEDURE
CLASS_GE	PROCEDURE
ITC_GET_SEG_PTR	PROCEDURE

INTERNAL

\$SECTION EM KST DCL
! NOTE: THIS SECTION IS AN "OVERLAY"
OR FRAME USED TO DEFINE THE
FORMAT OF THE KST. NO STORAGE IS
ASSIGNED BUT RATHER THE KST IS
STORED IN A SEPARATELY OBTAINED
AREA. (A SEGMENT SET ASIDE FOR IT)!

SABS 0
0000 KST ARRAY[MAX_NO_KST_ENTRIES KST_REC]

GLOBAL
SECTION EM_GLB_PROC

0000	READ	PROCEDURE
	! **** READS SPECIFIED EVENTCOUNT * * AND RETURNS IT'S VALUE TO * * THE CALLER * *****	
	* PARAMETERS: * * R1: SEGMENT # * * R2: INSTANCE * *****	
	* RETURNS: * * R0: SUCCESS CODE * * RR4: EVENTCOUNT * *****!	
	ENTRY	
	! SAVE INSTANCE !	
0000 93F2	PUSH	GR15, R2
	! "READ" ACCESS REQUIRED !	
0002 2102	LD	R2, #READ_ACCESS
0004 0001		
	! GET SEG HANDLE & VERIFY ACCESS !	
0006 5F00	CALL	CONVERT_AND_VERIFY !R1:SEG #
0008 0000		
	R2:REQ. ACCESS RETURNS: R0:SUCCESS CODE R1:HANDLE PTR!	
000A 0B00	CP	R0, #SUCCEEDED
000C 0002		
000E 5E0E	IF EQ !ACCESS PERMITTED!	
0010 001C	THEN !READ EVENTCOUNT!	
	!RESTORF INSTANCE!	
0012 97F2	POP	R2, GR15
0014 5F00	CALL	MM_READ_EVENTCOUNT !R1:HPTR
0016 0000*		
	R2:INSTANCE RETURNS: R0:SUCCESS CODE RR4:EVENTCOUNT!	
0018 5E08	ELSE	!RESTORE SP!
001A 001E		
001C 97F2	POP	R2, GR15
	FI	
001E 9E08	RET	
0020	END READ	

003A	AWAIT ! **** * CURRENT EVENTCOUNT VALUE IS * * COMPARED TO USER SPECIFIED * * VALUE. IF USER VALUE IS * * GREATER THAN CURRENT EVENT- * * COUNT VALUE THEN PROCESS IS * * "BLOCKED" UNTIL THE DESIRED * * EVENT OCCURS. **** * PARAMETERS : * * R1: SEGMENT # * * R2: INSTANCE (EVENT #) * * RR4: SPECIFIED VALUE * **** * RETURNS: * * R0: SUCCESS CODE * ****! ENTRY ! SAVE DESIRED EVENTCOUNT VALUE ! PUSHL GR15, RR4 ! SAVE INSTANCE ! PUSH GR15, R2 ! "READ" ACCESS REQUIRED ! LD R2, #READ_ACCESS ! GET SEG HANDLE & VERIFY ACCESS ! CAIL CONVERT_AND_VERIFY !R1:SEG #
	R2:ACCESS REQ RETURNS: R0:SUCCESS CODE R1:HANDLE PTR!
003A 91F4	CP R0, #SUCCEEDED
003C 93F2	IF EQ ! ACCESS PERMITTED ! THEN ! AWAIT EVENT OCCURRENCE !
003E 2102	! RESTORE INSTANCE ! POP R2, GR15
0040 0001	! RESTORE SPECIFIED VALUE ! POPL RR4, GR15
0042 5F00	CALL TC_AWAIT !R1:HANDLE PTR
0044 0000	R2:INSTANCE RR4:VALUE RETURNS: R0:SUCCESS CODE!
0046 0B00	
0048 0002	
004A 5E0E	
004C 005A	
004E 97F2	
0050 95F4	
0052 5F00	
0054 0000*	

0056 5E08 ELSE !RESTORE STACK!
0058 005E
005A 95F4 POPL RR4, @R15
005C 97F2 POP R2, @R15
FI
005E 9E08 RET
0060 END AWAIT

	ADVANCE	PROCEDURE
0060	<pre>!*****! * SIGNALS THE OCCURRENCE OF * * SOME EVENT. EVENTCOUNT IS * * INCREMENTED AND THE TRAFFIC * * CONTROLLER IS INVOKED TO * * AWAKEN ANY PROCESS AWAITING * * THE OCCURRENCE. *****!</pre>	
	<pre>* PARAMETERS: * R1: SEGMENT # * R2: INSTANCE (EVENT #) *****!</pre>	
	<pre>* RETURNS: * R0: SUCCESS CODE *****!</pre>	
	ENTRY	
0060 93F2	<pre>! SAVE INSTANCE ! PUSH @R15, R2</pre>	
	<pre>! GET SEG HANDLE & VERIFY ACCESS ! ! "WRITE" ACCESS REQUIRED ! LD R2, #WRITE_ACCESS</pre>	
0062 2102		
0064 0000		
0066 5F00	CALL CONVERT_AND_VERIFY !R1:SEG #	
0068 0000	<small>R2:ACCESS REC RETURNS: R0:SUCCESS CODE R1:HANDLE PTR!</small>	
006A 0B00	CP R0, *SUCCEEDED	
006C 0E02	<small>IF EQ ! ACCESS PERMITTED ! THEN ! ADVANCED EVENTCOUNT !</small>	
006E 5E0E		
0070 0070	<small>! RESTORE INSTANCE ! POP R2, @R15</small>	
0072 97F2		
0074 5F00	CALL TC_ADVANCE !R1:HANDLE PTR	
0076 0000*	<small>R2:INSTANCE RETURNS: R0:SUCCESS CODE!</small>	
0078 5E08	ELSE !RESTORE STACK!	
007A 007E	<small>POP R2, @R15</small>	
007C 97F2	<small>FI RET</small>	
007E 9E08	END ADVANCE	
0080		

INTERNAL
SECTION EM_INT_PROC

0000 CONVERT AND VERIFY PROCEDURE
! ****
* CONVERTS SEGMENT NUMBER TO KST INDEX*
* AND EXTRACTS SEGMENT'S HANDLE FROM *
* KST. IF SUCCESSFUL, THEN ACCESS *
* CLASS OF SUBJECT IS CHECKED AGAINST *
* ACCESS CLASS OF OBJECT TO INSURE *
* THAT ACCESS IS PERMITTED.

* PARAMETERS:
* R1: SEGMENT NUMBER
* R2: ACCESS REQUESTED

* RETURNS:
* R0: SUCCESS CODE
* R1: HANDLE POINTER
*****!

ENTRY
! SAVE REQUESTED ACCESS !
0000 93F2 PUSH QR15, R2
0002 5F00 ! GET SEGMENT HANDLE !
0004 0062 CALL GET_HANDLE !R1:SEG #

0006 0B00 RETURNS:
0008 0002 R0:SUCCESS CODE
000A 5E0E R4:HANDLE PTR
000C 005E R5:CLASS PTR!
000E 91F4 CP R0, #SUCCEEDED
0010 5F00 IF EO ! SEGMENT IS KNOWN !
0012 0000* THEN ! VERIFY ACCESS !
 ! SAVE HANDLE & CLASS PTR !
 PUSHL QR15, RR4
 ! GET SUBJECT'S SAC !
 CALL PROCESS_CLASS !RETURNS:
 RR2:PROC CLASS!
0014 95F0 ! RETRIEVE SEG CLASS POINTER !
 POPL RR0, QR15
 ! GET SEGMENT'S CLASS !
0016 1414 LDL RR4, QR1
 ! RETRIEVE REQUESTED ACCESS !
0018 97F1 POP R1, QR15
 ! SAVE HANDLE POINTER !
001A 93F0 PUSH QR15, R0
 ! CHECK ACCESS CLEARANCE !

```

001C 0B01      CP      R1, #WRITE_ACCESS
001E 0000
0020 5E0E      IF EQ ! WRITE ACCESS REQUESTED !
0022 0040      THEN
0024 5F00      CALL    CLASS_EQ !RR2:PROCESS CLASS
0026 0000*
0028 0B01      RR4:SEGMENT CLASS
002A 0000      RETURNS:
002C 5E0E      R1: CONDITION CODE!
002E 0038
0030 2100
0032 0021
0034 5E08
0036 003C
0038 2100
003A 0002
003C 5E08
003E 0058
0040 5F00      ELSE !ACCESS PERMITTED!
0042 0000*
0044 0B01      LD     R0, #ACCESS_CLASS_NOT_EQ
0046 0000
0048 5E0E      ELSE ! READ ACCESS REQUESTED !
004A 0054
004C 2100
004E 0029
0050 5E08
0052 0058
0054 2100
0056 0002
0058 97F1      CALL    CLASS_GE !RR2:PROCESS CLASS
005A 5E08
005C 0060
005E 97F2      RR4:SEGMENT CLASS
0060 9E08      RETURNS:
0062 0000*      R1:CONDITION CODE!
0044 0B01      CP      R1, #FALSE
0046 0000
0048 5E0E      IF EQ !ACCESS NOT PERMITTED!
004A 0054
004C 2100
004E 0029
0050 5E08
0052 0058
0054 2100
0056 0002
0058 97F1      LD     R0, #ACCESS_CLASS_NOT_GE
005A 5E08
005C 0060
005E 97F2      ELSE !ACCESS PERMITTED!
0060 9E08      LD     R0, #SUCCEEDED
0062 0000*
0064 0000      FI
0066 0000      FI
0068 0000      ! RETRIEVE HANDLE POINTER !
006A 0000      POP    R1, QR15
006C 0000      ELSE
006E 0000      ! RESTORE STACK !
0070 0000      POP    R2, QR15
0072 0000      FI
0074 0000      RET
0076 0000      END CONVERT_AND_VERIFY

```

0062 GET HANDLE PROCEDURE
 !*****
 * CONVERTS SEGMENT NUMBER TO *
 * KST INDEX AND DETERMINES IF *
 * SEGMENT IS KNOWN. IF KNOWN *
 * POINTER TO SEGMENT HANDLE *
 * AND POINTER TO SEGMENT CLASS*
 * ARE RETURNED.

 * PARAMETERS:
 * R1: SEGMENT NUMBER

 * RETURNS:
 * R0: SUCCESS CODE
 * R4: HANDLE POINTER
 * R5: CLASS POINTER
 *****!

ENTRY
 ! CONVERT SEGMENT # TO KST INDEX # !
 0062 0301 SUB R1, #NR_OF_KSEGS
 0064 000A
 ! VERIFY KST INDEX !
 0066 2100 LD R0, #SUCCEEDED
 0068 0002
 006A 0B01 CP R1, #0
 006C 0000
 IF LE !INDEX NEGATIVE!
 THEN
 006E 5E0A LD R0, #SEGMENT_NOT_KNOWN
 0070 007A
 0072 2100
 0074 001C
 0076 5E08
 0078 0086
 007A 0B01 CP R1, #MAX_NO_KST_ENTRIES
 007C 0036
 IF GT !EXCEEDS MAXIMUM INDEX!
 THEN !INVALID INDEX!
 007E 5E02
 0080 0086
 0082 2100 LD R0, #SEGMENT_NOT_KNOWN
 0084 001C
 FI
 FI
 0086 0B00 CP R0, #SUCCEEDED
 0088 0002
 IF EO !INDEX VALID!
 THEN
 008A 5E0E
 008C 00BE
 ! SAVE KST INDEX !
 008E 93F1 PUSH QR15, R1
 ! GET KST ADDRESS !

```

0090 2101      LD      R1, #KST_SEG_NO
0092 0002      CALL    ITC_GET_SEG_PTR !R1:KST_SEG_NO
0094 5F00
0096 0000*      RETURNS:
                  R0:KST ADDR!
0098 97F3      ! RETRIEVE KST INDEX # !
                  POP    R3, QR15

009A 1902      ! CONVERT KST INDEX # TO KST OFFSET !
009C 0010      MULT   RR2, #SIZEOF KST_REC
009E 8103      ! COMPUTE KST ENTRY ADDRESS !
ADD   R3, R0
! SEE IF SEGMENT IS KNOWN !
CP    KST.M_SEG_NO(R3), #NOT_KNOWN

00A0 4D31
00A2 000E
00A4 00FF      IF EQ !SEGMENT NOT KNOWN!
                  THEN
                  LD    R0, #SEGMENT_NOT_KNOWN
ELSE !SEGMENT KNOWN!
                  LD    R0, #SUCCEEDED
                  ! GET HANDLE POINTER !
                  LDA   R4, KST.MM_HANDLE(R3)
                  ! GET CLASS POINTER !
                  LDA   R5, KST.CLASS(R3)

00B6 7634
00B8 0000      FI
                  FI
00BE 9E08      RET
00C0            END GET_HANDLE
                  END EVENT_MGR

```

APPENDIX B - TRAFFIC CONTROLLER LISTINGS

Z8000ASM 2.02
LOC OBJ CODE STMT SOURCE STATEMENT

\$LISTON \$TTY
TC MODULE

CONSTANT

! ***** SYSTEM PARAMETERS ***** !
NR_PROC := 4
VP_NR := 2
NR_CPU := 2
NR_KST := 54

! ***** SYSTEM CONSTANTS ***** !
RUNNING := 0
READY := 1
BLOCKED := 2
IDLE_PROC := %DDDD
NIL := %FFFF
INVALID := %EEEE
KERNEL_STACK := 1
USER_STACK := 3
KST_SEG := 2
KST_LIMIT := 1
USER_FCW := %1800
WRITE := 0
! INDICATES LOWEST SYSTEM
SECURITY CLASS!
SYSTEM_LOW := 0
STK_OFFSET := %FF
REMOVED := %ABCD
TRUE := 1
FALSE := 0
SUCCEEDED := 2

TYPE

AP_PTR	WORD
VP_PTR	WORD
ADDRESS	WORD
H_ARRAY	ARRAY[3 WORD]

AP_TABLE RECORD	
[NEXT_AP	AP_PTR
DBR	WORD
SAC	LONG
PRI	INTEGER
STATE	INTEGER
AFFINITY	WORD
VP_ID	VP_PTR
HANDLE	H_ARRAY
INSTANCE	WORD
VALUE	LONG
FILL_2	ARRAY[2 WORD]
]	
RUN_ARRAY	APRAY[VP_NR AP_PTR]
RDY_ARRAY	ARRAY[NR_CPU AP_PTR]
AP_DATA	ARRAY[NR_PROC AP_TABLE]
"P DATA	RECORD
[NR VP	ARRAY[NR_CPU WORD]
FIRST	ARRAY[NR_CPU VP_PTR]
]	
KST_REC	RECORD
[MM_HANDLE	H_ARRAY
SIZE	WORD
ACCESS	BYTE
IN_CORE	BYTE
CLASS	LONG
M_SEG_NO	SHORT_INTEGER
ENTRY_NUM	SHORT_INTEGER
]	
EXTERNAL	
K_LOCK	PROCEDURE
K_UNLOCK	PROCEDURE
SET_PREEMPT	PROCEDURE
SWAP_VDBR	PROCEDURE
IDLE	PROCEDURE
RUNNING_VP	PROCEDURE
CREATE_INT_VEC	PROCEDURE
LIST_INSERT	PROCEDURE
ALLOCATE_MMU	PROCEDURE
MM_ALLOCATE	PROCEDURE
UPDATE_MMU_IMAGE	PROCEDURE
CREATE_STACK	PROCEDURE
MM_ADVANCE	PROCEDURE
MM_READ_EVENTCOUNT	PROCEDURE
G_AST_LOCK	WORD
PREEMPT_RET	LABEL

```

$SECTION TC_DATA
INTERNAL
0000    APT      RECORD
        [ LOCK      WORD
          RUNNING_LIST  RUN_ARRAY
          READY_LIST   RDY_ARRAY
          BLOCKED_LIST AP_PTR
          FILL_3       LONG
          VP          VP_DATA
          FILL        ARRAY[4 WORD]
          AP          AP_DATA
        ]

```

!THESE VARIABLES ARE USED DURING TC
INITIALIZATION TO SPECIFY AVAILABLE
ENTRIES IN THE APT, AND ARE INITIA-
IZED BY TC_INIT IN THIS IMPLEMENTATION!

```

00A0    NEXT VP      WORD
00A2    APT_ENTRY WORD

```

```

$SECTION TC_LOCAL
$ABS 0
!NOTE: USED AS OVERLAY ONLY!
0000    ARG_LIST  RECORD
        [ REG      ARRAY[13 WORD]
          IC       WORD
          CPU_ID   WORD
          SACI     LONG
          PRI1     WORD
          USR_STK  WORD
          KER_STK  WORD
          KSTI     LONG
        ]

```

\$ABS 0
!NOTE: USED AS STACK FRAME FOR
STORAGE OF TEMPORARY VARIABLES
FOR CREATE_PROCESS.!

```

0000    CREATE    RECORD
        [ APG_PTR WORD
          DBR_NUM WORD
          LIMITS  WORD
          SEG_ADDR ADDRESS
          N_S_P   WORD
        ]

```

```

0000    HANDLE_VAL RECORD
        [ HIGH    LONG
          LOW     WORD
        ]

```

!THE FOLLOWING DECLARATION IS UTILIZED
AS A STACK FRAME FOR STORAGE OF
TEMPORARY VARIABLES UTILIZED BY
TC_ADVANCE AND TC_AWAIT.!

\$ABS 0

0000 TEMP RECORD
[HANDLE_PTR WORD
EVENT_NR WORD
EVENT_VAL LONG
ID_VP WORD
CPU_NUM WORD
HANDLE_HIGH LONG
HANDLE_LOW WORD
]

\$SECTION TC_KST_DCL

!NOTE: KST DECLARATION IS USED HERE
TO SUPPORT KST INITIALIZATION FOR
THIS DEMONSTRATION ONLY. THIS
DECLARATION AND INITIALIZATION
SHOULD EXIST AT THE SEGMENT MANAGER
LEVEL AND THUS SHOULD BE REMOVED
UPON IMPLEMENTATION OF SYSTEM
INITIALIZATION.!

\$ABS 0

0000 KST ARRAY[NR_KST KST_REC]

```

0000 $SECTION TC_INT_PROC
      TC_GETWORK PROCEDURE
      ! ****
      * PROVIDES GENERAL MANAGE-
      * MENT OF USER PROCESSES BY *
      * EFFECTING PROCESS SCHEDU-
      * LING ON VIRTUAL PROCESSORS*
      ****
      * PARAMETERS:
      *   R1: CURRENT VP ID
      *   R3: LOGICAL CPU #
      ****
      * LOCAL VARIABLES:
      *   R2: NEXT READY PROCESS
      *   R4: AP PTR
      **** !
      ENTRV
      ! FIND FIRST READY PROCESS !
      LD    R2, APT.READY_LIST(R3)
      0000 6132
      0002 0006

      GET_READY_AP:
      DO !WHILE NOT (END OF LIST OR READY) !
      CP    R2, #NIL
      0004 0B02
      0006 FFFF
      0008 5E0E
      000A 0C10
      000C 5E08
      000E 0026

      IF EQ !NO READY PROCESS! THEN
      EXIT FROM GET_READY_AP
      FI
      CP    APT.AP.STATE(R2), #READY
      0010 4D21
      0012 002A
      0014 0001
      0016 5E0E
      0018 001E
      001A 5E08
      001C 0026

      IF EQ !PROCESS READY! THEN
      EXIT FROM GET_READY_AP
      FI
      ! GET NEXT AP FROM LIST !
      LD    R4, APT.AP.NEXT_AP(R2)
      001E 6124
      0020 0020
      0022 A142
      0024 E8EF
      0026 0B02
      0028 FFFF
      002A 5E0E
      002C 003C

      IF EQ ! IF NO PROCESSES READY ! THEN
      ! LOAD IDLE PROCESS !
      LD    APT.RUNNING_LIST(R1), #IDLE_PROC
      002E 4D15
      0030 0002
      0032 DDDD

```

```
2034 5F00    CALL IDLF
0036 0000*   ELSE
0038 5E08
003A 0052
              ! LOAD FIRST READY AP !
003C 6F12    LD    APT.RUNNING_LIST(R1), R2
003E 0002
0040 4D25    LD    APT.AP.STATE(R2), #RUNNING
0042 002A
0044 0000
0046 6F21    LD    APT.AP.VP_ID(R2), R1
0048 002E
004A 6121    LD    R1, APT.AP.DB.R(R2)
004C 0022
004E 5F00    CALL SWAP_VDBR !(R1:DB.R) !
0050 0000*   FI
0052 9E08    RET
0054         END TC_GETWORK
```

0054 VIRTUAL PREEMPT HANDLER PROCEDURE
 !*****
 * LOADS FIRST READY AP *
 * IN RESPONSE TO PREEMPT *
 * INTERRUPT *
 *****!

 ENTRY
 !** CALL WAIT_LOCK (APT^.LOCK) **!
 !** RETURNS WHEN PROCESS HAS LOCKED APT **!
 LDA R4, APT.Lock

 0054 7604
 0056 0000
 0058 5F00
 005A 0000*

 CALL K_LOCK

 ! GET RUNNING_VP ID !
 005C 5F00
 005E 0000*
 CALL RUNNING_VP !RETURNS:

 R1:VP_ID
 R3:CPU #!

 ! GET AP !
 0060 6112
 0062 0002
 LD R2, APT.RUNNING_LIST(R1)

 ! IF NOT AN IDLE PROCESS, SET IT TO READY !
 0064 0B02
 0066 DDDD
 0068 5E06
 006A 0072
 006C 4D25
 006E 002A
 0070 0001
 CP R2, #IDLE_PROC

 IF NE ! NOT IDLE ! THEN
 ID APT.AP.STATE(R2), #READY

 FI

 ! LOAD FIRST READY PROCESS !
 0072 5F00
 0074 0000
 CALL TC_GETWORK !R1:VP_ID

 R3:CPU #!

 !NOTE: THIS IS THE INITIAL POINT OF
 EXECUTION FOR USER PROCESSES.!
 VIRT_PREEMPT_RETURN:
 !** CALL UNLOCK (APT^.LOCK) **!
 !** RETURNS WHEN PROCESS HAS UNLOCKED APT **!
 !** AND ADVANCED ON THIS EVENT **!
 0076 7604
 0078 0000
 007A 5F00
 007C 0000*
 LDA R4, APT.Lock

 CALL K_UNLOCK

! PERFORM A VIRTUAL INTERRUPT RETURN !
!NOTE: THIS JUMP EFFECTS A VIRTUAL
IRET INSTRUCTION.!
007E 5E08 JP PREEMPT_RET
0080 0000*
0082 END VIRTUAL_PREEMPT_HANDLER

```

GLOBAL
$SECTION TC_GLB_PROC
0000      TC_INIT          PROCEDURE
!*****!
* INITIALIZES APT HEADER *
* AND VIRTUAL INT VECTOR *
*****!
* PARAMETERS:           *
*   R1: CPU_ID          *
*   R2: NR_VP            *
*****!
ENTRY
! NOTE: THE NEXT FOUR VALUES ARE
ONLY TO BE INITIALIZED ONCE. !
0000 4D05,
0002 00A0,
0004 0000
0006 4D05,
0008 00A2,
000A 0000
000C 4D05,
000E 000A,
0010 FFFF
0012 4D08
0014 0000

!*****!
NOTE: THE FOLLOWING CODE IS INCLUDED
ONLY FOR SIMULATION OF A MULTIPROCESSOR
ENVIRONMENT. THIS IS TO INSURE THAT THE
READY LIST(S) AND VP DATA OF THE SIMULATED
CPU(S) ARE PROPERLY INITIALIZED. IN AN
ACTUAL MULTIPROCESSOR ENVIRONMENT, THIS
BLOCK OF CODE SHOULD BE REMOVED.
*****!

0016 2104
0018 0000
001A 0B04
001C 0004
001E 5E0E
0020 0026
0022 5E08
0024 0036

LD    R4, #0
DC
CP    R4, #NR_CPU*2
IF EQ !ALL LISTS INITIALIZED!
THEN EXIT
FI

```

```

        ! INITIALIZE READY LISTS AS EMPTY !
0026 4D45 LD     APT.READY_LIST(R4), #NIL
0028 0006
002A FFFF

        ! INITIALLY MARK ALL LOGICAL CPU'S
        ! AS HAVING 1 VP. THIS IS NECESSARY
        ! TO INSURE TC_ADVANCE WILL FUNCTION
        ! PROPERLY, AS IT EXPECTS EVERY CPU
        ! TO HAVE AT LEAST 1 VP. !
002C 4D45 LD     APT.VP.NR_VP(R4), #1
002E 0010
0030 0001
0032 A941
0034 E8F2           INC   R4, #2
                     OD

        ! END MULTIPROCESSOR SIMULATION CODE.
        ****!
0036 6F12 LD     APT.VP.NR_VP(R1), R2
0038 0010
003A 6103 LD     R3, NEXT_VP
003C 00A0
003E 6F13 LD     APT.VP.FIRST(R1), R3
0040 0014

        ! RECOMPUTE NEXT_VP VALUE FOR TC
        ! INITIALIZATION OF NEXT LOGICAL
        ! CPU. !
0042 A125 LD     R5, R2
0044 1904 MULT RR4, #2
0046 0002
0048 8153 ADD   R3, R5
004A 6F03 LD     NEXT_VP, R3
004C 00A0

        ! INITIALIZE RUNNING LIST !
004E 6113 LD     R3, APT.VP.FIRST(R1)
0050 0014
DO
0052 0B02 CP     R2, #0
0054 0000
0056 5E0E
0058 005E
005A 5E08
005C 006A
005E 4D35 LD     APT.RUNNING_LIST(R3), #IDLE_PRCC
0060 0002
0062 DDDD
0064 A931 INC   R3, #2
0066 AB20 DEC   R2, #1
0068 E8F4 OD
006A 4D15 LD     APT.READY_LIST(R1), #NIL
006C 0006
006E FFFF

```

```
0070 2101    LD    R1, #0
0072 0000
              ! ENTRY ADDRESS !
0074 7602    LDA    R2, VIRTUAL_PREEMPT_HANDLER
0076 0054
0078 5F00    CALL   CREATE_INT_VEC
007A 0000*               !R1:VIRTUAL_INTERRUPT *
                           R2:INTERRUPT HANDLER ADDRESS!
007C 9E08    RET
007E          END TC_INIT
```

007E CREATE PROCESS PROCEDURE
 !*****
 * CREATES USER PROCESS *
 * DATABASES AND APT *
 * ENTRIES *
 !*****
 * PARAMETERS:
 * R14: ARGUMENT PTR *
 !*****!

ENTRY
 !NOTE: THIS PROCEDURE IS A STUB TO ALLOW
 PROCESS INITIALIZATION FOR THIS
 DEMONSTRATION.
 ! ESTABLISH STACK FRAME FOR LOCAL
 VARIABLES.
 SUB R15, #SIZEOF CREATE

! STORE INPUT ARGUMENT POINTER !
 LD CREATE.ARG_PTR(R15), R14

! LOCK APT !
 LDA R4, APT.LOCK

CALL K_LOCK

! RETURNS WHEN APT IS LOCKED !
 ! CREATE MMU ENTRY FOR PROCESS !
 CALL ALLOCATE_MMU !RETURNS:

R0: DBR #!
 ! GET NEXT AVAILABLE ENTRY IN APT !
 LD R1, APT_ENTRY

! COMPUTE APT OFFSET !
 LD R2, #SIZEOF AP_TABLE

ADD R2, R1

! SAVE NEXT AVAILABLE APT ENTRY !
 LD APT_ENTRY, R2

! CREATE APT ENTRY FOR PROCESS !
 LD APT.AP.NEXT_AP(R1), #NIL

LD APT.AP.DBR(R1), R0

! GET PROCESS CLASS !
 LDL RR2, ARG_LIST.SAC1(R14)

LDL APT.AP.SAC(R1), RR2

```

00B0 0024*           ! GET PROCESS PRIORITY !
00B2 61E2             LD    R2, ARG_LIST.PRI1(R14)
00B4 0022
00B6 6F12             LD    APT.AP.PRI(R1), R2
00B8 0028*
00BA 61E2             ! GET LOGICAL CPU # !
00BC 001C             LD    R2, ARG_LIST.CPU_ID(R14)
00BE 6F12             LD    APT.AP.AFFINITY(R1), R2
00C0 002C*
00C2 7623             ! THREAD IN LIST AND MAKE READY!
00C4 0006             LDA   R3, APT.READY_LIST(R2)
00C6 7604             LDA   R4, APT.AP.NEXT_AP
00C8 0020
00CA 7605             LDA   R5, APT.AP.PRI
00CC 0028*
00CE 7606             LDA   R6, APT.AP.STATE
00D0 002A*
00D2 2107             LD    R7, #READY
00D4 0001
00D6 AD21             EX    R1, R2
00D8 6FF0             ! SAVE DBR # !
00DA 0002             LD    CREATE.DBR_NUM(R15), R0
00DC 5F00             CALL  LIST_INSERT
00DE 0000*             !R2: OBJ ID
                           R3: LIST HEAD PTR
                           R4: NEXT OBJ PTR
                           R5: PRIORITY PTR
                           R6: STATE PTR
                           R7: STATE!
00E0 7604             ! UNLOCK APT !
00E2 0000             LDA   R4, APT.LOCK
00E4 5F00             CALL  K_UNLOCK
00E6 0000*
00E8 61FE             !CREATE USER STACK!
00EA 0000             ! RESTORE ARGUMENT POINTER !
00EC 61E3             LD    R14, CREATE.ARGPTR(R15)
00EE 0024             LD    R3, ARG_LIST.USR_STK(R14)
00F0 6FFF             ! SAVE LIMITS !
00F2 0024             LD    CREATE.ILIMITS(R15), R3

```

```

00F4 5F00 CALL MM_ALLOCATE !R3: # OF BLOCKS
00F6 0000*           RETURNS:
                           R2: START ADDR!
                           !COMPUTE & SAVE NSP!
00F8 A128 LD R8, R2
                           ! ESTABLISH INITIAL SP VALUE
                           FOR USER STACK. !
00FA 0108 ADD R8, #STK_OFFSET
00FC 00FF
00FE 6FF8
0100 0008
0102 61F4 LD CREATE.N_S_P(R15), R8
0104 0004
0106 AB40 ! RESTORE LIMITS !
0108 61F0 LD R4, CREATE.LIMITS(R15)
010A 0002
010C 2101 LD R1, #USER_STACK
010E 0003
0110 2103 LD R3, #WRITE !ATTRIBUTE!
0112 0000
0114 5F00 CALL UPDATE_MMU_IMAGE
0116 0000*           !R0: DBR #
                           R1: SEGMENT #
                           R2: SEG ADDRESS
                           R3: SEG ATTRIBUTES
                           R4: SEG LIMITS !
                           !CREATE KERNEL STACK!
                           ! RESTORE ARGUMENT POINTER !
0118 61FE LD R14, CREATE.ARG_PTR(R15)
011A 0000
011C 61E3 LD R3, ARG_LIST.KER_STK(R14)
011E 0026
0120 5F00 CALL MM_ALLOCATE !R3: # OF BLOCKS
0122 0000*           RETURNS
                           R2: START ADDR!
                           !MAKE MMU ENTRY!
                           ! RESTORE DBR # !
0124 61F0 LD R0, CREATE.DBR_NUM(R15)
0126 0002
0128 2101 LD R1, #KERNEL_STACK
012A 0001
012C A134 LD R4, R3
012E AB40 DEC R4
0130 2103 LD R3, #WRITE
0132 0000           ! SAVE START ADDRESS !

```

0134 6FF2	LD CREATE.SEG_ADDR(R15), R2
0136 0006	
0138 5F00	CALL UPDATE_MMU_IMAGE
013A 0000*	
	!R0: DBR #
	R1: SEGMENT #
	R2: SEG ADDRESS
	R3: SEG ATTRIBUTES
	R4: SEG LIMITS!
	!ESTABLISH ARGUMENTS!
	! RESTORE ARGUMENT POINTER !
013C 61FE	LD R14, CREATE.ARG_PTR(R15)
013E 0000	
	! RESTORE STACK ADDRESS !
0140 61F1	LD R1, CREATE.SEG_ADDR(R15)
0142 0006	
0144 2103	LD R3, #USER_FCW
0146 1800	
0148 61E4	LD R4, ARG_LIST.IC(R14)
014A 001A	
	! RESTORE INITIAL NSP !
014C 61F5	LD R5, CREATE.N_S_P(R15)
014E 0008	
0150 7606	LDA R6, VIRT_PREEMPT_RETURN
0152 0076	
0154 030F	SUB R15, #8
0156 0008	
0158 1CF9	LDM GR15, R3, #4
015A 0303	
	! LOAD ARGUMENT POINTER FOR CREATE_STACK CALL !
015C A1F0	LD R6, R15
015E 93F1	PUSH GR15, R1
0160 A1E1	LD R1, R14
	! LOAD INITIAL REGISTER VALUES TO BE PASSED TO USER PROCESS AS INITIAL PARAMETERS. !
0162 5C11	LDM R2, ARG_LIST.REG(R1), #13
0164 020C	
0166 0000	
0168 97F1	POP R1, GR15
016A 5F00	CALL CREATE_STACK
016C 0000*	
	!R0: ARGUMENT PTR P1: TOP OF STACK R2-R14: INITIAL REG STATES!
	!NOTE: THE ABOVE INITIAL REG STATES REPRESENT THE INITIAL PARAMETERS (VIZ., REGISTER CONTENTS) THAT A USER PROCESS WILL RECEIVE UPON

```

INITIAL EXECUTION. !
016E 010F      ADD R15, #8 !OVERLAY PARAMETERS!
0170 0008

! ALLOCATE KST !
0172 2103      LD R3, #KST_LIMIT
0174 0001
0176 5F00      CALL MM_ALLOCATE !R3:# OF BLOCKS
0178 0000*
                           RETURNS
                           R2:START ADDR!

! RESTORE DBR !
017A 61F0      LD R0, CREATE.DBR_NUM(R15)
017C 0002

! SAVE KST ADDRESS !
017E 6FF2      LD CREATE SEG_ADDR(R15), R2
0180 0006

!MAKE MMU ENTRY FOR KST SEG!
0182 2101      LD R1, #KST_SEG
0184 0002
0186 2103      LD R3, #WRITE !ATTRIBUTE!
0188 0000
018A 2104      LD R4, #KST_LIMIT-1
018C 0000
018E 5F00      CALL UPDATE_MMU_IMAGE
0190 0000*
                           !R0: DBR #
                           R1: SEGMENT #
                           R2: SEG ADDRESS
                           R3: SEG ATTRIBUTES
                           R4: SEG LIMITS!
! RESTORE KST ADDRESS !
0192 61F2      LD R2, CREATE.SEG_ADDR(R15)
0194 0006

! CREATE INITIAL KST STUB !
0196 5F00      CALL CREATE_KST !R2:KST ADDR!
0198 01A0

! REMOVE TEMPORARY VARIABLE
    STACK FRAME. !
019A 010F      ADD R15, #SIZEOF CREATE
019C 000A
019E 9E08
01A0           RET
END CREATE_PROCESS

```

```

01A0      CREATE_KST      PROCEDURE
!*****-
* CREATES KST STUB FOR *
* PROCESS MANAGEMENT *
* DEMO. INSERTS ROOT *
* ENTRY IN KST. NOT *
* INTENDED TO BE FINAL *
* PRODUCT.
*****-
* PARAMETERS:          *
* R2: KST ADDRESS      *
*****!

```

ENTRY

```

!NOTE: THIS PROCEDURE IS A STUB USED
FOR INITIALIZATION IN THIS IMPLEMENTATION
ONLY. THE ACTUAL INITIALIZATION CODE
FOR THE KST WILL RESIDE AT THE SEGMENT
MANAGER LEVEL ONCE IMPLEMENTATION OF
SYSTEM INITIALIZATION IS EFFECTED. !

```

```

! CREATE ROOT ENTRY IN KST !
LDL    RR6, #-1 !ROOT HANDLE!

LDL    KST.MM_HANDLE(R2), RR6

!SET ROOT ENTRY # IN G AST !
LD     KST.MM_HANDLE[2]7R2), #0

! SET ROOT CLASSIFICATION !
LDL    RR6, #SYSTEM_LOW

LDL    KST.CLASS(R2), RR6

!SET MENTOR SEG #!
LDB    KST.M_SEG_NO(R2), #0

!INITIALIZE FREE KST ENTRIES
FOR DEMO. NOT FULL KST!
LD     R1, #10

DO
  CP    R1, #0
  IF EQ THEN EXIT FI

01A0 1406
01A2 FFFF
01A4 FFFF
01A6 5D26
01A8 0000
01AA 4D25
01AC 0004
01AE 0000
01B0 1406
01B2 0000
01B4 0000
01B6 5D26
01B8 000A
01BA 4C25
01BC 000E
01BE 0000
01C0 2101
01C2 000A
01C4 0B01
01C6 0000
01C8 5E0E
01CA 01D0
01CC 5E08

```

```
01CE 01DE'      ADD    R2, #SIZEOF KST_REC
01D0 0102        LDB    KST.M_SEG_NO(R2), #FFF
01D2 0010
01D4 4C25
01D6 020E
01D8 FFFF
01DA AB10      DEC    R1
01DC E8F3      OD
01DE 9E08      RET
01E0            END CREATE_KST
```

01E0 TC ADVANCE PROCEDURE
 !*****
 * EVENTCOUNT IS ADVANCED BY *
 * INVOCATION OF MM ADVANCE. *
 * PROCESSES THAT ARE AWAITING *
 * THIS EVENT OCCURRENCE ARE *
 * REMOVED FROM THE BLOCKED LIST*
 * AND MADE READY. THE READY *
 * LISTS ARE THEN CHECKED TO *
 * INSURE PROPER SCHEDULING IS *
 * EFFECTED. IF NECESSARY VIR- *
 * TUAL PREEMPTS ARE SENT TO ALL*
 * THOSE VP'S BOUND TO LOWER *
 * PRIORITY PROCESSES.

 * PARAMETERS:
 * R1: HANDLE POINTER
 * R2: INSTANCE (EVENT #)

 * RETURNS:
 * R0: SUCCESS CODE
 *****!

 ENTRY
 ! ESTABLISH TEMPORARY VARIABLE
 STACK FRAME. !
 SUB R15, #SIZEOF TEMP

 01E0 030F
 01E2 0012

 ! SAVE INPUT ARGUMENTS !
 01E4 6FF1
 01E6 0000
 01E8 6FF2
 01EA 0202

 ! LOCK APT !
 01EC 7604
 01EE 0000
 01F0 5F00
 01F2 0000*

 CALL K_LOCK

 ! RETURNS WHEN APT IS LOCKED !
 ! ANNOUNCE EVENT OCCURRENCE BY
 INCREMENTING EVENTCOUNT IN G_AST!
 01F4 5F00
 01F6 0000*

 CALL MM_ADVANCE !R1:HANDLE PTR

 R2:INSTANCE
 RETURNS:
 R0:SUCCESS CODE
 RR2:EVENTCOUNT!

 01F8 0B00 CP R2, #SUCCEEDED
 01FA 0002
 01FC 5E0E IF EQ THEN
 01FE 0372'

```

        ! SAVE EVENTCOUNT !
0200 5DF2    LDL TEMP.EVENT_VAL(R15), RR2
0202 0004

        ! RESTORE INSTANCE !
0204 61F0    LD R0, TEMP.EVENT_NR(R15)
0206 0002

        ! RESTORE HANDLE POINTER !
0208 61F1    LD R1, TEMP.HANDLE_PTR(R15)
020A 0000

        ! SAVE HANDLE !
020C 5414    LDL RR4, HANDLE_VAL.HIGH(R1)
020E 0000
0210 5DF4    LDL TEMP.HANDLE_HIGH(R15), RR4
0212 000C
0214 6114    LD R4, HANDLE_VAL.IOW(R1)
0216 0004
0218 6FF4    LD TEMP.HANDLE_LOW(R15), R4
021A 0010

        ! AWAKEN ALL PROCESSES AWAITING
        THIS EVENT OCCURRENCE !
        ! GET FIRST BLOCKED PROCESS !
021C 6101    LD R1, APT.BLOCKED_LIST
021E 000A
0220 7606    LDA R6, APT.BLOCKED_LIST
0222 000A

WAKE_UP:
DO
        ! DETERMINE IF AT END OF BLOCKED LIST !
0224 0B01    CP R1, #NIL
0226 FFFF

        IF EQ ! NO MORE BLOCKED PROCESSES !
        THEN EXIT FROM WAKE_UP
0228 5E0E
022A 0230
022C 5E08
022E 02B4

FI
        ! SAVE NEXT ITEM IN LIST !
LD R7, APT.AP.NEXT_AP(R1)

        ! DETERMINE IF PROCESS IS ASSOCIATED
        WITH CURRENT HANDLE !
0230 6117    LDL RR4, TEMP.HANDLE_HIGH(R15)
0232 0020
0234 54F4    CPL RR4, APT.AP.HANDLE(R1)
0236 000C
0238 5014
023A 0030

        IF EQ ! HIGH HANDLE VALUE MATCHES !
        THEN
023C 5E0E    LD R4, TEMP.HANDLE_LOW(R15)
023E 02A2
0240 61F4    CP R4, APT.AP.HANDLE[2](R1)
0242 0010
0244 4B14

```

```

0246 0034'
0248 5E0E      IF EQ ! HANDLE'S MATCH !
024A 029C      THEN ! CHECK FOR INSTANCE MATCH !
024C 61F0      LD    R0, TEMP.EVENT_NR(R15)
024E 0002      CP    R0, APT.AP.INSTANCE(R1)
0250 4B10
0252 0036      IF EQ ! INSTANCE MATCHES !
0254 5E0E      THEN ! DETERMINE IF THIS IS THE
0256 0296      OCCURRENCE THE PROCESS
                  WAITING FOR !
0258 54F2      LDL   RR2, TEMP.EVENT_VAL(R15)
025A 0224
025C 5012      CPL   RR2, APT.AP.VALUE(R1)
025E 0038
0260 5E01      IF GE ! AWAITED EVENT HAS OCCURRED!
0262 0290      THEN ! AWAKEN PROCESS !
                  ! REMOVE FROM BLOCKED LIST !
0264 2F67      LD    QR6, R7
                  ! SAVE LOCAL VARIABLES !
0266 91F6      PUSHL QR15, RR6
                  !SET LIST THREADING ARGUMENTS!
0268 6112      LD    R2, APT.AP.AFFINITY(R1)
026A 022C
026C 7623      LDA   R3, APT.READY_IIST(R2)
026E 0006
0270 7604      LDA   R4, APT.AP.NEXT_AP
0272 0020
0274 7605      LDA   R5, APT.AP.PRI
0276 0028
0278 7606      LDA   R6, APT.AP.STATE
027A 002A
027C 2107      LD    R7, #READY
027E 0001
0280 A112      LD    R2, R1
0282 5F00      CALL  LIST_INSERT
0284 0000**      !R2: OJ ID
                  R3: LIST HEAD PTR
                  R4: NEXT OJ PTR
                  R5: PRIORITY PTR
                  R6: STATE PTR
                  R7: STATE VALUE !
                  ! RESTORE LOCAL VARIABLES !
                  POPL RR6, QR15
                  LD    R11, #REMOVED
0286 95F6      ELSE !PROCESS STILL BLOCKED!
0288 210B
028A ABCD
028C 5E08

```

```

028E 0292
0290 8DB8
0292 5E08
0294 0298
0296 8DB8
0298 5E08
029A 029E
029C 8DB8
029E 5E08
02A0 02A4
02A2 8DB8
02A4 0B0B
02A6 ABCD
02A8 5E06
02AA 02B0
02AC 7616
02AE 0020
02B0 A171
02B2 E8B8
02B4 8D28
02B6 0B02
02B8 0004
02BA 5E0E
02BC 02C2
02BE 5E08
02C0 0366
02C2 8D18
02C4 A910
02C6 4E21
02C8 0010
02CA 5E02
02CC 02D2
02CE 5E08
02D0 02D8
02D2 0DF9

        CLR    R11
        FI ! END VALUE CHECK !
        ELSE !PROCESS STILL BLOCKED!

        CLR    R11
        FI ! END INSTANCE CHECK !
        ELSE !PROCESS STILL BLOCKED!

        CLR    R11
        FI ! END HANDLE CHECK !
        ELSE !PROCESS STILL BLOCKED!

        CLR    R11
        FI ! END HIGH HANDLE CHECK !
        ! RESET AP POINTER REGISTERS !
        CP     R11, #REMOVED

        IF NE ! PROCESS IS STILL BLOCKED !
        THEN

            LDA    R6, APT.AP.NEXT_AP(R1)

            FI
            LD     R1, R7
            OD
            ! DETERMINE IF ANY VIRTUAL PREEMPT
            ! INTERRUPTS ARE REQUIRED !
            CLR    R2
PREEMPT_CHECK:
            DO
                CP     R2, #NR_CPU * 2
                IF EQ !ALL READY LISTS CHECKED! THEN
                    EXIT FROM PREEMPT_CHECK
                FI
                ! CREATE PREEMPT VECTOR FOR VP'S !
                CLR    R1
                DO !FOR R1=1 TO NR_VP'S!
                    INC    R1
                    CP     R1, APT.VP.NR_VP(R2)
                IF GT ! PREEMPT VECTOR COMPLETED !
                THEN EXIT
            FI
            PUSH   @R15, #TRUE

```

```

02D4 0001
02D6 E6F6
02D8 8D38
02DA 5124
02DC 0010
    ! # TO PFREEPMT !
    CLR   R3
    LD    R4, APT.VP.NR_VP(R2)
    ! # OF VP'S !
    ! GET FIRST READY PROCESS !
    LD    R1, APT.READY_LIST(R2)
02DE 6121
02E0 0006
    CHECK_RDY_LIST:
    DO
        ! SEE IF READY LIST IS EMPTY !
        CP    R1, #NIL
        IF EQ !LIST IS EMPTY!
            THEN EXIT FROM CHECK_RDY_LIST
        FI
        CP    APT.AP.STATE(R1), #RUNNING
        IF EQ !PROCESS IS RUNNING!
            THEN !DON'T PREEMPT IT!
            LD    R5, APT.AP.VP_ID(R1)
            !COMPUTE LOCATION IN PREEMPT VECTOP!
            SUB   R5, APT.VP.FIRST(R2)
            LDA   R6, R15(R5)
            LD    QR6, #FALSE
            ELSE ! PREEMPT IT !
            INC   R3
            FI
            DEC   R4
            CP    R4, #0
            IF EQ !ALL VP'S VERIFIED!
                THEN
                    EXIT FROM CHECK_RDY_LIST
            FI
            ! GET NEXT AP IN READY LIST !
            LD    R6, APT.AP.NEXT_AP(R1)
031C 6110

```

```

031E 0020*
0320 A101 LD R1, R0
0322 E9DF OD !END CHECK RDY LIST!
! SET NECESSARY PREEMPTS !
0324 6124 LD R4, APT.VP.NR_VP(R2)
0326 0E10
0328 6121 LD R1, APT.VP.FIRST(R2)
032A 0014

SEND_PREEMPT:
DO
032C 97F0 POP R0, @R15
! CHECK TEMPLATE !
032E 0F00 CP R0, #TRUE
0330 0001

IF EQ !CAN BE PREEMPTED!
THEN

0332 5E0E CP R3, #4
0334 0350
0336 0B03
0338 0000 IF GT !PREEMPTS REQUIRED!
THEN !PREEMPT IT!

033A 5E02 !SAVE ARGUMENTS!
033C 0350 PUSH @R15, R1
033E 93F1 PUSHL @R15, RR2
0340 91F2 PUSH @R15, R4
0342 93F4 CALL SET_PREEMPT
0344 5F00
0346 0000* !P1: VP ID!
! RESTORE ARGUMENTS !
0348 97F4 POP R4, @R15
034A 95F2 POPL RR2, @R15
034C 97F1 POP R1, @R15
034E AB30 DEC R3

FI
FI
0350 A911 INC R1, #2
0352 AB40 DEC R4
0354 0B04 CP R4, #4
0356 0000 IF EQ !STACK RESTORED!
THEN

0358 5E0E EXIT
035A 0360
035C 5E08
035E 0362

FI
0360 E8E5 OD !END SEND_PREEMPT!
! CHECK NEXT READY LIST !
0362 A921 INC R2, #2
0364 E8A8 OD !END PREEMPT_CHECK!

```

0366 7604 ! UNLOCK APT !
0368 0000' LDA R4, APT.LOCK
036A 5F00 CALL K_UNLOCK
036C 0000*
036E 2104 ! RESTORE SUCCESS CODE !
0370 0002 LD R0, #SUCCEEDED
0372 010F FI
0374 0012 ! RESTORE STACK !
0376 9E08 ADD R15, #SIZEOF TEMP
0378 RET
END TC_ADVANCE

0378

TC_AWAIT PROCEDURE

* CHECKS USER SPECIFIED VALUE *
* AGAINST CURRENT EVENTCOUNT *
* VALUE. IF USER VALUE IS LESS *
* THAN OR EQUAL EVENTCOUNT THEN *
* CONTROL IS RETURNED TO USER. *
* ELSE USER IS BLOCKED UNTIL *
* EVENT OCCURRENCE.

* PARAMETERS:
* R1: HANDLE POINTER
* R2: INSTANCE (EVENT #)
* RR4: SPECIFIED VALUE

* RETURNS:
* R0: SUCCESS CODE
*****!

ENTRY

! ESTABLISH STACK FRAME FOR
TEMPORARY VARIABLES. !

0378 030F SUB R15, #SIZEOF TEMP
037A 0012

037C 6FF1 LD TEMP.HANDLE_PTR(R15), R1
037E 0000
0380 6FF2 LD TEMP.EVENT_NR(R15), R2
0382 0002
0384 5DF4 LDL TEMP.EVENT_VAL(R15), RR4
0386 0004

0388 7604 ! LOCK APT !
038A 0000 LDA R4, APT.LOCK
038C 5F00 CALL K_LOCK
038E 0000*

0390 5F00 ! RETURNS WHEN APT IS LOCKED !
0392 0000* ! GET CURRENT EVENTCOUNT !
CALL MM_READ_EVENTCOUNT

0394 0B00 ! R1:HANDLE_POINTER
0396 0002 R2:INSTANCE
0398 5E0E RETURNS:
039A 0440 R0:SUCCESS_CODE
RR4: EVENTCOUNT!
CP R0, #SUCCEEDED
IF EQ THEN
! DETERMINE IF REQUESTED EVENT
HAS OCCURRED !

```

039C 54F6 LDL RR6, TEMP.EVENT_VAL(R15)
039E 0404 CPL RR6, RR4
03A0 9046 IF GT !EVENT HAS NOT OCCURRED!
03A2 5F02 THEN !BLOCK PROCESS!
03A4 0440*
03A6 5F00 ! IDENTIFY PROCESS !
03A8 0000* CALL RUNNING_VP !RETURNS:
R1:VP ID
R3:CPU #!
! SAVE RETURN VARIABLES !
LD TEMP.ID_VP(R15), R1
LD TEMP.CPU_NUM(R15), R3
LD R2, APT.RUNNING_LIST(R1)
! RESTORE REMAINING ARGUMENTS !
LD R2, TEMP.EVENT_NR(R15)
LD R1, TEMP.HANDLE_PTR(R15)
! SAVE EVENT DATA !
LDL RR4, HANDLE_VAL.HIGH(R1)
LDL APT.AP.HANDLE(R8), RR4
LD R4, HANDLE_VAL.LOW(R1)
LD APT.AP.HANDLE[2](R8), R4
LD APT.AP.INSTANCE(R8), R2
LDL RR6, TEMP.EVENT_VAL(R15)
LDL APT.AP.VALUE(R8), RR6
! REMOVE PROCESS FROM READY LIST !
LD R1, APT.AP.AFFINITY(R8)
LD R2, APT.READY_LIST(R1)
! SEE IF PROCESS IS FIRST
ENTRY IN READY LIST !
CP R2, R8
IF EQ !INSERT NEW READY LIST HEAD!
THEN
LD R3, APT.AP.NEXT_AP(R8)

```

```

03EC 6F13      LD      APT.READY_LIST(R1), R3
03EE 0006      ELSE !DELETE FROM LIST BODY!
03F0 5E08
03F2 040E
DO
03F4 6123      LD      R3, APT.AP.NEXT_AP(R2)
23F6 0020
03F8 8B83      CP      R3, R8
IF EQ !FOUND ITEM IN LIST!
THEN
03FA 5E0E      LD      R3, APT.AP.NEXT_AP(R6)
03FC 040A
03FE 6183      LD      APT.AP.NEXT_AP(R2), R3
0400 0020
0402 6F23
0404 0020
0406 5E08      EXIT
0408 040E
FI
040A A132      LD      R2, R3
040C E8F3      LD
FI
!THREAD PROCESS IN FLOCKED LIST!
040E A182      LD      R2, R8
0410 7603      LDA     R3, APT.BLOCKED_LIST
0412 000A
0414 7604      LDA     R4, APT.AP.NEXT_AP
0416 0020
0418 7605      LDA     R5, APT.AP.PRI
041A 0028      LDA     R6, APT.AP.STATE
041C 7606
041E 002A      LD      R7, #BLOCKED
0420 2107
0422 0002
0424 5F00      CALL    LIST_INSERT !R2:OBJ_ID
0426 0000*      R3:LIST HEAD PTR
                  R4:NEXT OBJ PTR
                  R5:PRIORITY PTR
                  R6:STATE PTR
                  R7:STATE !
! GET CURRENT VP ID !
0428 61F1      LD      R1, TEMP.ID_VP(R15)
042A 0008
042C 61F3      LD      R3, TEMP.CPU_NUM(R15)
042E 000A
I SCHEDULE FIRST READY PROCESS !
0430 5F00      CALL    TC_GETWORK !R1:VP_ID
0432 0000*      R3:CPU #!
! UNLOCK APT !
0434 7604      LDA     R4, APT.LOCK

```

0436 0000'
0438 5F00 CALL K_UNLOCK
243A 0000* ! RESTORE SUCCESS CODE !
043C 2100 LD R0, #SUCCEEDED
043E 0002 FI
FI
! RESTORE STACK !
0440 010F ADD R15, #SIZEOF TEMP
0442 0012
2444 9E08 RET
0446 END TC_AWAIT

0446 PROCESS_CLASS PROCEDURE
 !*****
 * READS SECURITY ACCESS *
 * CLASS OF CURRENT PROCESS *
 * IN APT. CALLED BY SEG *
 * MGR AND EVENT MGR *
 !*****
 * LOCAL VARIABLES:
 * R1: VP ID *
 * R5: PROCESS ID *
 !*****
 * RETURNS:
 * RR2: PROCESS SAC *
 !*****

ENTRY
 0446 7604 LDA R4,APT.LOCK
 0448 0000 CALL K_LOCK !R4:APT.LOCK!
 044A 5F00
 044C 0000*
 044E 5F00 CALL RUNNING_VP !RETURNS:
 0450 0000* R1:VP_ID
 R3:CPU #!
 0452 6115 LD R5,APT.RUNNING_LIST(R1)
 0454 0002
 0456 5452 IDL RR2,APT.AP.SAC(R5)
 0458 0024 ! UNLOCK APT !
 045A 7604 LDA R4, APT.LOCK
 045C 0000 CALL K_UNLOCK
 045E 5F00
 0460 0000*
 0462 9E08 RET
 0464 END PROCESS_CLASS

2464

GET_DBR_NUMBER PROCEDURE
!*****
* OBTAINS DBR NUMBER FROM APT *
* FOR THE CURRENT PROCESS. *
* CALLED BY SEGMENT MANAGER *

* LOCAL VARIABLES:
* R1: VP ID
* R5: PROCESS ID

* RETURNS:
* R1: DBR NUMBER
*****!
*****!

ENTRV

!NOTE: DPR # IS ONLY VALID WHILE PROCESS
IS LOADED. THIS IS NO PROBLEM IN SASS
AS ALL PROCESSES REMAIN LOADED. IN A
MORE GENERAL CASE, THE DBR # COULD ONLY
BE ASSUMED CORRECT WHILE THE APT IS LOCKED!

0464 7604 LDA R4,APT.LOCK
0466 0000' CALL K_LOCK !R4:~APT.LOCK!
0468 5F00
046A 0000* CALL RUNNING_VP !RETURNS:
046C 5F00
046E 0000* R1:VP_ID
R3:CPU #!
0470 6115 LD R5,APT.RUNNING_LIST(R1)
0472 0002'
0474 6151 LD R1,APT.AP.DBR(R5)
0476 0022'
! UNLOCK APT !
0478 7604 LDA R4, APT.LOCK
047A 0000'
047C 5F00 CALL K_UNLOCK
047E 0000*
0480 9E08 RET
0482 END GET_DBR_NUMBER

END TC

APPENDIX C - DISTRIBUTED MEMORY MANAGER LISTINGS

Z8000ASM 2.02
 LOC OBJ CODE STMT SOURCE STATEMENT

SLISTON STTY
 DIST_MM MODULE

CONSTANT

CREATE_CODE	:= 50
DELETE_CODE	:= 51
ACTIVATE_CODE	:= 52
DEACTIVATE_CODE	:= 53
SWAP_IN_CODE	:= 54
SWAP_OUT_CODE	:= 55
NR_CPU	:= 2
NR_KST_ENTRY	:= 54
MAX_SEG_SIZE	:= 128
MAX_DBR_NO	:= 4
KST_SEG_NO	:= 2
NR_OF_KSEGS	:= 10
BLOCK_SIZE	:= 8
MEM_AVAIL	:= %F00
G_AST_LIMIT	:= 10
INSTANCE1	:= 1
INSTANCE2	:= 2
INVALID_INSTANCE	:= 95
SUCCEEDED	:= 2

TYPE

H_ARRAY	ARRAY [3 WORD]
COM_MSG	ARRAY [16 BYTE]
ADDRESS	WORD

G_AST_REC	RECORD
UNIQUE_ID	LONG
GLOEAL_ADDR	ADDRESS
P_L_ASTE_NO	WORD
FLAG	WORD
PAR_ASTE	WORD
NR_ACTIVE	WORD
NO_ACT_DEP	BYTE
SIZE1	BYTE
PG_TBL	ADDRESS
ALIAS_TBL	ADDRESS
SEQUENCER	LONG
EVENT1	LONG
EVENT2	LONG

]

```

MM_VP_ID           WORD
SEG_ARRAY          ARRAY [MAX_SEG_SIZE     BYTE]

$SECTION D_MM_DATA
GLOBAL

0000   MM_CPU_TBL ARRAY [NR_CPU MM_VP_ID]
$SECTION AVAIL_MEM
INTERNAL
! NOTE: MEM_POOL IS LOCATED IN
CPU LOCAL MEMORY. !
0000   MEM_POOL    ARRAY [MEM_AVAIL BYTE]
GLOBAL
! NOTE: NEXT_BLOCK IS USED IN THE MM_ALLOCATE
STUB AS AN OFFSET POINTER INTO THE BLOCK
OF ALLOCATABLE MEMORY. IT IS INITIALIZED
IN BOOTSTRAP LOADER. !
2F00   NEXT_BLOCK WORD
$SECTION MSG_FRAME_DCL
INTERNAL
!NOTE: THESE RECORDS ARE "OVERLAYS" OR "FRAMES" USED
TO DEFINE MESSAGE FORMATS. NO MEMORY IS ALLOCATED !
SABS 0
0000   CREATE_MSG  RECORD [CR_CODE      WORD
                           CE_MM_HANDLE H_ARRAY
                           CE_ENTRY_NO  SHORT_INTEGER
                           CE_FILL      BYTE
                           CE_SIZE      WORD
                           CE_CLASS     LONG]
SABS 0
0000   DELETE_MSG  RECORD [DE_CODE      WORD
                           DE_MM_HANDLE H_ARRAY
                           DE_ENTRY_NO  SHORT_INTEGER
                           DE_FILL      ARRAY[7 BYTE]]
SABS 0
0000   ACTIVATE_MSG RECORD [ACT_CODE     WORD
                           A_DBR_NO     WORD
                           A_MM_HANDLE  H_ARRAY
                           A_ENTRY_NO   SHORT_INTEGER
                           A_SEGMENT_NO SHORT_INTEGER
                           A_FILL       LONG]

```

	SABS 0				
0000	DEACTIVATE_MSG	RECORD [DEACT_CODE	WORD	
		D_DBR_NO	WORD		
		D_MM_HANDLE	H_ARRAY		
		D_FILL	ARRAY[3 WORD]]		
	SABS 0				
0000	SWAP_IN_MSG	RECORD [S_IN_CODE	WORD	
		SI_MM_HANDLE	H_ARRAY		
		SI_DBR_NO	WORD		
		SI_ACCESS_AUTH	BYTE		
		SI_FILL1	BYTE		
		SI_FILL	ARRAY[2 WORD]]		
	SABS 0				
0000	SWAP_OUT_MSG	RECORD [S_OUT_CODE	WORD	
		SO_DBR_NO	WORD		
		SO_MM_HANDLE	H_ARRAY		
		SO_FILL	ARRAY[3 WORD]]		
	SABS 0				
0000	RET_SUC_CODE	RECORD [SUC_CODE	BYTE	
		SC_FILL	ARRAY[15 BYTE]]		
	SABS 0				
0000	R_ACTIVATE_ARG	RECORD [R_SUC_CODE	BYTE	
		R_FILL	BYTE		
		R_MM_HANDLE	H_ARRAY		
		R_CLASS	LONG		
		R_SIZE	WORD		
		R_FILL1	WORD]]		
	SABS 0				
0000	MM_HANDLE	RECORD [ID	LONG	
		ENTRY_NO	WORD		
]			

EXTERNAL

G_AST_LOCK	WORD
G_AST	ARRAY[G_AST_LIMIT G_AST_REC]
K_LOCK	PROCEDURE
K_UNLOCK	PROCEDURE
GET_CPU_NO	PROCEDURE
SIGNAL	PROCEDURE
WAIT	PROCEDURE

GLOBAL
\$SECTION D_MM_PROC

0000 MM CREATE ENTRY PROCEDURE
!*****
* INTERFACE BETWEEN SEG MGR *
* (CREATE SEG PROCEDURE) AND *
* MMGR PROCESS (CREATE_ENTRY *
* PROCEDURE). ARRANGES AND *
* PERFORMS IPC. *

* REGISTER USE: *
* PARAMETERS *
* R0:SUCCESS_CODE (RET) *
* R1:HPTR (INPUT) *
* R2:ENTRY NO (INPUT) *
* R3:SIZE (INPUT) *
* RR4:CLASS (INPUT) *
* LOCAL USE *
* R6:MM_HANDLE ARRAY ENTRY *
* R8:~COM_MSGBUF *
* R13:~COM_MSGBUF *
*****!
ENTRY
!USE STACK FOR MESSAGE!
SUB R15,#SIZEOF COM_MSG
0000 030F
0002 0010
0004 A1FD LD R13,R15 ! ~COM_MSGBUF !

!FILL COM_MSGBUF (LOAD MESSAGE). CREATE MSG
FRAME IS BASED AT ADDRESS ZERO. IT IS
OVERLAID ONTO COM_MSGBUF FRAME BY INDEXING
EACH ENTRY (I.E. ADDING TO EACH ENTRY) THE
BASE ADDRESS OF COM_MSGBUF!

0006 4DD5 LD CREATE_MSG.CR_CODE(R13),#CREATE_CODE
0008 0000
000A 0032
000C 3116 LD R6,R1(#0) !INDEX TO MM_HANDLE ENTRY!
000E 0000
0010 6FD6 LD CREATE_MSG.CE_MM_HANDLE[0](R13),R6
0012 0002
0014 3116 LD R6,R1(#2)
0016 0002
0018 6FD6 LD CREATE_MSG.CE_MM_HANDLE[1](R13),R6
001A 0004
001C 3116 LD R6,R1(#4)
001E 0004
0020 6FD6 LD CREATE_MSG.CE_MM_HANDLE[2](R13),R6
0022 0006
0024 6FD2 LD CREATE_MSG.CE_ENTRY_NO(R13),R2

```
0026 0008  
0028 5FD4    LDL    CREATE_MSG.CE_CLASS(R13),RR4  
002A 000C  
002C 6FD3    LD     CREATE_MSG.CE_SIZE(R13),R3  
002E 000A  
0030 A1D8    LD     R8,R13  
0032 5F00    CALL   PERFORM_IPC !R8: "COM_MSGBUF!"  
0034 018C    !RETRIEVE SUCCESS_CODE FROM RETURNED MESSAGE!  
0036 8D08    CLR    R8  
0038 64D8    LDB    RLW,RET_SUC_CODE.SUC_CODE(R13)  
003A 0000  
003C 010F    ADD    R15,#SIZEOF COM_MSG !RESTORE STACK STATE!  
003E 0010  
0040 9E08    RET  
0042      END MM_CREATE_ENTRY
```

0042 MM_DELETE_ENTRY PROCEDURE
 !*****
 * INTERFACE BETWEEN SEG MGR *
 * (DELETE SEG PROCEDURE) AND *
 * MMGR (DELETE ENTRY PROCEDURE). *
 * ARRANGES AND PERFORMS IPC. *

 * REGISTER USE: *
 * PARAMETERS *
 * R0:SUCCESS_CODE(RET) *
 * R1:PTR(INPUT) *
 * R2:ENTRY_NO(INPUT) *
 * LOCAL USE *
 * R6:MM HANDLE ARRAY ENTRY *
 * R8:~COM_MSGBUF *
 * R13:~COM_MSGBUF *
 *****!
 ENTRY
 !USE STACK FOR MESSAGE!
 0042 030F SUB R15,#SIZEOF COM_MSG
 0044 0010
 0046 A1FD LD R13,R15 !~COM_MSGBUF!
 !FILL COM_MSGBUF (LOAD MESSAGE). DELETE MSG FRAME
 IS BASED AT ADDRESS ZERO. IT IS OVERLAID ONTO
 COM_MSGBUF FRAME BY INDEXING EACH ENTRY (I.E. ADD-
 ING TO EACH ENTRY) THE BASE ADDRESS OF COM_MSGBUF!
 0048 4DD5 LD DELETE_MSG.DE_CODE(R13),#DELETE_CODE
 004A 0000
 004C 0033
 004E 3116 LD R6,R1(#2) !INDEX TO MM_HANDLE ENTRY!
 0050 0000
 0052 6FD6 LD DELETE_MSG.DE_MM_HANDLE[2](R13),R6
 0054 0002
 0056 3116 LD R6,R1(#2)
 0058 0002
 005A 6FD6 LD DELETE_MSG.DE_MM_HANDLE[1](R13),R6
 005C 0004
 005E 3116 LD R6,P1(#4)
 0060 0004
 0062 6FD6 LD DELETE_MSG.DE_MM_HANDLE[2](R13),R6
 0064 0006
 0066 6FD2 LD DELETE_MSG.DE_ENTRY_NO(R13),R2
 0068 0008
 006A A1D8 LD R8,R13
 006C 5F00 CALL PERFORM_IPC !RE: ~COM_MSGBUF!
 006E 018C
 !RETRIEVE SUCCESS_CODE FROM RETURNED MESSAGE!
 0070 8D08 CLR R0
 0072 60D8 LDB R10,RET_SUC_CODE.SUC_CODE(R13)
 0074 0000
 0076 010F ADD R15,#SIZEOF COM_MSG !RESTORE STACK STATE!
 0078 0010
 007A 9E08 RET
 007C END MM_DELETE_ENTRY

007C

MM_ACTIVATE PROCEDURE
!*****
* INTERFACE BETWEEN SEG MGR *
* (MAKE_KNOWN PROCEDURE) AND *
* MMGR_ (ACTIVATE PROCEDURE). *
* ARRANGES AND PERFORMS IPC. *

* REGISTER USE:
* PARAMETERS
* R1:DBR_NO(INPUT)
* R2:HPTB(INPUT)
* R3:ENTRY_NO
* R4:SEGMENT_NO
* R12:RET_HANDLE_PTR
* LOCAL USE
* R8:COM_MSGBUF
* R13:COM_MSGBUF
* RETURNS:
* R0:SUCCESS_CODE
* R2:CLASS
* R4:SIZE
*****!

ENTRV

007C 030F !USE STACK FOR MESSAGE!
SUB R15,#SIZEOF COM_MSG
007E 0010
0080 A1FD LD R13,R15 ! "COM_MSGBUF"
! SAVE RETURN HANDLE POINTER!
0082 93FC PUSH @R15, R12

FILL COM_MSGBUF (LOAD MESSAGE). ACTIVATE_MSG FRAME
IS BASED AT ADDRESS ZERO. IT IS OVERLAID ONTO
COM_MSGBUF FRAME BY INDEXING EACH ENTRV (I.E. ADD-
ING TO EACH ENTRY) THE BASE ADDRESS OF COM_MSGBUF!

0084 41D5 ID ACTIVATE_MSG.ACT_CODE(R13),#ACTIVATE_CCDE
0086 0000
0088 0034
008A 6FD1 LD ACTIVATE_MSG.A_DBR_NO(R13),R1
008C 0002
008E 3126 LD R6,R2(#2)
0090 0000
0092 6FD6 LD ACTIVATE_MSG.A_MM_HANDLE[0](R13),R6
0094 0004
0096 3126 LD R6,R2(#2)
0098 0002
009A 6FD6 LD ACTIVATE_MSG.A_MM_HANDLE[1](R13),R6
009C 0006
009E 3126 LD R6,R2(#4)
00A0 0004
00A2 6FD6 LD ACTIVATE_MSG.A_MM_HANDLE[2](R13),R6

00A4 0008	
00A6 6EDB	LDB ACTIVATE_MSG.A_ENTRY_NO(R13),RL3
00A8 000A	
00AA 6EDC	LDB ACTIVATE_MSG.A_SEGMENT_NO(R13),RL4
00AC 000B	
00AE A1D8	ID R8,P13
00B0 5F00	CALL PERFORM_IPC !(R8:"COM_MSGEUF")
00B2 018C	
00B4 97FC	! RESTORE RETURN HANDLE POINTER ! POP R12, QR15
00B6 54D6	! UPDATE MM HANDLE ENTRY !
00B8 0002	LDL RR6, R_ACTIVATE_ARG.R_MM_HANDLE(R13)
00BA 5DC6	
00BC 0000	LDI MM_HANDLE.ID(R12), RR6
00BE 61D6	LD R6,R_ACTIVATE_ARG.R_MM_HANDLE[2](R13)
00C0 0006	
00C2 6FC6	ID MM_HANDLE.ENTRY_NO(R12), R6
00C4 0004	
	!RETRIEVE OTHER RETURN ARGUMENTS!
00C6 8D08	CLR R6
00C8 60D8	LDB RL2,R_ACTIVATE_ARG.R_SUC_CODE(R13)
00CA 0000	
00CC 54D2	LDL RR2,R_ACTIVATE_ARG.R_CLASS(R13)
00CE 0008	
00D0 61D4	LD R4,R_ACTIVATE_ARG.R_SIZE(R13)
00D2 000C	
00D4 010F	ADD R15,#SIZEOF COM_MSG !RESTORE STACK STATE!
00D6 0010	
00D8 9E08	RET
00DA	END MM_ACTIVATE

00DA MM DEACTIVATE PROCEDURE
 !*****
 * INTERFACE BETWEEN SEG MGR *
 * (TERMINATE PROCEDURE) AND *
 * MMGR (DEACTIVATE PROCEDURE). *
 * ARRANGES AND PERFORMS IPC. *
 !*****
 * REGISTER USE:
 * PARAMETERS
 * R0:SUCCESS_CODE(RET)
 * R1:DBR_NO(INPUT)
 * R2:HPTR(INPUT)
 * LOCAL USE
 * R6:MM_HANDLE ARRAY ENTRY
 * R8: ^COM_MSGBUF
 * R13:^COM_MSGBUF
 !*****

ENTRY

!USE STACK FOR MESSAGE!
 00DA 030F SUB R15,#SIZEOF COM_MSG
 00DC 0010
 00DE A1FD LD R13,R15 ! ^COM_MSGBUF !

 !FILL COM_MSGBUF (LOAD MESSAGE). DEACTIVATE_MSG FRAME
 IS BASED AT ADDRESS ZERO. IT IS OVERLAID ONTO
 COM_MSGBUF FRAME BY INDEXING EACH ENTRY (I.E. ADD-
 ING TO EACH ENTRY) THE BASE ADDRESS OF COM_MSGBUF!

00E0 4DD5	LD DEACTIVATE_MSG.DEACT_CODE(R13), #DEACTIVATE_CODE
00E2 0000	
00E4 0035	
00E6 6FD1	LD DEACTIVATE_MSG.D_DBNO(R13),R1
00E8 0002	
00EA 3126	LD R6,R2(#0) !INDEX TO MM_HANDLE ENTRY!
00EC 0000	
00EE 6FD6	LD DEACTIVATE_MSG.D_MM_HANDLE[0](R13),R6
00F0 0004	
00F2 3126	LD R6,R2(#2)
00F4 0002	
00F6 6FD6	LD DEACTIVATE_MSG.D_MM_HANDLE[1](R13),R6
00F8 0006	
00FA 3126	LD R6,R2(#4)
00FC 0004	
00FE 6FD6	LD DEACTIVATE_MSG.D_MM_HANDLE[2](R13),R6
0100 0008	
0102 A1DE	LD R8,R13
0104 5F00	CALL PERFORM_IPC !R8: ^COM_MSGBUF!
0106 018C	

!RETRIEVE SUCCESS_CODE FROM RETURNED MESSAGE!

0108 8D08	CIR	R4
010A 60D8	LDB	R10,RET_SUC_CODE.SUC_CODE(R13)
010C 0000		
010E 010F	ADD	R15,#SIZEOF COM_MSG !RESTORE STACK STATE!
0110 0010		
0112 9E08	RET	
0114	END	MM_DEACTIVATE

0114 MM SWAP IN PROCEDURE
 !*****
 * INTERFACE BETWEEN SEG MGR (SM_*
 * SWAP_IN PROCEDURE) AND MMGR *
 * (SWAP_IN PROCEDURE). ARRANGES *
 * AND PERFORMS IPC.

 * REGISTER USE:
 * PARAMETERS
 * R0:SUCCESS_CODE(RET)
 * R1:DBR_NO(INPUT)
 * R2:H PTR(INPUT)
 * R3:ACCESS (INPUT)
 * LOCAL USE
 * R6:MM HANDLE ARRAY ENTRY
 * R8:~COM_MSGBUF
 * R13:~COM_MSGBUF
 *****!
 ENTRY
 !USE STACK FOR MESSAGE!
 0114 030F SUB R15,#SIZEOF COM_MSG
 0116 0010
 0118 A1FD LD R13,R15 ! ~COM_MSGBUF !
 !FILL COM_MSGBUF (LOAD MESSAGE). SWAP_IN_MSG FRAME
 IS BASED AT ADDRESS ZERO. IT IS OVERLAID ONTO
 COM_MSGBUF FRAME BY INDEXING EACH ENTRY (I.E. ADD-
 ING TO EACH ENTR*) THE BASE ADDRESS OF COM_MSGBUF!
 011A 4DD5 LD SWAP_IN_MSG.S_IN_CODE(R13),#SWAP_IN_CODE
 011C 0000
 011E 0036
 0120 3126 LD R6,R2(#0) !INDEX TO MM_HANDLE ENTRY!
 0122 0000
 0124 6FD6 LD SWAP_IN_MSG.SI_MM_HANDLE[0](R13),R6
 0126 0002
 0128 3126 LD R6,P2(#2)
 012A 0002
 012C 6FD6 LD SWAP_IN_MSG.SI_MM_HANDLE[1](R13),R6
 012E 0004
 0130 3126 LD R6,R2(#4)
 0132 0004
 0134 6FD6 LD SWAP_IN_MSG.SI_MM_HANDLE[2](R13),R6
 0136 0006
 0138 6FD1 LD SWAP_IN_MSG.SI_DBR_NO(R13),R1
 013A 0008
 013C 6FDB LDB SWAP_IN_MSG.SI_ACCESS_AUTH(R13),RL3
 013E 000A
 0140 A1D8 LD R6,R13
 0142 5F00 CALL PERFORM_IPC !R8: ~COM_MSGBUF!
 0144 018C

!RETRIEVE SUCCESS_CODE FROM RETURNED MESSAGE!
0146 8D08 CLR R0
0148 60D8 LDH RL0,RET_SUC_CODE.SUC_CODE(R13)
014A 0000
014C 012F ADD R15,#SIZEOF COM_MSG !RESTORE STACK STATE!
014E 0010
0150 9E08 RET
0152 END MM_SWAP_IN

0152 MM SWAP OUT PROCEDURE
 !*****
 * INTERFACE BETWEEN SEG MGR (SM *
 * SWAP_OUT PROCEDURE) AND MMGR *
 * (SWAP_OUT PROCEDURE). ARRANGES *
 * AND PERFORMS IPC.

 * REGISTER USE:
 * PARAMETERS
 * R0:SUCCESS_CODE(RET)
 * R1:DBR_NO(INPUT)
 * R2:HPTR(INPUT)
 * LOCAL USE
 * R6:MM_HANDLE ARRAY ENTRY
 * R8: ^COM_MSGBUF
 * R13: ^COM_MSGBUF
 *****!
 ENTRY
 !USE STACK FOR MESSAGE!
 0152 030F SUB R15,#SIZEOF COM_MSG
 0154 0010
 0156 A1FD LD R13,R15 ! ^COM_MSGBUF !
 !FILL COM_MSGBUF (LOAD MESSAGE). SWAP_OUT_MSG FRAME
 IS BASED AT ADDRESS ZERO. IT IS OVERLAID ONTO
 COM_MSGBUF FRAME BY INDEXING EACH ENTRY (I.E. ADD-
 ING TO EACH ENTRY) THE BASE ADDRESS OF COM_MSGBUF!
 0158 4DD5 LD SWAP_OUT_MSG.S_OUT_CODE(R13), #SWAP_OUT_CODE
 015A 0000
 015C 0037
 015E 3126 LD R6,R2(#0) !INDEX TO MM_HANDLE ENTRY!
 0160 0000
 0162 6FD6 LD SWAP_OUT_MSG.SO_MM_HANDLE[0](R13),R6
 0164 0004
 0166 3126 LD R6,R2(#2)
 0168 0002
 016A 6FD6 LD SWAP_OUT_MSG.SO_MM_HANDLE[1](R13),R6
 016C 0006
 016E 3126 LD R6,R2(#4)
 0170 0004
 0172 6FD6 LD SWAP_OUT_MSG.SO_MM_HANDLE[2](R13),R6
 0174 0002
 0176 6FD1 LD SWAP_OUT_MSG.SO_DER_NO(R13),R1
 0178 0002
 017A A1D8 LD R8,R13
 017C 5F00 CALL PERFORM_IPC !R8: ^COM_MSGBUF!
 017E 018C

!RETRIEVE SUCCESS_CODE FROM RETURNED MESSAGE!
0180 8D08 CLR R0
0182 60D8 LDB RL0,RET_SUC_CODE.SUC_CODE(R13)
0184 0000
0186 010F ADD R15,#SIZEOF COM_MSG !RESTORE STACK STATE!
0188 0010
018A 9E08 RET
018C END MM_SWAP_OUT

018C

PERFORM IPC PROCEDURE

* SERVICE ROUTINE TO ARRANGE AND *
* PERFORM IPC WITH THE MEM MGR PROC *

* REGISTER USE: *
* PARAMETERS *
* R8: ^COM_MSG(INPUT) *
* LOCAL USE *
* R1,R2: WORK REGS *
* R4: ^G_AST_LOCK *
* R13: ^COM_MSGBUF *
***** !

ENTRY

018C 93FD PUSH @R15,R13 !^COM_MSGBUF!
018E 5F00 CALL GET_CPU_NO !RET=R1:CPU_NO!
0190 0000*
0192 A112 LD R2,R1
0194 6121 LD R1,MM_CPU_TBL(R2) !MM_VP_ID!
0196 0000*
0198 7604 LDA R4,G_AST_LOCK
019A 0000*
019C 5F00 CALL K_LOCK
019E 0000*
01A0 5F00 CALL SIGNAL !R1:MM_VP_ID,R8:^COM_MSGBUF!
01A2 0000*
01A4 97FD POP R13,@R15
01A6 A1D8 LD R8,R13 !^COM_MSGBUF!
01A8 93FD PUSH @R15,R13
01AA 5F00 CALL WAIT !R8:^COM_MSGBUF!
01AC 0000*
01AE 7604 LDA R4,G_AST_LOCK
01B0 0000*
01B2 5F00 CALL K_UNLOCK
01B4 0000*
01B6 97FD POP R13,@R15
01B8 9E08 RET
01BA END PERFORM_IPC

```

01BA      MM_ALLOCATE      PROCEDURE
!*****!
* ALLOCATES BLOCKS OF CPU*
* LOCAL MEMORY. EACH *
* BLOCK CONTAINS 256 *
* BYTES OF MEMORY.
*****!
* PARAMETERS:          *
* R3: # OF BLOCKS    *
* RETURNS:            *
* R2: STARTING ADDR *
* LOCAL:              *
* R4: BLOCK POINTER   *
*****!
ENTRY
! NOTE: THIS PROCEDURE IS ONLY A STUB
OF THE ORIGINALLY DESIGNED MEMORY
ALLOCATING MECHANISM. IT IS USED
BY THE PROCESS MANAGEMENT DEMONSTRATION
TO ALLOCATE CPU LOCAL MEMORY FOR ALL
MEMORY ALLOCATION REQUIREMENTS. IN AN
ACTUAL SASS ENVIRONMENT, THIS WOULD
BE BETTER SERVED TO HAVE SEPARATE
ALLOCATION PROCEDURES FOR KERNEL AND
SUPERVISOR NEEDS. (E.G., KERNEL_ALLOCATE
AND SUPERVISOR_ALLOCATE). !
! COMPUTE SIZE OF MEMORY REQUESTED !
SLL    R3, #BLOCK_SIZE

! COMPUTE OFFSET OF MEMORY THAT IS
TO BE ALLOCATED !
LD     R4, NEXT_BLOCK !OFFSET!
LDA    R2, MEM_POOL(R4) !START ADDR!
ADD    R4, R3 !UPDATE OFFSET!
! UPDATE OFFSET IN SECTION OF AVAILABLE
MEMORY TO INDICATE THAT CURRENTLY
REQUESTED MEMORY IS NOW ALLOCATED !
LD     NEXT_BLOCK, R4 !SAVE OFFSET!
01C8 6F04
01CA 0F00
01CC 9E08
01CE      RET
END MM_ALLOCATE

```

01CE

MM TICKET PROCEDURE
! ****
* RETURNS CURRENT VALUE OF *
* SEGMENT SEQUENCER AND *
* INCREMENTS SEQUENCER VALUE*
* FOR NEXT TICKET OPERATION *

* PARAMETERS: *
* R1: SEG HANDLE PTR *
* RETURNS: *
* RR4: TICKET VALUE *
* LOCAL VARIABLES: *
* RR6: SEQUENCER VALUE *
* R8: G_AST ENTRY # *
*****!
ENTRY

01CE 93F1

! SAVE HANDLE PTR !
PUSH QR15, R1
! LOCK G_AST !
LDA R4, G_AST_LOCK

01D0 7604
01D2 0000*
01D4 5F00
01D6 0000*

CALL K_LOCK

01D8 97F1

! RESTORE HANDLE PTR !
POP R1, QR15
! GET G_AST ENTRY # !
LD R8, MM_HANDLE.ENTRY_NO(R1)

01DA 6118
01DC 0204

! GET TICKET VALUE !
LDL RR4, G_ASTSEQUENCER(R8)

01DE 5486
01E0 0014*

! SET RETURN REGISTER VALUE !
LDL RR4, RR6
!ADVANCE SEQUENCER FOR NEXT
TICKET OPERATION!
ADDL RR6, #1

01E2 9464
01E4 1606
01E6 0000
01E8 0001

! SAVE NEW SEQUENCER VALUE IN G_AST !
IDL G_ASTSEQUENCER(R8), RR6

01EA 5D86
01EC 0014*

! UNLOCK G_AST !
! SAVE RETURN VALUES !
PUSHL QR15, RR4
LDA R4, G_AST_LOCK

01EE 91F4
01F0 7604
01F2 0200*
01F4 5F00
01F6 0000*

CALL K_UNLOCK

01F8 95F4
01FA 9E08
01FC

! RETRIEVE RETURN VALUES !
POPL RR4, QR15
RET
END MM_TICKET

01FC MM_READ_EVENTCOUNT PROCEDURE
 !*****
 * READS CURRENT VALUE OF THE *
 * EVENTCOUNT SPECIFIED BY THE *
 * USER.

 * PARAMETERS:
 * R1: SEG HANDLE PTR
 * R2: INSTANCE (EVENT #)

 * RETURNS:
 * RR4: EVENTCOUNT VALUE

 * LOCAL VARIABLES:
 * RR6: SEQUENCER VALUE
 * R8: G_AST ENTRY #
 *****!

 ENTRY
 ! SAVE INPUT PARAMETERS !
 01FC 93F1 PUSH QR15, R1
 01FE 93F2 PUSH QR15, R2
 ! LOCK G_AST !
 0200 7604 LDA R4, G_AST_LOCK
 0202 0000*
 0204 5F00
 0206 0000*
 CALL K_LOCK

 ! RESTORE INPUT PARAMETERS !
 0208 97F2 POP R2, QR15
 020A 97F1 POP R1, QR15
 ! GET G_AST ENTRY # !
 020C 6118 LD R8, MM_HANDLE.ENTRY_NO(R1)
 020E 0004

 ! READ EVENTCOUNT !
 ! CHECK WHICH EVENT # !
 IF R2
 CASE #INSTANCE1 THEN
 0210 0B02
 0212 0001
 0214 5E0E
 0216 0224
 0218 5484 LDL RR4, G_AST.EVENT1(R8)
 021A 0018*
 021C 2100 LD R0, #SUCCEEDED
 021E 0002
 0220 5E08
 0222 023C
 0224 0F02
 0226 0002
 0228 5E0E
 022A 0238
 022C 5484 LDL RR4, G_AST.EVENT2(R8)

```
022E 001C*  
0230 2100 LD R0, #SUCCEEDED  
0232 0002  
0234 5E08 ELSE !INVALID INPUT!  
0236 023C  
0238 2100 LD R0, #INVALID_INSTANCE  
023A 005F  
FI  
! NOTE: NO VALUE IS RETURNED IF  
USER SPECIFIED INVALID EVENT #!  
! SAVE RETURN VALUES !  
023C 91F4 PUSHL QR15, RR4  
! UNLOCK G_AST !  
023E 7604 LDA R4, G_AST_LOCK  
0240 0000*  
0242 5F00 CALL K_UNLOCK  
0244 0000*  
! RESTORE RETURN VALUES !  
0246 95F4 POPI RR4, QR15  
0248 9E08 RET  
024A END MM_READ_EVENTCOUNT
```

024A	MM_ADVANCE ! **** * DETERMINES G_AST OFFSET FROM * * SEGMENT HANDLE AND INCREMENTS * * THE INSTANCE(EVENT #) SPECIFIED * * BY THE CALLER. THIS IN EFFECT * * ANNOUNCES THE OCCURRENCE OF THE * * EVENT. THE NEW VALUE OF THE * * EVENTCOUNT IS RETURNED TO THE * * CALLER. ! **** * PARAMETERS: * R1: HANDLE POINTER * R2: INSTANCE (EVENT #) ! **** * RETURNS: * RR2: NEW EVENTCOUNT VALUE ! ****	PROCEDURE
------	--	------------------

ENTRY

```

! SAVE INPUT PARAMETERS !
PUSH  GR15, R1
PUSH  GR15, R2
! LOCK G_AST !
LDA   R4, G_AST_LOCK

CALL  K_LOCK

! RESTORE INPUT PARAMETERS !
POP   R2, GR15
POP   R1, GR15
! GET G_AST OFFSET !
LD    R4, MM_HANDLE.ENTRY_NO(R1)

! DETERMINE INSTANCE !
IF   R2
  CASE #INSTANCE1 THEN

    LDL  RR2, G_AST.EVENT1(R4)
    ADDL RR2, #1

    ! SAVE NEW EVENTCOUNT !
    LDL  G_AST.EVENT1(R4), RR2

    LD   R0, #SUCCEEDED

  CASE #INSTANCE2 THEN

```

027A 029E
027C 0B02
027E 0002
0280 5E0E
0282 029A
0284 5442
0286 001C**
0288 1602
028A 0000
028C 0001

LDL RR2, G_AST.EVENT2(R4)
ADDL RR2, #1

! SAVE NEW EVENTCOUNT !
LDL G_AST.EVENT2(R4), RR2

LD R0, #SUCCEEDED

ELSE !INVALID INPUT!
LD R0, #INVALID_INSTANCE

FI
! NOTE: AN INVALID INSTANCE VALUE
WILL NOT AFFECT EVENT DATA !
! UNLOCK G_AST !
LDA R4, G_AST_LOCK

CALL K_UNLOCK

BET

END MM_ADVANCE
END DIST_MM

APPENDIX D - GATE KEEPER LISTINGS

Z8000ASM 2.02	LOC	OBJ CODE	STMT SOURCE STATEMENT
			KERNEL_GATE_KEEPER MODULE
			\$LISTON STTY
			CONSTANT
			ADVANCE_CALL := 1
			AWAIT_CALL := 2
			CREATE_SEG_CALL := 3
			DELETE_SEG_CALL := 4
			MAKE_KNOWN_CALL := 5
			READ_CALL := 6
			SM_SWAP_IN_CALL := 7
			SM_SWAP_OUT_CALL := 8
			TERMINATE_CALL := 9
			TICKET_CALL := 10
			WRITE_CALL := 11
			WRITELN_CALL := 12
			CRLF_CALL := 13
			WRITE := %0FC8 !PRINT CHAR!
			WRITELN := %0FC0 !PRINT MSG!
			CRLF := %2FD4 !CAR RET/LINE FEED!
			MONITOR := %A902
			REGISTER_BLOCK := 32
			TRAP_CODE_OFFSET := 36
			INVALID_KERNEL_ENTRY := %BAD
			GLOBAL
			GATE_KEEPER_FENTRY LABEL
			EXTERNAL
			ADVANCE PROCEDURE
			AWAIT PROCEDURE
			CREATE_SEG PROCEDURE
			DELETE_SEG PROCEDURE
			MAKE_KNOWN PROCEDURE
			READ PROCEDURE
			SM_SWAP_IN PROCEDURE
			SM_SWAP_OUT PROCEDURE
			TERMINATE PROCEDURE
			TICKET PROCEDURE
			KERNEL_EXIT LABEL
			INTERNAL
			SECTION KERNEL_GATE_PROC

2000	GATE_KEEPER_MAIN	PROCEDURE
	ENTPV	
	GATE KEEPER ENTRY:	
	! SAVE REGISTERS !	
0000 030F	SUB R15, #REGISTER_BLOCK	
0002 0020		
0004 1CF9	LDM @R15, R1, #16	
0006 010F		
	! SAVE NSP !	
0008 93F2	PUSH @R15, R2	
000A 7D27	LDCTL R2, NSP	
	! RESTORE INPUT REGISTERS !	
000C 2DF2	EX R2, @R15	
	! SAVE REGISTER 2 !	
000E 93F2	PUSH @R15, R2	
	! GET SYSTEM TRAP CODE !	
0010 31F2	LD R2, R15(#TRAP_CODE_OFFSET)	
0012 0024		
	! REMOVE SYSTEM CALL IDENTIFIER FROM	
	SYSTEM TRAP INSTRUCTION !	
0014 8C28	CIRB RH2	
	! NOTE: THIS LEAVES THE USER VISIBLE	
	EXTENDED INSTRUCTION NUMBER IN R2 !	
	! DECODE AND EXECUTE EXTENDED INSTRUCTION !	
	IF R2	
	! NOTE: THE INITIAL VALUE FOR REGISTER 2	
	WILL BE RESTORED WHEN THE APPROPRIATE	
	CONDITION IS FOUND !	
	CASE #ADVANCE_CALL THEN	
0016 0B02	POP R2, @R15	
0018 0001	CALL ADVANCE	
001A 5E0E		
001C 0028		
001E 97F2		
0020 5F00		
0022 0000*		
0024 5E08		
0026 010C		
0028 0B02		
002A 0002		
002C 5E0E		
002E 003A		
0030 97F2	POP R2, @R15	
0032 5F00	CALL AWAIT	
0034 0000*		
0036 5E08		
0038 010C		
003A 0B02		
003C 0003		
003E 5E0E		
0040 004C		

0042 97F2 POP R2, @R15
0044 5F00 CALL CREATE_SEG
0046 0000*
0048 5E08 CASE #DELETE_SEG_CALL THEN
004A 010C
004C 0B02
004E 0004
0050 5E0E
0052 005E
0054 97F2 POP R2, @R15
0056 5F00 CALL DELETE_SEG
0058 0000*
005A 5E08 CASE #MAKE_KNOWN_CALL THEN
005C 010C
005E 0B02
0060 0005
0062 5E0E
0064 0070
0066 97F2 POP R2, @R15
0068 5F00 CALL MAKE_KNOWN
006A 0000*
006C 5E08 CASE #READ_CALL THEN
006E 010C
0070 0B02
0072 0006
0074 5E0E
0076 0082
0078 97F2 POP R2, @R15
007A 5F00 CALL READ
007C 0000*
007E 5E08 CASE #SM_SWAP_IN_CALL THEN
0080 010C
0082 0B02
0084 0007
0086 5E0E
0088 0094
008A 97F2 POP R2, @R15
008C 5F00 CALL SM_SWAP_IN
008E 0000*
0090 5E08 CASE #SM_SWAP_OUT_CALL THEN
0092 010C
0094 0B02
0096 0008
0098 5E0E
009A 00A6
009C 97F2 POP R2, @R15
009E 5F00 CALL SM_SWAP_OUT
00A0 0000*
00A2 5E08 CASE #TERMINATE_CALL THEN
00A4 010C
00A6 0B02

```

00A8 0009
00AA 5E0E
00AC 00B8
00AE 97F2      POP    R2, GR15
00B0 5F00      CALL   TERMINATE
00B2 0000*
00B4 5E08      CASE   #TICKET_CALL THEN
00B6 010C
00B8 0B02
00BA 000A
00BC 5E0E
00BE 00CA
00C0 97F2      POP    R2, GR15
00C2 5F00      CALL   TICKET
00C4 0000*
00C6 5E08      CASE   #WRITE_CALL THEN
00C8 010C
00CA 0B02
00CC 000B
00CE 5E0E
00D0 00DC
00D2 97F2      POP    R2, GR15
00D4 5F00      CALL   WRITE
00D6 0FC8
00D8 5E08      CASE   #WRITELN_CALL THEN
00DA 010C
00DC 0B02
00DE 000C
00E0 5E0E
00E2 00EE
00E4 97F2      POP    R2, GR15
00E6 5F00      CALL   WRITELN
00E8 0FC0
00EA 5E08      CASE   #CRLF_CALL THEN
00EC 010C
00EE 0P02
00F0 000D
00F2 5E0E
00F4 0100
00F6 97F2      POP    R2, GR15
00F8 5F00      CALL   CRLF
00FA 0FD4
00FC 5E08
00FE 010C      ELSE !INVALID KERNEL INVOCATION!
                ! RETURN TO MONITOR !
                ! NOTE: THIS RETURN TO MONITOR IS
                FOR STUB USE ONLY. AN INVALID
                KERNEL INVOCATION WOULD NORMALLY
                RETURN TO USER. !
                LDA    R1, $100
                LDA    R1, $0102

```

0104 2100 LD R0, #INVALID_KERNEL_ENTRY
0106 0BAD
0108 5F00 CALL MONITOR
010A A902
FI
! SAVE REGISTERS ON KERNEL STACK !
! SAVE R1 !
010C 93F1 PUSH GR15, R1
! GET ADDRESS OF REGISTER BLOCK !
010E 34F1 LDA R1, R15(#4)
0110 0004
! SAVE REGISTERS IN REGISTER BLOCK
ON KERNEL STACK. !
0112 1C19 LDM GR1, R1, #16
0114 010F
! RESTORE R1 BUT MAINTAIN ADDRESS
OF REGISTER BLOCK !
0116 2DF1 EX R1, GR15
! SAVE R1 ON STACK !
0118 33F1 LD R15(#4), R1
011A 0004
! RESTORE REGISTER BLOCK ADDRESS !
011C 97F1 POP R1, GR15
! SAVE VALID EXIT SP VALUE !
011E 33F1 LD R15(#30), R1
0120 001E
! EXIT KERNEL BY MEANS OF HARDWARE
PREEMPT HANDLER !
0122 5E08 JP KERNEL_EXIT
0124 0000*
0126 END GATE KEEPER MAIN
END KERNEL_GATE_KEEPER

Z8000ASM 2.02
LOC OBJ CODE STMT SOURCE STATEMENT

USER_GATE MODULE

\$LISTON STTY

CONSTANT

ADVANCE_CALL	:= 1
AWAIT_CALL	:= 2
CREATE_SEG_CALL	:= 3
DELETE_SEG_CALL	:= 4
MAKE_KNOWN_CALL	:= 5
READ_CALL	:= 6
SM_SWAP_IN_CALL	:= 7
SM_SWAP_OUT_CALL	:= 8
TERMINATE_CALL	:= 9
TICKET_CALL	:= 10
WRITE_CALL	:= 11
WRITELN_CALL	:= 12
CRLF_CALL	:= 13

GLOBAL

\$SECTION USER_GATE_PROC

0000 ADVANCE PROCEDURE

* PARAMETERS: *
* R1:SEGMENT # *
* R2:INSTANCE (ENTRY#)*

* RETURNS: *
* R0:SUCCESS CODE *
*****!
ENTR
0000 7F01 SC #ADVANCE_CALL
0002 9E08 RET
0004 END ADVANCE

0004 AWAIT PROCEDURE

* PARAMETERS: *
* R1:SEGMENT # *
* R2:INSTANCE *
* RR4:SPECIFIED VALUE *

* RETURNS: *
* P0:SUCCESS CODE *
*****!
ENTRY

```

0004 7F02      SC      #AWAIT_CALL
0006 9E08      RET
0008          END AWAIT

0008          CREATE_SEG      PROCEDURE
!*****!
* PARAMETERS:          *
* R1:MENTOR_SEG_NO    *
* R2:ENTRY_NO          *
* R3:SIZE              *
* RR4:CLASS            *
*****!
* RETURNS:             *
* R0:SUCCESS_CODE     *
*****!
ENTRY
0008 7F03      SC      #CREATE_SEG_CALL
000A 9E08      RET
000C          END CREATE_SEG

000C          DELETE_SEG      PROCEDURE
!*****!
* PARAMETERS:          *
* R1:MENTOR_SEG_NO    *
* R2:ENTRY_NO          *
*****!
* RETURNS:             *
* R0:SUCCESS_CODE     *
*****!
ENTRY
000C 7F04      SC      #DELETE_SEG_CALL
000E 9E08      RET
0010          END DELETE_SEG

0010          MAKE_KNOWN      PROCEDURE
!*****!
* PARAMETERS:          *
* R1:MENTOR_SEG_NO    *
* R2:ENTRY_NO          *
* R3:ACCESS DESIRED   *
*****!
* RETURNS:             *
* R0:SUCCESS_CODE     *
* R1:SEGMENT #         *
* R2:ACCESS ALLOWED   *
*****!
ENTRY
0010 7F05      SC      #MAKE_KNOWN_CALL
0012 9E08      RET
0014          END MAKE_KNOWN

```

```

2214      READ      PROCEDURE
!*****!
* PARAMETERS:      *
* R1:SEGMENT #      *
* R2:INSTANCE       *
*****!
* RETURNS:          *
* R0:SUCCESS CODE   *
* RR4:EVENTCOUNT    *
*****!
ENTRY
  SC  #READ_CALL
  RET
END  READ

0014 7F06
0016 9E08
0018

0018      SM_SWAP_IN  PROCEDURE
!*****!
* PARAMETERS:      *
* R1:SEGMENT #      *
*****!
* RETURNS:          *
* R0:SUCCESS CODE   *
*****!
ENTRY
  SC  #SM_SWAP_IN_CALL
  RET
END  SM_SWAP_IN

001C      SM_SWAP_OUT  PROCEDURE
!*****!
* PARAMETERS:      *
* R1:SEGMENT #      *
*****!
* RETURNS:          *
* R0:SUCCESS CODE   *
*****!
ENTRY
  SC  #SM_SWAP_OUT_CALL
  RET
END  SM_SWAP_OUT

0020      TERMINATE  PROCEDURE
!*****!
* PARAMETERS:      *
* R1:SEGMENT #      *
*****!
* RETURNS:          *
* R0:SUCCESS CODE   *
*****!
ENTRY
  SC  #TERMINATE_CALL

```

0022 9E08 PET
0024 END TERMINATE

0024 TICKET PROCEDURE
!*****!
* PARAMETERS:
* R1:SEGMENT *

* RETURNS:
* R0:SUCCESS CODE *
* RR4:TICKET VALUE *
*****!
ENTRY
0024 7F0A SC #TICKET_CALL
0026 9E08 RET
0028 END TICKET

0028 WRITE PROCEDURE
ENTRY
0029 7F0B SC #WRITE_CALL
002A 9E08 RET
002C END WRITE

002C WRITELN PROCEDURE
ENTRY
002C 7F0C SC #WRITELN_CALL
002E 9E08 RET
0030 END WRITELN

0030 CRLF PROCEDURE
ENTRY
0030 7F0D SC #CRLF_CALL
0032 9E08 RET
0034 END CRLF

APPENDIX E - BOOTSTRAP_LOADER LISTINGS

Z8000ASM 2.02
LOC OBJ CODE STMT SOURCE STATEMENT

BOOTSTRAP_LOADER MODULE

SLISTON STTY
CONSTANT

! ***** SYSTEM PARAMETERS ***** !

NR_CPU := 2
NR_VP := NR_CPU*4
NR_AVAIL_VP := NR_CPU*2
MAX_DBR_NR := 10
STACK_SEG := 1
STACK_SEG_SIZE := %100
STACK_BLOCK := STACK_SEG_SIZE/256

! * * OFFSETS IN STACK SEG * * !
STACK_BASE := STACK_SEG_SIZE-%10
STATUS_REG_BLOCK := STACK_SEG_SIZE-%12
INTERRUPT_FRAME := STACK_BASE-4
INTERRUPT_REG := INTERRUPT_FRAME-34
N_S_P := INTERRUPT_REG-2
F_C_W := STACK_SEG_SIZE-%E

! ***** SYSTEM CONSTANTS ***** !

ON := %FFFF
OFF := 0
READY := 1
NIL := %FFFF
INVALID := %EEEE
KERNEL_FCW := %5000
AVAILABLE := 0
ALLOCATED := %FF
SC_OFFSET := 12

TYPE

MESSAGE ARRAY [16 BYTE]
ADDRESS WORD
MM_VP_ID WORD
VP_INDEX INTEGER
MSG_INDEX INTEGER

MSG_TABLE RECORD
 [MSG MESSAGE
 SENDER VP_INDEX
 NEXT_MSG MSG_INDEX
 FILLER ARRAY [6, WORD]
]

VP_TABLE RECORD
 [DBR ADDRESS
 PRI WORD
 STATE WORD
 IDLE_FLAG WORD
 PREEMPT WORD
 PHYS_PROCESSOR WORD
 NEXT_READY_VP VP_INDEX
 MSG_LIST MSG_INDEX
 EXT_ID WORD
 FILLER_1 ARRAY [7, WORD]
]

EXTERNAL
 GET_DBR_ADDR PROCEDURE
 CREATE_STACK PROCEDURE
 LIST_INSERT PROCEDURE
 ALLOCATE_MMU PROCEDURE
 UPDATE_MMU_IMAGE PROCEDURE
 MM_ALLOCATE PROCEDURE
 MM_ENTRY LABEL
 IDLE_ENTRY LABEL
 PREEMPT_RET LABEL
 BOOTSTRAP_ENTRY LABEL
 GATE_KEEPER_ENTRY LABEL
 NEXT_BLOCK WORD
 MM_CPU_TPL ARRAY [NR_CPU MM_VP_ID]

VPT RECORD
 [LOCK WORD
 RUNNING_LIST ARRAY [NR_CPU WORD]
 READY_LIST ARRAY [NR_CPU WORD]
 FREE_LIST MSG_INDEX
 VIRT_INT_VEC ARRAY [1, ADDRESS]
 FILLER_2 WORD
 VP ARRAY [NR_VP, VP_TABLE]
 MSG_Q ARRAY [NR_VP, MSG_TABLE]
]

```
EXT_VP_LIST    ARRAY[NR_AVAIL_VP WORD]
NEXT_AVAIL_MMU ARRAY[MAX_DPR_NR BYTE]

PRDS      RECORD
  [PHYS_CPU_ID WORD
   LOG_CPU_ID  INTEGER
   VP_NR       WORD
   IDLE_VP     VP_INDEX]
```

```
INTERNAL
SECTION LOADER_DATA
```

```
! NOTE: THESE DECLARATIONS WILL NOT WORK
IN A TRUE MULTIPROCESSOR ENVIRONMENT AS
THEY ARE SUBJECT TO A "CALL." THEY MUST
BE DECLARED AS A SHARED GLOBAL DATABASE
WITH "RACE" PROTECTION (E.G., LOCK). !
```

```
0000    NEXT_AVAIL_VP    INTEGER
0002    NEXT_EXT_VP      INTEGER
```

SSECTION LOADER_INT
INTERNAL

0000

BOOTSTRAP PROCEDURE

* CREATES KERNEL PROCESSES AND *
* INITIALIZES KERNEL DATABASES.*
* INCLUDES INITIALIZATION OF *
* VIRTUAL PROCESSOR TABLE, *
* EXTERNAL VP LIST, AND MMU *
* IMAGES. ALLOCATES MMU IMAGE *
* AND CREATES KERNEL DOMAIN *
* STACK FOR KERNEL PROCESSES. *
*****!

ENTRY

! INITIALIZE PRDS AND MMU POINTER !
! NOTE: THE FOLLOWING CONSTANTS ARE
ONLY TO BE INITIALIZED ONCE. THIS
WILL OCCUR DURING SYSTEM INITIALIZATION!
LD PRDS.PHYS_CPU_ID, #FFFF

0000 4D05
0002 0000*
0004 FFFF

! NOTE: LOGICAL CPU NUMBERS ARE ASSIGNED
IN INCREMENTS OF 2 TO FACILITATE INDEXING
(OFFSETS) INTO LISTS SUBSCRIPTED BY
LOGICAL CPU NUMBER. !

ID PRDS.LOG_CPU_ID, #2

0006 4D05
0008 0002*
000A 0002

! SPECIFY NUMBER OF VIRTUAL PROCESSORS
ASSOCIATED WITH PHYSICAL CPU. !

ID PRDS.VP_NR, #2

000C 4D05
000E 0004*
0010 0002
0012 4D08
0014 0000*
0016 4D08
0018 0000
001A 4D08
001C 0002

CLR NEXT_BLOCK

CLR NEXT_AVAIL_VP

CLR NEXT_EXT_VP

! ESTABLISH GATE KEEPER AS SYSTEM CALL
TRAP HANDLER !

! GET BASE OF PROGRAM STATUS AREA !

LDCTL R1, PSAP

001E 7D15

! ADD SYSTEM CALL OFFSET TO PSA BASE ADDR !
ADD R1, #SC_OFFSET

0020 0101
0022 000C

! STORE KERNEL FCW IN PSA !
LD @R1, #KERNEL_FCW

0024 0D15
0026 5000

```

! STORE ADDRESS OF GATE KEEPER IN PROGRAM
    STATUS AREA AS SYSTEM TRAP HANDLER !
0028 A911      INC      R1, #2
002A 0D15      LD       @R1, #GATE_KEEPER_ENTRY
002C 0000*     CLR      R1 ! NET_AVAIL_MMU INDEX !

! INITIALIZE ALL MMU IMAGES AS AVAILABLE !
SET_MMU_MAP:

DO
0030 4C15      LDE      NEXT_AVAIL_MMU(R1). #AVAILABLE
0032 0000*     INC      R1, #1
0034 0000      CP       R1, #MAX_DBR_NR
0036 A910      INC      R1, #1
                ! CHECK FOR END OF TABLE !
0038 0B01      CP       R1, #MAX_DBR_NR
003A 000A      IF EQ THEN EXIT FROM SET_MMU_MAP FI
003C 5E0E
003E 0044
0040 5E08
0042 0046
0044 F8F5      OD

! CREATE MEMORY MANAGER PROCESS !
0046 2103      LD       R3, #STACK_BLOCK
0048 0001

! ALLOCATE AND INITIALIZE KERNEL
    DOMAIN STACK SEGMENT !
004A 5F00      CALL    MM_ALLOCATE !R3: # OF BLOCKS
004C 0000*     RETURNS
                R2: START ADDR!

                LD      R1, R2
                LD      R3, #KERNEL_FCW
0052 5200      LDA      R4, MM_ENTRY
0054 7604      LD      R5, ZFFF !NSP!
0056 0000*     LDA      R6, PREEMPT_RET
0058 6105      PUSH   @R15, R1 !SAVE STACK ADIR!
005A FFFF      SUB    R15, #2
005C 7606      LDM      @R15, R3, #4
005E 0000*     LD      R2, R15

! NOTE: ARGLIST FOR CREATE_STACK INCLUDES
    KERNEL_FCW, INITIAL IC, NSP, AND INITIAL

```

006C 5F00 RETURN POINT. !
 CALL CREATE_STACK ! (R0: ARGUMENT PTR
 006E 0000* R1: TOP OF STACK
 R2-R14: INITIAL
 REG STATES !

0070 010F ADD R15, #8 !OVERLAY ARGUMENTS !

0072 0008 ! ALLOCATE MMU IMAGE !

0074 5F00 CALL ALLOCATE_MMU !RETURNS:

0076 0000*

0078 2101 LD R1, #STACK_SEG (R0: DBR #) !
 007A 0001 ! SEGMENT NO. !

007C 97F2 POP R2, @R15 !GET STACK ADER!

007E 2103 LD R3, #0 ! WRITE ATTRIBUTE !

0080 0000 ! SPECIFY NUMBER OF BLOCKS. COUNT STARTS
 FROM ZERO. (I.E., 1 BLOCK=0, 2=1, ETC.)!

0082 2104 LD R4, #STACK_BLOCK-1

0084 0000 ! SAVE DBR # !

0086 93F0 PUSH @R15, R0

! CREATE MMU ENTRY FOR MM STACK SEGMENT !

0088 5F00 CALL UPDATE_MMU_IMAGE !(R0: DBR #

008A 0000* R1: SEGMENT #
 R2: SEG ADDRESS
 R3: SEG ATTRIBUTES
 R4: SEG LIMITS !

008C 97F0 ! RESTORE DBR # !

POP R2, @R15

! GET ADDRESS OF MMU IMAGE !

008E 5F00 CALL GET_DBRA_ADDR !(R0: DBR #)

RETURNS:
 (R1: DBR ADDRESS) !

! PREPARE VP TABLE ENTRIES FOR MM !

0092 2102 LD R2, #2 ! PRIORITY !

0094 0002

0096 2105 LD R5, #OFF ! PREEMPT !

0098 0000

009A 2106 LD R6, #OFF ! KERNEL PROCESS !

009C 0000

! UPDATE VPT !

009E 5F00 CALL UPDATE_VP_TABLE !(R1: DBR

00A0 01CA R2: PRIORITY

R5: PREEMPT FLAG
 R6: EXT VP FLAG
 RETURNS:
 R9: VP_ID !

! INITIALIZE MM_CPU_TBL IN DISTRIBUTED MEMORY
 MANAGER WITH VP ID OF MM PROCESS !

! GET LOGICAL CPU # !

00A2 610A LD R10, PRDS.LOG_CPU_ID

00A4 0002* LD MM_CPU_TBL(R10), R9

00A6 6FA9

00A8 0000* CALL MM_ALLOCATE !R3: # OF BLOCKS

RETURNS
 R2: START ADDR!

00B2 A121 LD R1, R2

00B4 2103 LD R3, #KERNEL_FCW

00B6 5000

00B8 7604 LDA R4, IDLE_ENTRY

00BA 0000* LD R5, #FFFF !NSP!

00BC 2105 LDA R6, PREEMPT_RET

00BE FFFF

00C0 7606

00C2 0000* PUSH @R15, R1 !SAVE STACK ADDR!

00C4 93F1 SUB R15, #8

00C6 030F

00C8 0008 LDM @R15, R3, #4

00CA 1CF9

00CC 0303 LD R0, R15

! INITIALIZE IDLE STACK VALUES !

00D0 5F00 CALL CREATE_STACK ! (R0: ARGUMENT PTP

00D2 0000*

R1: TOP OF STACK
 R2-R14: INITIAL
 REG. STATES !

00D4 010F ADD R15, #8 !OVERLAY ARGUMENTS!

00D6 0008

! ALLOCATE MMU IMAGE FOR IDLE PROCESS !

00D8 5F00 CALL ALLOCATE_MMU ! RETURNS R0:DBR # !

00DA 0000*

! PREPARE IDLE PROCESS MMU ENTRIES !

00DC 2101 LD R1, #STACK_SEG ! SEG # !

00DE 0001

00E0 97F2 POP R2, @R15 !GET STACK ADDR!

00E2 2103	LD	R3, #0	! WRITE ATTRIBUTE !	
00E4 0000	LD	R4, #STACK_BLOCK-1	! BLOCK LIMITS !	
00E6 2104		! SAVE DBR # !		
00E8 0000	PUSH	@R15, R4		
00EA 93F0		! CREATE MMU IMAGE ENTRY !		
00EC 5F00	CALL	UPDATE_MMU_IMAGE	!(R1: SEGMENT #	
00EE 0000*		R2: SEG ADDRESS R3: SEG ATTRIBUTES R4: SEG LIMITS) !		
00F0 97F0		! RESTORE DBR # !		
	POP	R0, @R15		
00F2 5F00		! GET MMU ADDRESS !		
00F4 0000*	CALL	GET_DBR_ADDR	!(R0: DBR #)	
		RETURNS (R1: DBR ADDRESS) !		
00F6 2102	LD	R2, #0	! PRIORITY !	
00F8 0000	LD	R5, #OFF	! PREEMPT !	
00FA 2105	LD	R6, #OFF	! KERNEL PROC !	
00FC 0000				
00FE 2106				
0100 0000				
0102 5F00		! CREATE VPT ENTRIES !		
0104 01CA	CALL	UPDATE_VP_TABLE	!(R1: DBR	
		R2: PRIORITY R4: IDLE_FLAG R5: PREEMPT R6: EXT_VP_FLAG RETURNS: R9: VP_ID !		
0106 6F09		! ENTER VP ID OF IDLE PROCESS IN PRDS !		
0108 0000*	LD	PRDS.IDLE_VP, R9		
010A 2102		! INITIALIZE IDLE VP'S !		
010C 0001	LD	R2, #1	! PRIORITY !	
010E 2105	LD	R5, #ON	! PREEMPT !	
0110 FFFF	LD	R6, #ON	! NON-KERNEL PROC !	
0112 2106	LD	R0, PRDS.VP_NR		
0114 FFFF				
0116 6100				
0118 0004*		! INITIALIZE VP VALUES !		

	DO		
011A 5F00	CALL	UPDATE_VP_TABLE	!(R1: DBR
011C 01CA			R2: PRIORITY R4: IDLE_FLAG R5: PREEMPT R6: EXT_VP_FLAG) RETURNS: R9: VP_ID !
011E AB00	DEC	R0, #1	
0120 0B00	CP	R0, #0	
0122 0000			
0124 5E0E	IF EQ !ALL VP'S INITIALIZED! THEN		
0126 012C			
0128 5E08	EXIT		
012A 012E			
	FI		
012C E8F6	OD		
		! INITILIZE VPT HEADER !	
		! GET LOGICAL CPU NUMBER !	
012E 6102	LD	R2, PRDS.LOG_CPU_ID	
0130 0002*			
0132 4D05	LD	VPT.LOCK, #OFF	
0134 0000*			
0136 0000			
0138 4D25	LD	VPT.RUNNING_LIST(R2), #NIL	
013A 0002*			
013C FFFF			
013E 4D25	LD	VPT.READY_LIST(R2), #NIL	
0140 0006*			
0142 FFFF			
0144 4D08	CLR	VPT.FREE_LIST !HEAD OF MSG LIST!	
0146 000A*			
		!THREAD VP'S BY PRIORITY AND SET STATES TO READY !	
0148 8D28	CLR	R2 !START WITH VP #1!	

THREAD:

	DO		
014A 610D	LD	R13, PRDS.LOG_CPU_ID	
014C 0002*			
014E 76D3	LDA	R3,VPT.READY_LIST(R13)	
0150 0006*			
0152 7604	LDA	R4,VPT.VP.NEXT_READY_VP	
0154 001C*			
0156 7605	LDA	R5,VPT.VP.PRI	
0158 0012*			
015A 7606	LDA	R6,VPT.VP.STATE	
015C 0014*			
015E 2107	LD	R7,#READY	

```

0160 0001          ! SAVE OBJ ID !
0162 93F2          PUSH    @R15, R2
0164 5F00          CALL    LIST_INSERT !R2: OBJ ID
0166 0000*         

                           R3: LIST_HEAD PTR ADDR
                           R4: NEXT_OBJ PTR
                           R5: PRIORITY PTR
                           R6: STATE_PTR
                           R7: STATE ! 

! RESTORE OBJ ID !
0168 97F2          POP     R2, @R15
016A 0102          ADD     R2, #SIZEOF VP_TABLE
016C 0020
016E 0E02          CP      R2, #(NR_VP * (SIZEOF VP_TABLE))
0170 0100
0172 5E0E          IF EQ THEN EXIT FROM THREAD FI
0174 017A
0176 5E08
0178 017C
017A E8E7          OD

! INITIALIZE VP MESSAGE LIST !
! NOTE: ONLY THE THREAD FOR THE MESSAGE
LIST NEED BE CREATED AS ALL MESSAGES
ARE INITIALLY AVAILABLE FOR USE. THE
INITIAL MESSAGE VALUES WERE CREATED
FOR CLARITY ONLY TO SHOW THAT THE
MESSAGES HAVE NO USABLE INITIAL VALUE!
017C 8D18          CLR     R1

MSG_LST_INIT:
! NOTE: R1 REPRESENTS CURRENT ENTRY IN
MSG_LIST, R2 REPRESENTS CURRENT POSITION
IN MSG_LIST ENTRY, AND R3 REPRESENTS
NEXT ENTRY IN MSG_LIST. !
DO
017E A112          LD      R2, R1
0180 A123          LD      R3, R2
0182 0103          ADD    R3, #SIZEOF MESSAGE
0184 0010

FILL_MSG:
DO
0186 4D25          LD      VPT.MSG_C.MSG(R2), #INVALID
0188 0110*          LD
018A EEEE
018C A921          INC    R2, #2
018E 8B32          CP      R2, R3
0190 5E0E          IF EQ THEN EXIT FROM FILL_MSG FI
0192 0198
0194 5E08

```

```

0196 019A'          OD
0198 E8F6
019A 4D15          LD      VPT.MSG_Q.SENDER(R1), #NIL
019C 0120*          LD      R2, R1
019E FFFF
01A0 A112          LD      R1, #SIZEOF MSG_TABLE
01A2 0101          ADD    R1, #SIZEOF MSG_TABLE
01A4 0020
01A6 0F01          CP     R1, #SIZEOF MSG_TABLE*NR_VP
01A8 0100

        IF EC
01AA 5E0E          THEN
01AC 01BC'          LD      VPT.MSG_Q.NEXT_MSG(R2), #NII
01AE 4D25
01B0 0122*          LD      EXIT FROM MSG_LST_INIT
01B2 FFFF
01B4 5E08
01B6 01C2
01B8 5E0E
01BA 01C0
01BC 6F21          LD      VPT.MSG_Q.NEXT_MSG(R2), R1
01BE 0122*
01C0 E8DE          FI
OD

! GET LOGICAL CPU # FOR USE
! BY ITC GETWORK. !
01C2 610D          LD      R13, PRDS.LOG_CPU_ID
01C4 0002*

        ! BOOTSTRAP COMPLETE !
        ! START SYSTEM EXECUTION AT PREEMPT ENTRY !
        ! POINT IN ITC GETWORK PROCEDURE !
01C6 5E08          JP     BOOTSTRAP_ENTRY
01C8 0000*
01CA               END BOOTSTRAP

```

01CA UPDATE VP TABLE PROCEDURE
 !*****
 * INITIALIZES VPT ENTRIES *

 * REGISTER USE:
 * PARAMETERS:
 * R1: DBR ADDRESS *
 * R2: PRIORITY *
 * R5: PREEMPT FLAG *
 * R6: EXTERNAL VP FLAG *
 * RETURNS:
 * R9: ASSIGNED VP ID *
 * LOCAL VARIABLES:
 * R7: LOGICAL CPU # *
 * R8: EXT_VP_LIST OFFSET *
 * R9: VPT_OFFSET *
 *****!

 ENTRY
 ! GET OFFSET IN VPT FOR NEXT ENTRY !
 01CA 6109 LD R9, NEXT_AVAIL_VP
 01CC 0000,
 01CE 6F91 LD VPT.VP.DBR(R9), R1
 01D0 0010*
 01D2 6F92 LD VPT.VP.PRI(R9), R2
 01D4 0012*
 01D6 6F96 LD VPT.VP.IDLE_FLAG(R9), R6
 01D8 0016*
 01DA 6F95 LD VPT.VP.PREEMPT(R9), R5
 01DC 0018*
 01DE 6107 LD R7, PRDS.LOG_CPU_ID
 01E0 0002*
 01E2 6F97 LD VPT.VP.PHYS_PROCESSOR(R9), R7
 01E4 001A*
 01E6 4D95 LD VPT.VP.NEXT_READY_VP(R9), #NIL
 01E8 001C*
 01EA FFFF
 01EC 4D95
 01EE 001E*
 01F0 FFFF

 ! CHECK EXTERNAL VP FLAG !
 01F2 0B06 CP R6, #ON
 01F4 FFFF

 IF EQ !EXTERNAL VP!
 THEN ! VP IS TC VISIBLE !
 01F6 5E0E
 01F8 0210
 01FA 6108 LD R8, NEXT_EXT_VP
 01FC 0002*

 ! INSERT ENTRY IN EXTERNAL VP LIST !
 01FE 6F89 LD EXT_VP_LIST(R8), R9

0200 0000*
0202 6F98
0204 0020*
0206 A981
0208 6F08
020A 0002
020C 5E08
020E 0216
0210 4D05
0212 0020*
0214 FFFF

LD VPT.VP.EXT_ID(R9), R8
INC R8, #2
LD NEXT_EXT_VP, R8
ELSE !VP BOUND TO KERNEL PROCESS!
LD VPT.VP.EXT_ID, #NIL

0216 A19A
0218 010A
021A 0020
021C 6F0A
021E 0000

FI
LD R10, R9
ADD R10, *SIZEOF VP_TABLE
LD NEXT_AVAIL_VP, R10

0220 9E08
0222 RET

END UPDATE_VP_TABLE
END BOOTSTRAP_LOADER

APPENDIX F - LIBRARY FUNCTION LISTINGS

Z8000ASM 2.02
LOC OBJ CODE STMT SOURCE STATEMENT

LIBRARY_FUNCTION MODULE

\$LISTON \$TTY

CONSTANT

```
KERNEL_FCW      := %5000
STACK_SEG_SIZE := %100
STACK_BASE      := STACK_SEG_SIZE-%10
STATUS_REG_BLOCK:= STACK_SEG_SIZE-%10
INTERRUPT_FRAME := STACK_PASE-4
INTERRUPT_REG   := INTERRUPT_FRAME-34
N_S_P           := INTERRUPT_REG-2
NIL             := %FFFF
```

\$SECTION LIB_PROC
GLOBAL

0000 LIST_INSERT PROCEDURE
!*****
* INSERTS OBJECTS INTO A LIST *
* BY ORDER OF PRIORITY AND SETS *
* ITS STATE

* REGISTER USE:
* PARAMETERS:
* R2: OBJECT_ID
* R3: HEAD_OF_LIST_PTR_ADDR
* R4: NEXT_OBJ_PTR_ADDR
* R5: PRIORITY_PTR_ADDR
* R6: STATE_PTR_ADDR
* R7: OBJECT_STATE
* LOCAL VARIABLES:
* R8: HEAD_OF_LIST_PTR
* R9: NEXT_OBJ_PTR
* R10: CURRENT_OBJ_PRIORITY
* R11: NEXT_OBJ_PRIORITY
*****!

ENTRY
! GET FIRST OBJECT IN LIST !
0000 2138 LD R8, @R3
0002 0B08 CP R8, #NIL
0004 FFFF
0006 5E0E
0008 0018 IF EQ !LIST IS EMPTY! THEN
! PLACE OBJ AT HEAD OF LIST !
000A 2F32 LD @R3, R2
000C 7449 LDA R9, R4(R2)
000E 0200
0010 0D95 LD @R9, #NII
0012 FFFF
0014 5E08
0016 005A ELSE
! COMPARE OBJ PRI WITH LIST HEAD PRI !
0018 715A LD R10, R5(R2) !OBJ PRI!
001A 0200
001C 715B LD R11, R5(R8) !HEAD PRI!
001E 0800
0020 8BBA CP R10, R11
0022 5E02 IF GT !OBJ PRI>HEAD PRI! THEN
0024 0430
0026 2F32 LD @R3, R2 !PUT AT FRONT!
0028 7348 LD R4(R2), R8
002A 0200
002C 5E08 ELSE ! INSERT IN BODY OF LIST !

AD-A102 308

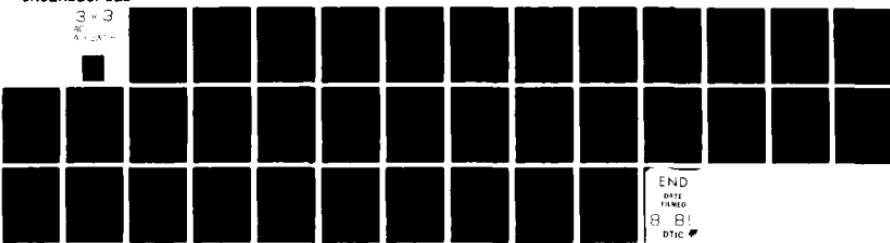
NAVAL POSTGRADUATE SCHOOL MONTEREY CA
IMPLEMENTATION OF PROCESS MANAGEMENT FOR A SECURE ARCHIVAL STOR--ETC(U)
MAR 81 A R STRICKLER

F/G 9/2

NL

UNCLASSIFIED

3 x 3
RE 12A
A-12A



END
DATE
FILED
8-81
DTIC

002E 005A'

SEARCH_LIST:

DO

0030 0B08 CP R8, #NIL
0032 FFFF
0034 5E0E IF EQ !END OF LIST! THEN
0036 003C
0038 5E08 EXIT FROM SEARCH_LIST
003A 0052 FI
003C 715B LD R11, R5(R8) !GET NEXT PRI!
003E 0B00
0040 8BBA CP R10, R11
0042 5E02 IF GT !CURRENT PRI>NEXT PRI! THEN
0044 004A
0046 5E08 EXIT FROM SEARCH_LIST
0048 0052 FI

! GET NEXT OBJ !
004A A189 LD R9, R8
004C 7148 LD R8, R4(R9)
004E 0900
0050 EEEF OD ! END SEARCH_LIST !

! INSERT IN LIST !
0052 7348 LD R4(R2), R8
0054 0200
0056 7342 LD R4(R9), R2
0058 0900 FI
FI

! SET OBJECT'S STATE !
005A 7367 LD R6(R2), R7
005C 0200
005E 9E08 RET
0060 END LIST_INSERT

0060 CREATE_STACK PROCEDURE

!*****

* INITIALIZES KERNEL STACK *

* SEGMENT FOR PROCESSES *

* REGISTER USE: *

* PARAMETERS: *

* R0: ARGUMENT POINTER *

* (INCLUDES: FCW, IC, NSP, AND *

* RETURN POINT. SEE LOCAL *

* VARIABLES BELOW.) *

* R1: TOP OF STACK *

* R2-R14: INITIAL REGISTER *

* STATES. (NOTE: IN DEMO, NO *

* SPECIFIC INITIAL REGISTER *

* VALUES ARE SET, EXCEPT R13 *

* (USER ID) FOR USER PRO- *

* CESSES.) *

* LOCAL VARIABLES *

* (FROM ARGUMENTS STORED ON *

* STACK.) *

* R3: FCW *

* R4: PROCESS ENTRY POINT(IC) *

* R5: NSP *

* R6: PREEMPT RETURN POINT *

***** !

ENTR▼

0060 93F0	PUSH @R15, R0 !SAVE ARGUMENT PTR!
0062 ADF0	EX R0, R15 !SAVE SP!
0064 341F	LDA R15, R1(#INTERRUPT_REG)
0066 00CA	
0068 1CF9	LDM @R15, R1, #16 !INITIAL REG. VALUES!
006A 010F	
! NOTE: ONLY REGISTERS R2-R14 MAY CONTAIN INITIALIZATION VALUES !	
006C A10F	LD R15, R0 !RESTORE SP!
006E 97F0	POP R0, @R15 !RESTORE ARGUMENT PTR!
0070 A1FE	LD R14, R15 !SAVE CALLER RETURN POINT!
0072 A10F	LD R15, R0 !GET ARGUMENT PTR!
0074 1CF1	LDM R3, @R15, #4 !LOAD ARGUMENTS!
0076 0303	
0078 341F	LDA R15, R1(#INTERRUPT_FRAME)
007A 00EC	
007C 1CF9	LDM @R15, R3, #2 !INIT IRET FRAME!
007E 0301	
0080 341F	LDA R15, R1(#N_S_P)
0082 00C8	
0084 2FF5	LD @R15, R5 !SET NSP!
0086 030F	SUB R15, #2

0088 0002
008A 2FF6 LD R15, R6 !PREEMPT RET POINT!
008C 3418 LDA R9, R1(#STACK_BASE)
008E 00F0 ! INITIALIZE STATUS REGISTER BLOCK !
0090 2100 LD R0, #KERNEL_FCW
0092 5000
0094 1C89 LDM R8, R15, #2 !SAVE SP & FCW!
0096 0F01
0098 A1EF LD R15, R14 !RESTORE RETURN POINT!
009A 9E08 RET
009C END CREATE_STACK
END LIBRARY_FUNCTION

APPENDIX G - INNER TRAFFIC CONTROLLER LISTINGS

ZERO00ASM 2.02
LOC OBJ CODE STMT SOURCE STATEMENT

INNER_TRAFFIC_CONTROL MODULE

\$LISTON \$TTY

!**1. GETWORK:

- A. NORMAL ENTRY DOES NOT SAVE REGISTERS.
(THIS IS A FUNCTION OF THE GATEKEEPER).
- B. R14 IS AN INPUT PARAMETER TO GETWORK THAT SIMULATES INFO THAT WILL EVENTUALLY BE ON THE MMU HARDWARE. THIS REGISTER MUST BE ESTABLISHED AS A DBR BY ANY PROCEDURE INVOKING GETWCRK.
- C. THE PREEMPT INTERRUPT HANDLER DOES NOT USE THE GATEKEEPER AND MUST PERFORM FUNCTIONS NORMALLY ACCOMPLISHED BY IT PRIOR TO NORMAL ENTRY AND EXIT.
(SAVE/RESTORE: REGS, NSF; UNLOCK VPT, TEST INT)

2. GENERAL:

- A. ALL VIOLATIONS OF VIRTUAL MACHINE INSTRUCTIONS ARE CONSIDERED ERROR CONDITIONS AND WILL RETURN SYSTEM TO THE MONITOR WITH AN ERROR CODE IN R0 AND THE PC VALUE IN R1.
- B. ITC PROCEDURES CALLING GETWORK PASS DRR (REGISTER R14) AND LOGICAL CPU NUMBER (REGISTER R13) AS INPUT PARAMETERS.
(INCLUDES: SIGNAL, WAIT, SWAP_VDP, PHYS_PREEMPT_HANDLER, AND IDLE). !

CONSTANT

```
! ***** ERROR CODES ***** !
U_L      := 0      ! UNAUTHORIZED LOCK !
M_L_EM   := 1      ! MESSAGE LIST EMPTY !
M_L_ER   := 2      ! MESSAGE LIST ERRCP !
R_L_E    := 3      ! READY LIST EMPTY !
M_L_O    := 4      ! MESSAGE LIST OVERFLOW !
S_N_A    := 5      ! SWAP NOT ALLOWED !
V_I_E    := 6      ! VP INDEX ERROR !
M_U      := 7      ! MMU UNAVAILABLE !
```

```
! ***** SYSTEM PARAMETERS ***** !
NR_SDR   := 64    ! LONG WORDS!
NR_CPU   := 2
NR_VP    := NR_CPU*4
NR_AVAIL_VP := NR_CPU*2
```

```

MAX_DBP_NR      := 10 !PER CPU!
STACK_SEG       := 1
PRDS_SEG        := 0
STACK_SEG_SIZE  := %100

! ***** OFFSETS IN STACK SEG ***** !
STACK_BASE      := STACK_SEG_SIZE-%10
STATUS_REG_BLOCK:= STACK_SEG_SIZE-%14
INTERRUPT_FRAME := STACK_BASE-4
INTERRUPT_REG   := INTERRUPT_FRAME-34
N_S_P            := INTERRUPT_REG-2
F_C_W            := STACK_SEG_SIZE-%E

ON      := %FFFF
OFF     := 0
RUNNING := 0
READY   := 1
WAITING := 2
NIL     := %FFFF
INVALID := %EEEE
MONITOR := %A900          ! HBUG ENTRY !
KERNEL_FCW    := %5000
AVAILABLE := 0
ALLOCATED := %FF

TYPE
MESSAGE ARRAY [16 BYTE]
ADDRESS WORD
VP_INDEX INTEGER
MSG_INDEX INTEGER

SEG_DESC_REG RECORD
|
|   RASE      ADDRESS
|   ATTRIBUTES    BYTE
|   LIMITS      BYTE
|
MMU           ARRAY[NR_SDR SEG_DESC_REG]

MSG_TABLE RECORD
[ MSG      MESSAGE
  SENDER   VP INDEX
  NEXT_MSG MSG INDEX
  FILLER   ARRAY [6, WORD]
]

```

```

VP_TABLE RECORD
[ DER ADDRESS
    PRI          WORD
    STATE        WORD
    IDLE_FLAG   WORD
    PREEMPT     WORD
    PHYS_PROCESSOR WORD
    NEXT_READY_VP VP_INDEX
    MSG_LIST    MSG INDEX
    EXT_ID      WORD
    FILLER_1    ARRAY [?, WORD]
]

EXTERNAL
LIST_INSERT      PROCEDURE

GLOBAL
BOOTSTRAP_ENTRY  LABEL

$SECTION ITC_DATA

0000    VPT      RECORD
        [ LOCK      WORD
          RUNNING_LIST ARRAY[NR_CPU WORD]
          READY_LIST   ARRAY[NR_CPU WORD]
          FREE_LIST    MSG INDEX
          VIRT_INT_VEC ARRAY[1, ADDRESS]
          FILLER_2    WORD
          VP          ARRAY [NR_VP, VP_TABLE]
          MSG_0       ARRAY [NR_VP, MSG_TABLE]
        ]
0210    EXT_VP_LIST  ARRAY[NR_AVAIL_VP WORD]

$SECTION MMU_DATA

0000    MMU_IMAGE    RECORD
        [
            MMU_STRUCTURE  ARRAY[MAX_DBR_NR MMU]
        ]
0A0C    NEXT_AVAIL_MMU  ARRAY[MAX_DBR_NR BYTE]
0A0A    PRDS      RECORD
        [
            PHYS_CPU_ID WORD
            LOG_CPU_ID  INTEGER
            VP_NR       WORD
            IDLE_VP     VP_INDEX
        ]

```

```

$SECTION ITC_INT_PROC
INTERNAL
0000          PROCEDURE
GETWORK
!*****
* SWAPS VIRTUAL PROCESSORS      *
* ON PHYSICAL PROCESSOR.       *
*****                         *
* PARAMETERS:                  *
*   R13: LOGICAL CPU #        *
* REGISTER USE:                *
*   STATUS REGISTERS          *
*     R14: DBR (SIMULATION)    *
*     R15: STACK_POINTER        *
* LOCAL VARIABLES:             *
*   R1: READY VP (NEW)         *
*   R2: CURRENT_VP (OLD)       *
*   R3: FLAG CONTROL WORD     *
*   R4: STACK SEG BASE ADDR   *
*   R5: STATUS_REG_BLOCK ADDR  *
*   R6: NORMAL_STACK_POINTER   *
*****                         !
ENTRY

! GET STACK BASE !
0000 31E4 LD      R4, R14(#STACK_SEG*4)
0002 0004
0004 3445 LDA     R5, R4(#STATUS_REG_BLOCK)
0006 00F0

! * * SAVE SP * * !
0008 2F5F LD      R5, R15
! * * SAVE FCW * * !
000A 7D32 LDCTL  R3, FCW
000C 3343 LD      R4(#F_C_W), R3
000E 00F2

BOOTSTRAP_ENTRY:           ! GLOBAL LABEL !
! GET READY VP LIST !
0010 61D1 ID      R1, VPT.READY_LIST(R13)
0012 0006

SELECT_VP:
DO ! UNTIL ELGIBLE READY_VP FOUND !
CP VPT.VP.IDLE_FLAG(R1), #ON

0014 4D11
0016 0016
0018 FFFF
001A 5E0E
001C 0030
001E 4D11
0020 0018
0022 FFFF
0024 5E0E

IF EQ ! VP IS IDLE ! THEN
CP VPT.VP.PREEMPT(R1), #ON

IF EQ ! PREEMPT INTERRUPT IS ON ! THEN

```

0026 002C	
0028 5E08	EXIT FROM SELECT_VP
002A 003C	
	FI
002C 5E08	ELSE ! VP NOT IDLE !
002E 0034	
0030 5E08	EXIT FROM SELECT_VP
0032 003C	
	FI
0034 6113	! GET NEXT READY VP !
0036 001C	LD R3, VPT.VP.NEXT_READY_VP(R1)
0038 A131	LD R1, R3
003A E8EC	OD

! NOTE: THE READY LIST WILL NEVER BE EMPTY SINCE
 THE IDLE VP, WHICH IS THE LOWEST PRI VP,
 WILL NEVER BE REMOVED FROM THE LIST.
 IT WILL RUN ONLY IF ALL OTHER READY VP'S ARE
 IDLING OR IF THERE ARE NO OTHER VP'S ON
 THE READY LIST. ONCE SCHEDULED, IT
 WILL RUN UNTIL RECEIVING A HDWE INTERRUPT. !

! NOTE: R14 IS USED AS DBR HERE. WHEN MMU
 IS AVAILABLE THIS SERIES OF SAVE AND LOAD
 INSTRUCTIONS WILL BE REPLACED BY SPECIAL I/O
 INSTRUCTIONS TO THE MMU. !

! PLACE NEW VP IN RUNNING STATE !
 LD VPT.VP.STATE(R1), #RUNNING

003C 4D15	
003E 0014	
0040 0000	
0042 5FD1	LD VPT.RUNNING_LIST(R13), R1
0044 0002	
	! * * SWAP DBR * * !
0046 611E	LD R14, VPT.VP.DBW(R1)
0048 0010	

! LOAD NEW VP SP !
 LD R4, R14(#STACK_SEG#4)
 LDA R5, R4(*STATUS_REG_BLOCK)
 LD R15, GR5

004A 31E4	
004C 0004	
004E 3445	
0050 00F0	
0052 215F	
	! * * LOAD NEW FCW * * !
0054 3143	LD R3, R4(#F_C_W)
0056 00F2	
0058 7D3A	LDCTL FCW, R3
005A 9E08	RET
005C	END GETWORK

005C ENTER_MSG_LIST PROCEDURE
 !*****
 * INSERTS POINTER TO MESSAGE *
 * FROM CURRENT VP TO SIGNALLED_VP*
 * IN FIFO MSG_LIST *

 * REGISTER USE:
 * PARAMETERS:
 * R8(R9):MSG (INPUT) *
 * R1: SIGNALLED_VP (INPUT) *
 * R13: LOGICAL CPU NUMBER *
 * LOCAL VARIABLES:
 * R2: CURRENT VP *
 * R3: FIRST_FREE_MSG *
 * R4: NEXT_FREE_MSG *
 * R5: NEXT_Q_MSG *
 * R6: PRESENT_Q_MSG *
 *****!
 ENTRY
 005C 61D2 LD R2, VPT.RUNNING_LIST(R13)
 005E 0002
 0060 6103 ,
 0062 000A ,
 ! GET FIRST MSG FROM FREE_LIST !
 LD R3, VPT.FREE_LIST
 ! * * * * DEBUG * * * * !
 0064 0B03 CP R3, #NIL
 0066 FFFF
 0068 5E0E ,
 006A 0078 ,
 006C 7601
 006E 006C ,
 0070 2100
 0072 0004
 0074 5F00
 0076 A900
 IF EQ THEN
 LDA R1, S
 LD R0, #M_L_O! MESSAGE LIST OVERFLOW !
 CALL MONITOR
 FI
 ! * * * * END DEBUG * * * * !
 0078 6134 ,
 007A 0122 ,
 007C 6F04 ,
 007E 000A ,
 LD R4, VPT.MSG_Q.NEXT_MSG(R3)
 LD VPT.FREE_LIST, R4
 ! INSERT MESSAGE LIST INFORMATION !
 0080 763A ,
 0082 0110 ,
 0084 2107
 0086 0010
 0088 BA81
 008A 07A0
 LDIRB @R12,@R8,R7

```

008C 6F32, LD VPT.MSG_Q.SENDER(R3), R2
008E 0120

        ! INSERT MSG IN MSG_LIST !
0090 6115, LD R5, VPT.VP.MSG_LIST(R1)
0092 001E

0094 0B05 CP R5, #NIL
0096 FFFF
0098 5E0E, IF EQ ! MSG LIST IS EMPTY ! THEN
009A 02A4
        ! INSERT MSG AT TOP OF LIST !
009C 6F13, LD VPT.VP.MSG_LIST(R1), R3
009E 001E

00A0 5E08, ELSE ! INSERT MSG IN LIST !
00A2 00BC

MSG_Q_SEARCH:
DO ! WHILE NOT END OF LIST !
    CP R5, #NIL
        IF EQ ! END OF LIST ! THEN
            EXIT FROM MSG_Q_SEARCH
        FI

        ! GET NEXT LINK !
00B0 A156 LD R6, R5
00B2 6165, LD R5, VPT.MSG_Q.NEXT_MSG(R6)
00B4 0122,
00B6 E8F6 OD
        ! INSERT MSG IN LIST !
00B8 6F63, LD VPT.MSG_Q.NEXT_MSG(R6), R3
00BA 0122,
00BC 6F35, FI
00BE 0122,
00C0 9E08 RET
00C2     END ENTER_MSG_LIST

```

00C2 GET_FIRST_MSG PROCEDURE
 !*****
 * REMOVES MSG FROM MSG LIST *
 * AND PLACES ON FREE LIST. *
 * RETURNS SENDER'S MSG AND *
 * VP ID *

 *REGISTER USE: *
 * PARAMETERS: *
 * R8(R9): MSG POINTER (INPUT) *
 * R13: LOGICAL CPU NUMBER (INPUT) *
 * R1: SENDER VP (RETURNED) *
 * LOCAL VARIABLES *
 * R2: CURRENT_VP *
 * R3: FIRST_MSG *
 * R4: NEXT_MSG *
 * R5: NEXT_FREE_MSG *
 * R6: PRESENT_FREE_MSG *
 *****!
 ENTR^V
 00C2 61D2 LD R2, VPT.RUNNING_LIST(R13)
 00C4 0002,
 00C6 6123 ! REMOVE FIRST MSG FROM MSG LIST !
 00C8 001E LD R3, VPT.VP.MSG_LIST(R2)
 00CA 0B03 ! * * * * DEBUG * * * * !
 00CC FFFF
 00CE 5E0E
 00D0 00DE
 00D2 2100
 00D4 0001
 00D6 7601
 00D8 00D6
 00DA 5F00
 00DC A920
 00DE 6134 LD #M_L_EM ! MSG LIST EMPTY !
 00E0 0122
 00E2 6F24 LDA R1, \$
 00E4 001E CALL MONITOR
 FI
 ! * * * END DEBUG * * * !
 R4, VPT.MSG_Q.NEXT_MSG(R3)
 00E6 6105 LD VPT.VP.MSG_LIST(R2), R4
 00E8 000A
 00EA 0F05 ! INSERT MESSAGE IN FREE_LIST !
 00EC FFFF
 00EE 5E0E R5, VPT.FREE_LIST
 00F0 0100 CP R5, #NIL
 IF EQ ! FREE_LIST IS EMPTY ! THEN

```

00F2 6F03      ! INSERT AT TOP OF LIST !
LD      VPT.FREE_LIST, R3
00F4 000A
00F6 4D35
00F8 0122
00FA FFFF
00FC 5E08      ELSE ! INSERT IN LIST !
00FE 011C

        FREE_Q_SEARCH:
        D0

0100 0B05      CP      R5, #NIL
0102 FFFF
0104 5E0E      IF EQ ! END OF LIST ! THEN
0106 010C
0108 5E08      EXIT FROM FREE_Q_SEARCH
010A 0114

        FI
        ! GET NEXT MSG !
010C A156      LD      R6, R5
010E 6165      LD      R5, VPT.MSG_Q.NEXT_MSG(R6)
0110 0122
0112 E8F6      OD

        ! INSERT IN LIST !
0114 6F63      LD      VPT.MSG_Q.NEXT_MSG(R6), R3
0116 0122
0118 6F35      LD      VPT.MSG_Q.NEXT_MSG(R3), R5
011A 0122

        FI
        ! GET MESSAGE INFORMATION:
        (RETURNS R1: SENDING_VP) !
011C 6131      LD      R1, VPT.MSG_Q.SENDER(R3)
011E 0120
0120 763A      LDA     R10,VPT.MSG_Q.MSG(R3)
0122 0110
0124 2107      LD      R7,#SIZEOF MESSAGE
0126 0010
0128 BAA1      LDIRE  @R8,@R10,R7
012A 0780
012C 9E08      RET
012E          END GET_FIRST_MSG

```

```

! * * INNER TRAFFIC CONTROL ENTRY POINTS * * !

! NOTE: ALL INTERRUPTS MUST BE MASKED WHENEVER
THE VPT IS LOCKED. THIS IS TO PREVENT AN
EMBRACE FROM OCCURRING SHOULD AN INTERRUPT
OCcur WHILE THE VPT IS LOCKED. !

GLOBAL
SECTION ITC_GLP_PROC

PREEMPT_RET LABEL
KERNEL_EXIT LABEL
CREATE_INT_VEC PROCEDURE
!*****!
* CREATES ENTRY IN VIRTUAL INT-*
* INTERRUPT VECTOR WITH ADDRESS *
* OF THE VIRTUAL INTERRUPT HANDLER *
* DLER. *
*****!
* PARAMETERS: *
* R1: VIRTUAL INTERRUPT # *
* R2: INTERRUPT HANDLER ADDR *
*****!
ENTRY
! COMPUTE OFFSET IN VIRTUAL
INTERRUPT VECTOR !
MULT RR2, #SIZEOF ADDRESS
0000 1900
0002 0002
! SAVE ADDRESS OF VIRTUAL INTERRUPT
HANDLER IN INTERRUPT VECTOR !
LD VPT.VIRT_INT_VEC(R1), R2
0004 6F12
0006 000C
0008 9E08
000A RET
END CREATE_INT_VEC

```

000A GET DBR ADDR PROCEDURE
! ****=
* CALCULATES DBR ADDRESS FROM *
* DBR NUMBER *
****=
* REGISTER USE: *
* PARAMETERS: *
* R0: DBR *
* RETURNS: *
* R1: DBR ADDRESS *
****=!
ENTRV
! GET BASE ADDRESS OF MMU IMAGE !
000A 7601 LDA R1, MMU_IMAGE
000C 0000,
000E 8101 ADD R1, R0
0010 9E08 RET
0012 END GET_DBR_ADDR

0012 ALLOCATE_MMU PROCEDURE
 ! ****
 * ALLOCATES NEXT AVAILABLE MMU *
 * IMAGE AND CREATES PRDS ENTRY *

 * REGISTER USE:
 * RETURNS:
 * R0: DBR #
 * LOCAL VARIABLES:
 * R1: SEGMENT #
 * R2: PRDS ADDRESS
 * R3: PRDS ATTRIBUTES
 * R4: PRDS LIMITS
 ****!
 ENTRY
 ! GET NEXT AVAILABLE DBR # !
 0012 8D08 CLR R0
 0014 8D18 CLR R1
 ! NOTE: THE FOLLOWING IS A SAFE SECUENCE
 AS NEXT_AVAIL_MMU AND MMU ARE CPU LOCAL!
 GET_DBR:
 DO
 0016 4C11 CPB NEXT_AVAIL_MMU(R1), #AVAILABLE
 0018 0A00
 001A 0E00
 IF EQ !MMU ENTRY IS AVAILABLE!
 001C 5E0E THEN
 001E 0C2E
 0020 4C15 LDB NEXT_AVAIL_MMU(R1), #ALLOCATED
 0022 0A00
 0024 FFFF
 0026 5E08 EXIT FROM GET_DBR
 0028 004A
 002A 5E09 ELSE !CURRENT ENTRY IS ALLOCATED!
 002C 0048
 002E A910 INC R1, #1
 0030 0100 ADD R0, #SIZEOF MMU
 0032 0100
 ! * * * * DEBUG * * * * !
 0034 0B01 CP R1, #MAX_DBM_NR
 0036 000A
 0038 5E0E IF EQ THEN
 003A 0048
 003C 2100 LD R0, #M_U !MMU UNAVAILABLE!
 003E 0007
 0040 7601 LDA R1, \$
 0042 0040
 0044 5F00 CALL MONITOR
 0046 A900 FI
 ! * * * END DEBUG * * * !

0048 E8E6 FI
0048 E8E6 OD

004A 2101 LD R1, #PRDS_SEG ! SEGMENT NO. !
004C 0000
004E 7602 LCA R2, PRDS ! PRDS ADDR !
0050 0A0A
0052 2103 LD R3, #1 ! READ ATTR !
0054 0001
0056 2104 LD R4, #((SIZEOF PRDS)-1)/256
0058 0000

! PRDS LIMITS !

005A 5F00 ! CREATE PRDS ENTRY IN MMU IMAGE !
005C 0060 CALL UPDATE_MMU_IMAGE !(R1: SEGMENT #

R2: SEG ADDRESS
R3: ATTRIBUTES
R4: SEG LIMITS)!

005E 9E08 RET
0060 END ALLOCATE_MMU

0060 UPDATE_MMU_IMAGE PROCEDURE
 !*****
 * CREATES SEGMENT DESCRIPTOR *
 * ENTRY IN MMU IMAGE *

 * REGISTER USE: *
 * PARAMETERS: *
 * R0: DBR # *
 * R1: SEGMENT # *
 * R2: SEGMENT ADDRESS *
 * R3: SEGMENT ATTRIBUTES *
 * R4: SEGMENT LIMITS *
 * LOCAL VARIABLES:
 * R10: MMU BASE ADDRESS *
 * R13: OFFSET VARIABLE *
 *****!
 ENTRV
 0060 210A LD R10, #MMU_IMAGE ! MMU BASE ADDRESS !
 0062 0000
 0064 810A
 0066 210D
 0068 0004
 006A 991C
 006C 81DA ADD R10, R0
 LD R13, #SIZEOF SEG_DESC_REG
 MULT RR12, R1 ! COMPUTE SEG_DESC OFFSET !
 ADD R10, R13 !ADD OFFSET TO BASE ADDRESS !
 ! INSERT DESCRIPTOR DATA !
 LD @R10, R2
 INC R10, #2
 CLR @R10
 LDB @R10, RL4
 INC R10, #1
 LDB RL4, @R10
 CPB RL3, #%(2)00001000 ! EXECUTE !
 007C 0E28
 007E 5E0E IF EQ THEN
 0080 008A
 0082 060C ANDB RL4, #%(2)11111111 ! EXECUTE MASK !
 0084 F7F7
 0086 5E08 ELSE
 0088 008E
 008A 060C ANDB RL4, #%(2)11111110 ! READ MASK !
 008C FFEF
 FI
 008E 84BC ORB RL4, RL3
 0090 2EAC LDB @R10, RL4
 0092 9E28 RET
 0094 END UPDATE_MMU_IMAGE

```

0094          WAIT                               PROCEDURE
!***** * INT'LA KERNEL SYNC/COM PRIMATIVE * *
!***** * INVOKED BY KERNEL PROCESSES   * *
!***** * ***** * ***** * ***** * ***** !
* PARAMETERS                                     *
* R8(R9): MSG POINTER (INPUT)                  *
* R1: SENDING_VP (RETURN)                      *
* GLOBAL VARIABLES                            *
* R14: LBR (PARAM TO GETWORK)                *
* LOCAL VARIABLES                           *
* R2: CURRENT_VP (RUNNING)                   *
* R3: NEXT_READY_VP                         *
* R4: LOCK_ADDRESS                         *
* R13: LOGICAL CPU NUMBER                   *
***** * ***** * ***** * ***** * ***** !
ENTRY
    ! MASK INTERRUPTS !
    DI      VI
    ! LOCK VPT !
    LDA     R4, VPT.LOCK
    CALL    SPIN_LOCK ! (R4:~VPT.LOCK) !
    ! NOTE: RETURNS WHEN VPT IS LOCKED BY THIS VP !
    ! GET CPU NUMBER !
    CALL    GET_CPU_NO !RETURNS:
                                            R1:CPU #
                                            R2:/* VP'S!
00A2 A11D    LD      R13, R1
00A4 61D2    LD      R2, VPT.RUNNING_LIST(R13)
00A6 0002    LD      R3, VPT.VP.NEXT_READY_VP(R2)
00AA 001C    LD      R1, #NIL
00AC 4D21    CP      VPT.VP.MSG_LIST(R2), #NIL
00AE 001E    IF EC ! CURRENT VP'S MSG LIST IS EMPTY ! THEN
00B0 FFFF
00B2 5E0E
00B4 00EA    ! REMOVE CURRENT_VP FROM READY_LIST !
              ! * * * * * DEBUG * * * * !
              CP      R3, #NIL
00B6 0B03
00B8 FFFF
00BA 5E0E
00BC 0KCA    IF EQ THEN
00BE 2100    LD      R0, #R_L_E ! READY LIST EMPTY !
00C0 0003
00C2 7601    LDA     R1, $
```

```

00C4 00C2'          CALL MONITOR
00C6 5F00
00C8 A900

FI
! * * * END DEBUG * * * !

00CA 6FD3'          LD      VPT.READY_LIST(R13), R3
00CC 0006'          LD      VPT.VP.NEXT_READY_VP(R2), #NIL
00CE 4D25'
00D0 001C'
00D2 FFFF

! PUT IT IN WAITING STATE !
00D4 4D25'          LD      VPT.VP.STATE(R2), #WAITING
00D6 0014'
00D8 0002

! SET DBR !
00DA 612E'          LD      R14, VPT.VP.DBR(R2)

! SCHEDULE FIRST ELIGIBLE READY VP !
00DE 93F8'          PUSH   @R15,R8
! SAVE LOGICAL CPU # !
00E0 93FD'          PUSH   @R15, R13
                           CALL   GETWORK !R13:CPU #
00E2 5F02'
00E4 0000'

                           R14:DBR!

! RESTORE CPU # !
00E6 97FD'          POP    R13, @R15
00E8 97F8'          POP    R8,@R15
FI
! GET FIRST MSG ON CURRENT VP'S MSG LIST !
00EA 5F00'          CALL   GET_FIRST_MSG ! COPIES MSG IN MSG ARRAY
00EC 00C2'

                           ! R13: LOGICAL CPU # !
                           ! RETURNS R1:SENDER_VP !

! UNLOCK VPT !
00EE 4D08'          CIR    VPT.LOCK

! UNMASK VECTORED INTERRUPTS !
00F0 0000'
00F2 7C05'          EI    VI

! RETURN: R1:SENDER_VP !
00F4 9F08'          RET
00F6     END WAIT

```

00F6 SIGNAL PROCEDURE
 !*****
 * INTRA KERNEL SYNC /COM PRIMITIVE *
 * INVOKED BY KERNEL PROCESSES *
 !*****
 * REGISTER USE: *
 * PARAMETERS: *
 * R8(R9): MSG POINTER (INPUT) *
 * R1: SIGNALED VP_ID (INPUT) *
 * GLOBAL VARIABLES *
 * R13: CPU # (PARAM TO GETWORK) *
 * R14: DBR (PARAM TO GETWORK) *
 * LOCAL VARIABLES: *
 * R1: SIGNALED VP *
 * R2: CURRENT VP *
 * R4: VPT.LOCK ADDRESS *
 !*****!
 ENTRY
 ! SAVE VP ID !
 00F6 93F1 PUSH @R15, R1
 ! MASK INTERRUPTS !
 00F8 7C01 DI VI
 ! LOCK VPT !
 00FA 7604 LDA R4, VPT.LOCK
 00FC 0000 CALL SPIN_LOCK ! (R4:~VPT.LOCK) !
 00FE 5F00 0100 0282
 !NOTE: RETURNS WHEN VPT IS LOCKED BY THIS VP. !
 ! GET LOGICAL CPU # !
 0102 5F00 CALL GET_CPU_NO !RETURNS:
 0104 02C8
 R1:CPU #
 R2:# VP'S!
 0106 A11D LD R13, R1
 ! RESTORE VP ID !
 0108 97F1 POP R1, @R15
 ! PLACE MSG IN SIGNALLED_VP'S MSG_LIST !
 010A 5F00 CALL ENTEP_MSG_LIST !(R8:MSG_POINTER
 010C 005C R1:SIGNALED_VP
 R13:LOGICAL_CFU #)!
 010E 4D11 CP VPT.VP.STATE(R1), #WAITING
 0110 0014
 0112 0002
 0114 5E0E IF EQ ! SIGNALLED_VP IS WAITING ! THEN
 0116 0148
 ! WAKE IT UP AND MAKE IT READY !
 0118 A112 LD R2, R1
 011A 76D3 LDA R3, VPT.READY_LIST(R13)

```

011C 0006
011E 7604      LDA      R4, VPT.VP.NEXT_READY_VP
0120 001C
0122 7605      LDA      R5, VPT.VP.PRI
0124 0012
0126 7606      LDA      R6, VPT.VP.STATE
0128 0014
012A 2107      LD       R7, #READY
012C 0001
               ! SAVE LOGICAL CPU # !
012E 93FD      PUSH    @R15, R13
0130 5F00      CALL    LIST_INSERT !R2: OBJ ID
0132 0000*          R3: LIST_PTR_ADDR
                      R4: NEXT_OBJ_PTR
                      R5: PRIORITY_PTR
                      R6: STATE_PTR
                      R7: STATE !
               ! RESTORE LOGICAL CPU # !
0134 97FD      POP     R13, GR15
0136 61D2      ! PUT CURRENT VP IN READY STATE !
0138 0002      LD      R2, VPT.RUNNING_LIST(R13)
013A 4D25
013C 0014
013E 0001
               ! SET DBR !
0140 612E      LD      R14, VPT.VP.DBR(R2)
0142 0010

               ! SCHEDULE FIRST ELIGIBLE READY VP !
0144 5F00      CALL    GETWORK !R13:LOGICAL CPU #
0146 0000*          R14:DBR !
               FI

               ! UNLOCK VPT !
0148 4D08      CLR     VPT.LOCK
014A 0000*
               ! UNMASK VECTORED INTERRUPTS !
014C 7C05      EI      VI

014E 9E08      RET
0150             END SIGNAL

```

0150 SET PREEMPT PROCEDURE
 !*****
 * SETS PREEMPT INTERRUPT ON*
 * TARGET VP. CALLED BY TC_*
 * ADVANCE.*

 * REGISTER USE: *
 * PARAMETERS: *
 * P1:TARGET_VP_ID (INPUT) *
 * LOCAL VARIABLES *
 * R1: VP_INDEX *
 *****!
 ENTRY
 ! NOTE: DESIGNED AS SAFE SEQUENCE SO VFT NEED
 NOT BE LOCKED. !
 ! CONVERT VP_ID TO VP_INDEX !
 0150 6112 LD R2, EXT_VP_LIST(R1)
 0152 0210,
 ! TURN ON TGT_VP PREEMPT FLAG !
 0154 4D25 LD VPT.VP.PREEMPT(R2), #ON
 0156 0018,
 0158 FFFF
 ! ** IF TARGET VP NOT ICCAL
 (NOT FOUND TO THIS CPU)
 [IE, IF <>CPU_SEG>>CPU_ID<>VPT.VP.PHYS_CPU(R1)]
 THEN SEND HARWARE PREEMPT INTERRUPT TO
 VPT.VP.CPU(R1). ** !

015A 9E08 RET
 015C END SET_PREEMPT

015C	IDLE PROCEDURE ! **** * LOADS IDLE DBR ON * * CURRENT VP. CALLED BY * * TC_GETWORK. * ***** * REGISTER USE * * GLOBAL VARIABLE * * R13: LCG CPU # * * R14: DBR * * LOCAL VARIABLES: * * R2: CURRENT VP * * R3: TEMP VAR * * R4: VPT.LOCK ADDR * * R5: TEMP * *****! ENTRY ! GET LOGICAL CPU # ! 015C 5F00 CALL GET_CPU_NO !RETURNS: ! LOAD IDLE DBR ON CURRENT VP : 0174 6103 LD R3, PRDS.IDLE_VP 0176 0A10 0178 6135 LD R5, VPT.VP.DBRA(R3) 017A 0010 017C 6F25 LD VPT.VP.DBRA(R2), R5 017E 0010 ! TURN ON CURRENT VP'S IDLE FLAG ! 0180 4D25 LD VPT.VP.IDLE_FLAG(R2), #ON 0182 0016 0184 FFFF ! SET VP TO READY STATE ! 0186 4D25 LD VPT.VP.STATE(R2), #READY 0188 0014 018A 0001 ! SCHEDULE FIRST ELIGIBLE READY VP ! 018C 5F00 CALL GETWORK !R13:LOGICAL CPU # 018E 0000 R14:DPR ! ! UNLOCK VPT ! 0190 4D08 CLR VPT.LOCK 0192 0000 ! UNMASK VECTORED INTERRUPTS ! 0194 7C05 EI VI 0196 9E08 RET 0198 END IDLE
------	---

€198 SWAP_VDER PROCEDURE
 !*****
 * LOADS NEW DBR ON *
 * CURRENT VP. CALLED BY *
 * TC_GETWORK. *

 * REGISTER USE *
 * PARAMETERS *
 * R1: NEW DBR (INPUT) *
 * GLOBAL VARIABLES *
 * R13: LOGICAL CPU *
 * R14: DBR *
 * LOCAL VARIABLES *
 * R2: CURRENT VP *
 * R4: VPT.LOCK ADDR *
 *****!
 ENTRY
 ! SAVE NEW DBR !
 0198 93F1 PUSH @R15, R1
 ! MASK INTERRUPTS !
 €19A 7C01 DI V1
 ! LOCK VPT !
 019C 7604 LDA R4, VPT.LOCK
 €19E 0000 CALL SPIN_LOCK ! (R4: VPT.LOCK) !
 €1A0 5F00
 €1A2 0282 ! NOTE: RETURNS WHEN VPT IS LOCKED BY THIS VP.!
 ! GET CPU # !
 €1A4 5F00 CALL GET_CPU_NO !RETURNS:
 €1A6 02C8 R1: CPU #
 R2: VP'S!
 €1A8 A11D LD R13, R1
 ! GET CURRENT VP !
 €1AA 61D2 LD R2, VPT.RUNNING_LIST(R13)
 €1AC 0222 ! * * * DEBUG * * * !
 €1AE 4D21 CP VPT.VP.MSG_LIST(R2), =NIL
 €1B0 001E
 €1B2 FFFF
 €1B4 5E06 IF NE ! MSG_WAITING ! THEN
 €1B6 01C4
 €1B8 2100 LD R0, #S_N_A ! SWAP NOT ALLOWED !
 €1BA 0005
 €1BC 7601 LDA R1, S !PC!
 €1BE 01BC
 €1C0 5F00 CALL MONITOR
 €1C2 A904 FI
 ! * * END DEBUG * * !
 ! SET DBR !

```

E1C4 612E LD R14, VPT.VP.DBR(R2)
E1C6 0710' ! RESTORE NEW DBR !
E1C8 97F9 POP R0, @R15
E1CA 5F00 CALL GET_DBR_ADDR ! (R0: DBR #)
E1CC 000A' RETURNS
                               (R1: DBR ADDR) !

! LOAD NEW DBR ON CURRENT VP !
E1CE 6F21 LD VPT.VP.DBR(R2), R1
E1D0 0710'

! TURN OFF IDLE FLAG !
E1D2 4D25 ID VPT.VP.IDLE_FLAG(R2), #OFF
E1D4 0016'
E1D6 0000

! SET VP TO READY STATE !
E1D8 4D25 ID VPT.VP.STATE(R2), #READY
E1DA 0014'
E1DC 0001

! SCHEDULE FIRST ELIGIBLE READY VP !
E1DE 5F00 CALL GETWORK !R13:LOGICAL CPU #
E1E0 0000' R14:DBR !

! UNLOCK VPT !
21E2 4D08 CLR VPT.LOCK
E1E4 0000

! UNMASK VECTORED INTERRUPTS !
E1E6 7C05 EI VI

21E8 9E08 PET
21EA END SWAP_VDBR

```

01EA PHYS_PREEMPT_HANDLER PROCEDURE
 !*****
 * HARDWARE PREEMPT INTERRUPT *
 * HANDLER. ALSO TESTS FOR *
 * VIRTUAL PREEMPT INTERRUPT *
 * FLAG AND INVOKES INTERRUPT *
 * HANDLER IF FLAG IS SET. *
 * INVOKED UPON EVERY EXIT FROM *
 * KERNEL. KERNEL FCW MASKS *
 * NVI INTERRUPTS TO PREVENT *
 * SIMUITANEOUS PREEMPT INTERR. *
 * HANDLING.
 *****!
 * REGISTER USE *
 * LOCAL VARIABLES *
 * R1: PREEMPT_INT_FLAG *
 * R2: CURRENT_VP *
 * GLOBAL VARIABLES *
 * R13:LOGICAL CPU # *
 * R14:DBR *
 *****!
 ENTRY
 ! * * PREEMPT_HANDLER * * !
 ! SAVE ALL REGISTERS !
 01EA 030F SUB R15, #32
 01EC 0020
 01EE 1CF9 LDM @R15, R1, #16
 01F0 010F
 ! SAVE NORMAL STACK PCINTER (NSP) !
 01F2 7D67 LDCTL R6, NSP
 01F4 93F6 PUSH CR15, R6
 ! GET CPU # !
 01F6 5F00 CALL GET_CPU_NO 'RETURNS:
 01F8 02C8 ,
 R1: CPU #
 R2:# VP'S!
 01FA A11D LD R13, R1
 ! MASK INTERRUPTS !
 01FC 7C01 DI VI
 ! LOCK VPT !
 01FE 7604 LDA R4, VPT.LOCK
 0200 0000
 0202 5F00 CALL SPIN_LOCK
 0204 0282 ,
 !RETURNS WHEN VPT IS LOCKED!
 ! SET DBR !
 0206 61D2 LD R2, VPT.RUNNING_LIST(R13)

```

0208 0002' LD R14, VPT.VP.DBR(R2)
020A 612E
020C 0010' LD ID R14, VPT.VP.STATE(R2), #READY
              ! PUT CURRENT PROCESS IN READY STATE !
020E 4D25, ID VPT.VP.STATE(R2), #READY
0210 0014'
0212 0001
0214 5F00' CALL GETWORK !R13:LOG CPU #
0216 0000'                                     R14:DBR !
              PREEMPT RET:
              ! UNLOCK VPT !
0218 4D08' CLR VPT.LOCK
021A 0000'                                     ! UNMASK VECTORED INTERRUPTS !
021C 7C05' EI VI
              KERNEL EXIT:
              ! *** UNMASK VIRTUAL PREEMPTS *** !
              ! *** NOTE: SAFE SEQUENCE AND DOES NOT REQUIRE
              VPT TO BE LOCKED. ** !
              ! GET CURRENT VP !
021E 610D' LD R13, PRDS.LOG_CPU_ID
0220 0A0C'
0222 61D2' LD R2, VPT.RUNNING_LIST(R13)
0224 0002'

              ! TEST PREEMPT INTERRUPT FLAG !
0226 4D21' CP VPT.VP.PREEMPT(R2), #ON
0228 0018'
022A FFFF
022C 5E0E' IF EQ ! PREEMPT FLAG IS ON ! THEN
022E 0240'

              ! RESET PREEMPT FLAG !
0230 4D25' LD VPT.VP.PREEMPT(R2), #OFF
0232 0018'
0234 0000'                                     ! SIMULATE VIRTUAL PREEMPT INTERRUPT !
0236 2101' LD R1, #4
0238 0000
023A 6112' LD R2, VPT.VIRT_INT_VEC(R1)
023C 000C'
023E 1E28' JP @P2
              ! NOTE: THIS JUMP TO TRAFFIC CONTROL
              IS USED ONLY IN THE CASE OF A PREEMPT INTERRUPT.
              AND SIMULATES A HARDWARE INTERRUPT. ** !
              ! *** END VIRTUAL PREEMPT HANDLER *** !
              FI

```

! NOTE: SINCE A HDWE INTERRUPT DOES NOT EXIT
THROUGH THE GATE, THOSE FUNCTIONS PROVIDED
BY A GATE EXIT TO HANDLE PREEMPTS MUST BE
PROVIDED HERE ALSO. !

! RESTORE NSP !
0240 97F6 POP R6, @R15
0242 7D6F LDCTL NSP, R6
! RESTORE ALL REGISTERS !
0244 1CF1 LDM R1, @R15, #16
0246 010F ADD R15, #32
0248 010F ADD R15, #32
024A 0020

! EXECUTE HARDWARE INTERRUPT RETURN !
024C 7B00 IRET

024E END PHYS_PREEMPT_HANDLER

024E RUNNING_VP PROCEDURE
 ! ****
 * CALLED BY TRAFFIC CONTROL. *
 * RETURNS VP_ID. RESULT IS VALID*
 * ONLY WHILE APT IS LOCKED. *
 ****!
 * REGISTER USE *
 * PARAMETERS *
 * R1: EXT_VP_ID (RETURNED) *
 * R3: LOG_CPU # (RETURNED) *
 * LOCAL VARIABLES *
 * R2: VF INDEX *
 ****!
 ENTRY
 ! MASK INTERRUPTS !
 024E 7C01 DI VI
 ! LOCK VPT !
 0250 7604 LDA R4, VPT.LOCK
 0252 0000
 0254 5F00 CALL SPIN_LOCK ! (R4: VPT.LOCK) !
 0256 0282
 ! NOTE: RETURNS WHEN VPT IS LOCKED BY THIS VP !
 ! GET LOGICAL CPU # !
 0258 5F00 CALL GET_CPU_NO !RETURNS:
 025A 02C8
 R1: CPU #
 R2:# VP'S!
 025C A113 ID R3, R1
 025E 6132 LD R2, VPT.RUNNING_LIST(R3)
 0260 0002
 ! CONVERT VP_INDEX TO VP_ID !
 0262 6121 ID R1, VPT.VP.EXT_ID(R2)
 0264 0220
 ! * * * DEBUG * * * !
 0266 0B01 CP R1, #NIL
 0268 FFFF
 026A 5E0E IF EQ ! KERNEL PROC ! THEN
 026C 027A
 026E 2100 LD R2, #V_I_E ! VP INDEX ERROR !
 0270 0006
 0272 7601 LDA R1, S
 0274 0272
 0276 5F00 CALL MONITOR
 0278 A900
 FI
 ! * * END DEBUG * * !
 ! UNLOCK VPT !
 027A 4D08 CLR VPT.LOCK
 027C 0002
 ! UNMASK VECTORED INTERRUPTS !
 027E 7C05 EI VI
 0280 9E08 RET
 0282 END RUNNING_VP

0282 SPIN LOCK PROCEDURE

* USES SPIN LOCK MECH. *

* LOCKS UNLOCKED DATA *

* STRUCTURE (POINTED TO *

* BY INPUT PARAMETER). *

*REGISTER USE *

* PARAMETERS *

* F4: LOCK ADDR (INPUT)*

ENTRY

! NOTE: SINCE ONLY ONE PROCESSOR CURRENTLY
IN SYSTEM, LOCK NOT NECESSARY. ** !

! * * * DEBUG * * * !

0282 0D41 CP @R4, #OFF

0284 0000

0286 5E06 IF NL ! NOT UNLOCKED ! THEN

0288 0296

028A 2100 LD R6, #U_L ! UNAUTHORIZED LOCK !

028C 0000

028E 7601 LDA R1, S

0290 028E

0292 5F00 CALL MONITOR

0294 A900

FI

! * * END DEBUG * * !

TEST_LOCK:

! DO WHILE STRUCTURE LOCKED !

0296 0D46 TSET @R4

0298 E5FE JP MI, TEST_LOCK

! ** NOTE SEE PLZ/ASM MANUAL
FOR RESTRICTIONS ON
USE OF TSET. ** !

029A 9E08 RET

029C END SPIN_LOCK

029C ITC_GET_SEG_PTR PROCEDURE
 ! ****
 * GETS BASE ADDRESS OF SEGMENT *
 * INDICATED.

 * REGISTER USE:
 * R0:SEG BASE ADDRESS(RET) **
 * R1:SEG NR (INPUT) **
 * R2:RUNNING_VP (LOCAL) **
 * R3:DER_VALUE (LOCAL) **
 * R4:VPT.LOCK **
 * R13:LOGICAL CPU # **
 ****!

 ENTRY
 ! SAVE SEGMENT # !
 029C 93F1 PUSH @R15, R1
 ! MASK INTERRUPTS !
 029E 7C01 DI VI
 ! LOCK VPT !
 02A0 7604 LDA R4,VPT.LOCK
 02A2 0000
 02A4 5F00 CALL SPIN_LOCK !R4:VPT.LOCK!
 02A6 0292
 ! GET CPU # !
 02A8 5F04 CALL GFT_CPU_NO !RETURNS:
 02AA 02C8
 R1: CPU #
 R2:# VP'S!
 02AC A11D LD R13, R1
 ! RESTORE SEGMENT # !
 02AE 97F1 POP R1, @R15
 02B0 61D2 LD R2,VPT.RUNNING_LIST(R13)
 02B2 0002
 02B4 6123 LD R3,VPT.VP.DBR(R2)
 02B6 0010
 ! UNLOCK VPT !
 02B8 4D08 CLR VPT.LOCK
 02BA 0000
 ! UNMASK VECTORED INTERRUPTS !
 02BC 7C05 EI VI
 02BE 1900 MULT RR0,#4
 02C0 0004
 02C2 7130 LD R0,R3(R1)
 02C4 0100

 02C6 9E08 RET
 02C8 END ITC_GET_SEG_PTR

```

02C8      GET_CPU_NO      PROCEDURE
!*****!
* FIND CURRENT CPU_NO      *
* CALLED BY DIST_MMGR      *
* AND MM                   *
*****!
* RETURNS                  *
* R1: CPU_NO               *
* R2: # OF VP'S            *
*****!
ENTRY
02C8 6101    ID      R1, PRDS.LOG_CPU_ID
02CA 0A0C
02CC 6102    LD      R2, PRDS.VP_NR
02CE 0A0E
02D0 9E08    RET
02D2      END GET_CPU_NO

02D2      K_LOCK      PROCEDURE
!*****!
* STUB FOR WAIT LOCK      *
*****!
* R4: ^LOCK (INPUT)       *
*****!
ENTRY
02D2 5F00    CALL    SPIN_LOCK
02D4 0282
02D6 9E09    RET
02D8      END K_LOCK

02D8      K_UNLOCK     PROCEDURE
!*****!
* STUB FOR WAIT UNLOCK   *
*****!
* R4: ^LOCK (INPUT)       *
*****!
ENTRY
02D8 0D48    CLR    QR4
02DA 9E08    RET
02DC      END K_UNLOCK

END INNER_TRAFFIC_CONTROL

```

LIST OF REFERENCES

1. O'Connell, J. S., and Richardson, I. S., Distributed Secure Design for a Multi-Microprocessor Operating System, MS Thesis, Naval Postgraduate School, June 1979.
2. Parks, F. J., The Design of a Secure File Storage System, MS Thesis, Naval Postgraduate School, December 1979.
3. Coleman, A. R., Security Kernel Design for a Microprocessor-Based, Multilevel, Archival Storage System, MS Thesis, Naval Postgraduate School, December 1979.
4. Moore, F. E. and Gary, A. V., The Design and Implementation of the Memory Manager for a Secure Archival Storage System, MS Thesis, Naval Postgraduate School, June 1984.
5. Reitz, S. L., An Implementation of Multiprogramming and Process Management for a Security Kernel Operating System, MS Thesis, Naval Postgraduate School, June 1980.
6. Wells, J. T., Implementation of Segment Management for a Secure Archival Storage System, MS Thesis, Naval Postgraduate School, September 1980.
7. Organick, E. J., The Multics System: An Examination of Its Structure, MIT Press, 1972.
8. Madnick, S. E., and Donovan, J. J., Operating Systems, McGraw Hill, 1974.
9. Reed, P. D., Processor Multiplexing In a Layered Operating System, MS Thesis, Massachusetts Institute of Technology, MIT ICS/TR-167, 1979.
10. Schell, Lt.Col. R. R., "Computer Security: the Achilles Heel of the Electronic Air Force?", Air University Review, v. 36, no. 2, p. 16-33, January 1979.
11. Schell, Lt.Col. R. R., "Security Kernels: A Methodical Design of System Security," USE Technical Papers (Spring Conference, 1979), p. 245-254, March 1979.

12. Denning, D. E., "A Lattice Model of Secure Information Flow," Communications of the ACM, v. 19, p. 236-242, May 1976.
13. Schroeder, M. D., "A Hardware Architecture for Implementing Protection Rimes," Communications of the ACM, v. 15, no. 3, p. 157-170, March 1972.
14. Fijksstra, F. W., "The Humble Programmer," Communications of the ACM, v. 15, no. 10, p. 859-865, October 1972.
15. Reed, P. D. and Kanodia, R. K., "Synchronization With Eventcounts and Sequencers," Communications of the ACM, v. 22, no. 2, p. 115-124, February 1979.
16. Saltzer, J. H., Traffic Control in a Multiplexed Computer System, Ph.D. Thesis, Massachusetts Institute of Technology, 1960.
17. Zilog, Inc., Z8001 CPU Z8002 CPU, Preliminary Product Specification, March 1979.
18. Zilog, Inc., Z8010 MMU Memory Management Unit, Preliminary Product Specification, October 1979.
19. Advanced Micro Computers, AM96/4116 AM28264 16-bit MonoBoard Computer, User's Manual, 1984.
20. Zilog, Inc., Z8000 PLZ/ASM Assembly Language Programming Manual, Z3-3255-01, Revision A, April 1979.
21. Schell, R. R. and Cox, L. A., Secure Archival Storage System, Part I - Design, Naval Postgraduate School, NPS52-80-W02, March 1980.
22. Schell, R. R. and Cox, L. A., Secure Archival Storage System, Part II - Segment and Process Management Implementation, Naval Postgraduate School, NPS52-81-W01, March 1981.

INITIAL DISTRIBUTION LIST

	No. Copies
1. Defense Documentation Center ATTN:DDC-TC Cameron Station Alexandria, Virginia 22314	2
2. Library, Code 0142 Naval Postgraduate School Monterey, California 93940	2
3. Department Chairman, Code 52 Department of Computer Science Naval Postgraduate School Monterey, California 93940	2
4. LTCOL Roger R. Schell, Code 52Sj Department of Computer Science Naval Postgraduate School Monterey, California 93940	5
5. Lyle A. Cox, Jr., Code 52C1 Department of Computer Science Naval Postgraduate School Monterey, California 93940	4
6. Joel Trimble, Code 221 Office of Naval Research 842 North Quincy Arlington, Virginia 22217	1
7. Department Chairman Department of Computer Science United States Military Academy West Point, New York 10996	1
8. INTEL Corporation Attn: Mr. Robert Childs Mail Code: SC 4-494 3465 Bowers Avenue Santa Clara, California 95051	1
9. John P.L. Woodward The MITRE Corporation P.O. Box 268 Bedford, Massachusetts 01730	1

12. Digital Equipment Corporation 1
Attn: Mr. Donald Gaubatz
146 Main Street
#I 3-2/E41
Maynard, Massachusetts 01754
11. Joe Irten 1
University of Southwestern Louisiana
P.O. Box 44334
Lafayette, Louisiana 70504
12. LCDR Gary Baker, Code 37 1
Department of Computer Technology
Naval Postgraduate School
Monterey, California 93942
13. LCDR John T. Wells 1
P.O. Box 366
Waynesboro, Mississippi 38367
14. CPT Anthony R. Strickler 5
Route #12
West Snipley Ferry Road
Kingsport, Tennessee 37663